

Weak Nullstellensatz.

$$\begin{array}{ccc} R & R & R \Rightarrow R \\ \text{field} & \text{fin. gen} & \text{field} \Rightarrow \text{fin. ext. field of } k \\ & k\text{-alg.} & \end{array}$$

Pf.  $P = k[X_1, \dots, X_n] \subset R$ , fin. gen  $P$ -module.

$$\begin{array}{ccc} & \downarrow & \Rightarrow P \subset R \\ & R & \text{integral extension.} \end{array}$$

$$\begin{array}{ccc} R & \Rightarrow P & \Rightarrow D=0 \Rightarrow P=k \\ \text{field} & \text{field} & \end{array}$$

Corollary

$$\begin{array}{ccc} m \in k[X_1, \dots, X_n] & \stackrel{R}{=} & \exists a_1, \dots, a_n \in k \text{ s.t. } \underline{m} = (x_1 - a_1, \dots, x_n - a_n) \\ \text{max} & \downarrow & \\ k = \bar{k} & \text{field} & \\ \text{alg. closed} & & \end{array}$$

Pf. Let  $K = R/\underline{m} \hookrightarrow k$   $\Rightarrow k \subset K \Rightarrow K = k$   
 $\text{fin. gen.}/k$   $\text{fin. ext.}$  (by  $k = \bar{k}$ )

Let  $R \rightarrow R/\underline{m} \cong k$   
 $x_i \mapsto a_i$   
 $\Rightarrow (x_1 - a_1, \dots, x_n - a_n) \subset \underline{m}$ .

On the other hand

$$R[X_1, \dots, X_n] \xrightarrow{\Phi} R$$

$$X_i \mapsto x_i$$

$$\Phi(x_1 - a_1, \dots, x_n - a_n) = (x_1 - a_1, \dots, x_n - a_n)$$

$$\uparrow \text{maximal} \Rightarrow \text{maximal} \Rightarrow (x_1 - a_1, \dots, x_n - a_n) = \underline{m}$$

in the  $k$ -alg.

## Hilbert Nullstellensatz

$$\text{Field } k \subset R = k[x_1, \dots, x_n] \Rightarrow \sqrt{(0)} = \bigcap_{\substack{m \supseteq (0) \\ \text{max.}}} m$$

Pf. 1 Replace  $R$  by  $R/\mathfrak{m}$ , i.e. assume  $\mathfrak{m} = (0)$

$$2. \quad \sqrt{(0)} \subset \bigcap_m m \text{ obvious}$$

$$3. \quad f \notin \sqrt{(0)}. \Rightarrow R_f \neq 0. \quad n \in R_f \leftarrow \varphi_f^{-1}(R)$$

$$\text{Put } m = \varphi_f^{-1}(n)$$

$$\text{fin. gen. } R \Rightarrow R_f = R[X]/(x_f^{d_f})$$

$\Rightarrow R_f/n$  fin. field ext. of  $k$   
(weak nullst. sat.)

4.

$$R \hookrightarrow R/m \hookrightarrow R_f/n$$

fin. gen.  
k-module  $\leftarrow$  fin. ext.

$\Rightarrow R \hookrightarrow R/m$  integral extension

$k$  field  $\Rightarrow R/m$  field  $\Rightarrow m$  maximal ideal

$$5. \quad f \in R_f \Rightarrow f \notin n \Rightarrow f \notin m \Rightarrow f \notin \bigcap_m m$$

unit

$$\Rightarrow \bigcap_m m \subset \sqrt{(0)}$$

## Noether Normalization

**Lemma 1.** Let  $f \in k[x_1, \dots, x_n]$ ,  $n \geq 2$ , be a non-zero polynomial over an infinite field  $k$ . Then there are elements  $\lambda, a_1, \dots, a_{n-1} \in k$  such that the polynomial

$$\lambda f(y_1 + a_1 y_n, \dots, y_{n-1} + a_{n-1} y_n, y_n) \in k[y_1, \dots, y_n]$$

is monic in  $y_n$ .

*Proof.* Let  $f_d$  be the homogenous part of  $f$  of highest degree. We have

$$f_d(\lambda x_1, \dots, \lambda x_n) = \lambda^d f(x_1, \dots, x_n)$$

where  $d$  is the degree of  $f$ . Since  $k$  is infinite we can always find  $a_1, \dots, a_{n-1}$  such that  $f_d(a_1, \dots, a_{n-1}, 1) \neq 0$ . Let

$$y_j = x_j - a_j x_n, \quad j = 1, 2, \dots, n-1 \quad \text{and} \quad y_n = x_n$$

and  $\lambda = f_d(a_1, \dots, a_{n-1}, 1)^{-1}$ . Then

$$\begin{aligned} \lambda f(y_1 + a_1 y_n, \dots, y_{n-1} + a_{n-1} y_n, y_n) \\ = \lambda f_d(a_1, \dots, a_{n-1}, 1) y_n^d + \text{terms of lower degree in } y_n \end{aligned}$$

Which is a monic polynomial in  $y_n$ .  $\square$

**Theorem 1** (Noether Normalization). Let  $R$  be a finitely generated algebra over a infinite field  $k$ , with generators  $x_1, \dots, x_n \in R$ . Then there is an injective  $k$ -algebra homomorphism  $\phi : k[t_1, \dots, t_r] \rightarrow R$  from a polynomial ring to  $R$ , such that  $R$  is integral over  $k[t_1, \dots, t_r]$ .

