

# **FYS3410 - Vår 2011 (Kondenserte fasers fysikk)**

<http://www.uio.no/studier/emner/matnat/fys/FYS3410/index-eng.xml>

Based on Introduction to Solid State Physics by Kittel

## **Course content**

- **Periodic structures, understanding of diffraction experiment and reciprocal lattice**
- **Imperfections in crystals: diffusion, point defects, dislocations**
- **Crystal vibrations: phonon heat capacity and thermal conductivity**
- **Free electron Fermi gas: density of states, Fermi level, and electrical conductivity**
- **Electrons in periodic potential: energy bands theory classification of metals, semiconductors and insulators**
- **Semiconductors: band gap, effective masses, charge carrier distributions, doping, pn-junctions**
- **Metals: Fermi surfaces, temperature dependence of electrical conductivity**

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## **FYS3410 lecture schedule and exams: Spring 2011**

<b>W/19/1/2011:</b>	<b>Introduction and motivation. Periodicity and lattices</b>	<b>1h</b>
<b>M/24/1/2011:</b>	<b>Index system for crystal planes. Crystal structures</b>	<b>2h</b>
<b>W/26/1/2011:</b>	<b>Reciprocal space, Laue condition and Ewald construction</b>	<b>1h</b>
<b>M/31/1/2011:</b>	<b>Brillouin Zones. Interpretation of a diffraction experiment</b>	<b>2h</b>
<b>W/02/2/2011:</b>	<b>Crystal binding, elastic strain and waves</b>	<b>1h</b>
<b>M/07/2/2011:</b>	<b>Elastic waves in cubic crystals; defects in crystals</b>	<b>2h</b>
<b>W/09/2/2011:</b>	<b>Defects in crystals; case study – vacancies; diffusion</b>	<b>2h</b>
<b>M/14/2/2011:</b>	<b>Crystal vibrations and phonons</b>	<b>2h</b>
<b>W/16/2/2011:</b>	<b>Lattice heat capacity: Dulong-Petit and Einstein models</b>	<b>2h</b>
<b>M/21/2/2011:</b>	<b>Phonon density of states (DOS) and Debye model</b>	<b>2h</b>
<b>W/23/2/2011:</b>	<b>General result for DOS; role of anharmonic interactions</b>	<b>2h</b>
<b>M/28/2/2011:</b>	<b>Thermal conductivity and repetition of crystal vibrations</b>	<b>2h</b>
<b>W/02/3/2011:</b>	<b>no lectures</b>	
<b>M/07/3/2011:</b>	<b>no lectures</b>	
<b>W/09/3/2011:</b>	<b>no lectures</b>	
<b>M/14/3/2011:</b>	<b>Free electron Fermi gas in 1D and 3D – ground state</b>	<b>2h</b>
<b>W/17/3/2011:</b>	<b>Density of states, effect of temperature – FD distribution</b>	<b>1h</b>
<b>M/21/3/2011:</b>	<b>Heat capacity of FEFG</b>	<b>2h</b>
<b>W/23/3/2011:</b>	<b>Repetition</b>	<b>2h</b>
<b>M/28/3/2011:</b>	<b>Mid-term exam</b>	

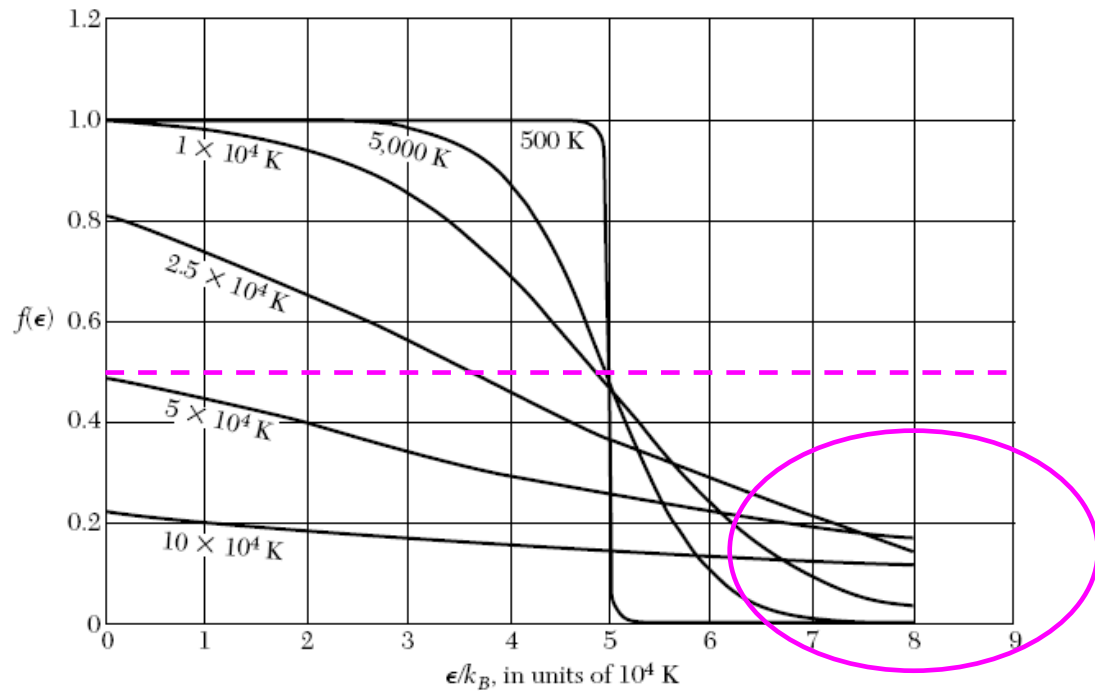
<b>M/04/4/2011:</b>	<b>Electrical and thermal conductivity in metals</b>	<b>2h</b>
<b>W/06/4/2011:</b>	<b>Bragg reflection of electron waves at the boundary of BZ</b>	<b>2h</b>
<b>M/11/4/2011:</b>	<b>Energy bands, Kronig - Penny model</b>	<b>2h</b>
<b>W/13/4/2011:</b>	<b>Empty lattice approximation; number of orbitals in a band</b>	<b>2h</b>
<b>M/18/4/2011:</b>	<b>Repetition of electrons in periodic potential</b>	<b>2h</b>
<b>Påsk</b>		
<b>M/02/5/2011:</b>	<b>no lectures</b>	
<b>W/04/5/2011:</b>	<b>no lectures</b>	
<b>M/09/5/2010:</b>	<b>Semiconductors, effective mass method, intrinsic carriers</b>	<b>2h</b>
<b>W/11/4/2010:</b>	<b>Impurity states in semiconductors and carrier statistics</b>	<b>2h</b>
<b>M/16/5/2010:</b>	<b>p-n junctions, Schottky contacts and heterojunctions</b>	<b>2h</b>
<b>W/18/5/2010:</b>	<b>Metals and Fermi surfaces</b>	<b>2h</b>
<b>M/23/5/2010:</b>	<b>Repetition</b>	<b>2h</b>
<b>26-27/5/2010:</b>	<b>Final Exam (Jonatan Slotte, Aalto University, Helsinki)</b>	