**Example 1.** Let  $R = k[x_1, x_2]/(x_1 x_2 - 1) \cong k[x, \frac{1}{x}]$ . Then  $R$  is not integral over  $k[x]$ . In fact, suppose  $\frac{1}{x}$  is integral over  $k[x]$ . Then there would exist a polynomial equation

$$\left(\frac{1}{x}\right)^n + a_1(x)\left(\frac{1}{x}\right)^{n-1} + \dots + a_n(x) = 0$$

where  $a_i(x) \in k[x]$ . Multiplying by  $x^{n-1}$  now gives

$$\frac{1}{x} = -a_1(x) - a_2(x)x - \dots - a_n(x)x^{n-1} \in k[x]$$

and  $\frac{1}{x} \in k[x]$ , which is not true.

If we introduce new coordinates,  $x_1 = t_1 + t_2$ ,  $x_2 = t_2$ , we can write  $R = k[t_1, t_2]/(t_2^2 + t_1 t_2 - 1)$ . Then there is an injective map  $\phi : k[t_1] \rightarrow R$ , and  $R$  is integral over  $k[t_1]$ .

*Proof.* We prove the statement by induction on the number  $n$  of generators of  $R$ . If  $n = 1$  we let  $t_1 = x_1$ .

Assume  $n > 1$ . If the generators  $x_1, \dots, x_n$  are algebraically independent, we choose  $t_i = x_i$ , and the result follows.

Suppose there is an algebraic dependence between the generators, i.e. a non-zero polynomial  $f$  over  $k$  such that  $f(x_1, \dots, x_n) = 0$ . Let  $f_d$  be the homogenous part of the highest degree of  $f$ . By lemma 1 we can find  $a_1, \dots, a_{n-1}$  such that

$$\lambda f(y_1 + a_1 y_n, \dots, y_{n-1} + a_{n-1} y_n, y_n) \in k[y_1, \dots, y_n]$$

is monic in  $y_n$ . The new coordinates are given by

$$y_1 = x_1 - a_1 x_n, \dots, y_{n-1} = x_{n-1} - a_{n-1} x_n, y_n = x_n$$

and it follows that

$$\lambda f(y_1 + a_1 y_n, \dots, y_{n-1} + a_{n-1} y_n, y_n) = \lambda f(x_1, \dots, x_n) = 0$$

Notice that the subalgebra  $k[y_1, \dots, y_n]$  of  $R$ , generated by  $y_1, \dots, y_n$  is the same as generated by  $x_1, \dots, x_n$ , i.e. all of  $R$ . Moreover,  $y_n$  is integral over the subalgebra

$k[y_1, \dots, y_{n-1}]$  by the result of lemma 1. By the induction hypothesis there is a injective algebra homomorphism  $\phi : k[t_1, \dots, t_r] \rightarrow k[y_1, \dots, y_{n-1}]$ , such that  $k[y_1, \dots, y_{n-1}]$  is integral over  $k[t_1, \dots, t_r]$ . But  $y_n$  is integral over  $k[y_1, \dots, y_{n-1}]$ , and by the Tower Law of integrality,  $y_n$  is integral over  $k[t_1, \dots, t_r]$ , and the result follows.  $\square$

Let us see how the proof works for the above example.

**Example 2.** Let  $R = k[x_1, x_2]/(x_1 x_2 - 1)$ . Then  $f(x_1, x_2) = x_1 x_2 - 1 = 0$ , and the homogenous part of highest degree is  $f_2(x_1, x_2) = x_1 x_2$ . We have  $\lambda^{-1} = f_2(1, 1) = 1$ . Let  $y_1 = x_1 - x_2$ ,  $y_2 = x_2$ . Then

$$\lambda f(y_1 + y_2, y_2) = (y_1 + y_2)y_2 - 1 = y_2^2 + y_1 y_2 - 1 = x_1 x_2 - 1 = 0$$

and  $y_2$  is integral over  $k[y_1]$ . Let  $t_1 = y_1$ . Then  $k[t_1] \hookrightarrow k[y_1, y_2] = k[x_1, x_2]$  is an integral extension.