## **Lecture 16: Effect of temperature; Fermi-Dirac distribution**

# Fermi-Dirac distribution

- Describes the probability that an orbit at energy  $E$  will be occupied in an ideal electron gas under thermal equilibrium
- $\mu$  is chemical potential,  $f(\epsilon = \mu) = 0.5$ ; at 0K,  $\epsilon_F = \mu$

$$f(\epsilon) = \frac{1}{\exp[(\epsilon - \mu)/k_B T] + 1}$$



**Figure 3** Fermi-Dirac distribution function (5) at the various labelled temperatures, for  $T_F \equiv \epsilon_F/k_B = 50,000$  K. The results apply to a gas in three dimensions. The total number of particles is constant, independent of temperature. The chemical potential  $\mu$  at each temperature may be read off the graph as the energy at which  $f = 0.5$ .

**In comparison,**

$$f_{Bose-Einstein}(E) = \frac{1}{\exp(\frac{\hbar\omega}{k_B T}) - 1}$$

**High energy tail – approximation**

$$E - E_F > 3k_B T$$

**→ Boltzmann-Maxwell distribution**

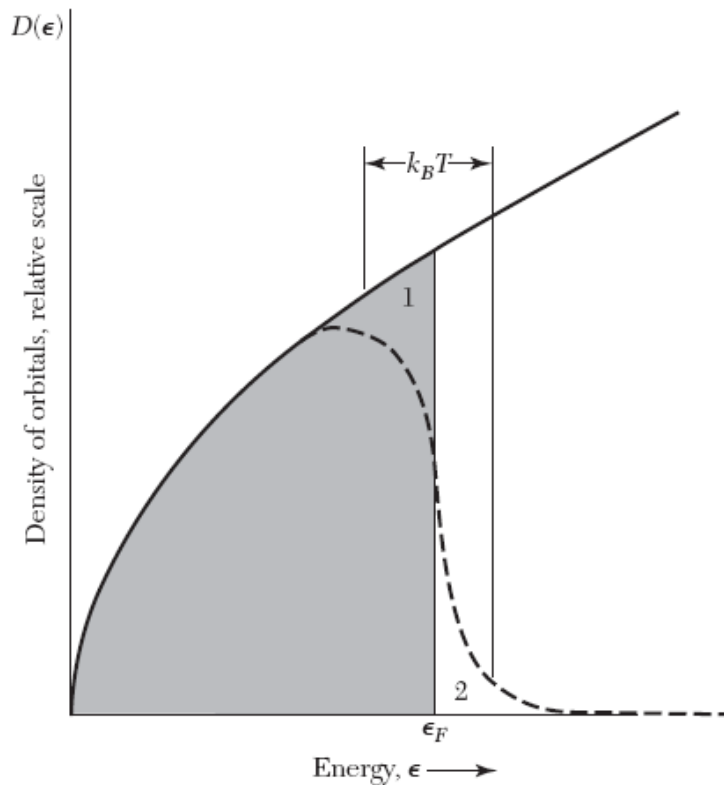
$$f_{Boltzmann-Maxwell}(E) = \exp(-\frac{E - E_F}{k_B T})$$

# DOS example: free electrons (parabolic dispersion)

3D – DOS ( $cm^{-3}eV^{-1}$ )

$$\frac{d^3k}{(2\pi)^3} = \frac{4\pi k^2 dk}{(2\pi)^3} = \frac{4\pi k(E)^2 dk}{(2\pi)^3} \frac{dE}{dE} = g_{3D}(E)dE \Rightarrow g_{3D}(E) = 2 \cdot \frac{4\pi \left(\frac{2mE}{\hbar^2}\right)}{(2\pi)^3} \cdot \sqrt{\frac{2m}{\hbar^2}} \frac{1}{2\sqrt{E}} = \frac{1}{2\pi^2} \left(\frac{2m}{\hbar^2}\right)^{3/2} E^{1/2}$$

- # of states between E and E+dE



$$\epsilon_F = \frac{\hbar^2}{2m} \left( \frac{3\pi^2 N}{V} \right)^{2/3}$$

$$N = \frac{V}{3\pi^2} \left( \frac{2m\epsilon}{\hbar^2} \right)^{3/2}$$

Note here:  $N = N(\epsilon)$   
 $D(E)$  has a unit of  $ev^{-1}$ .

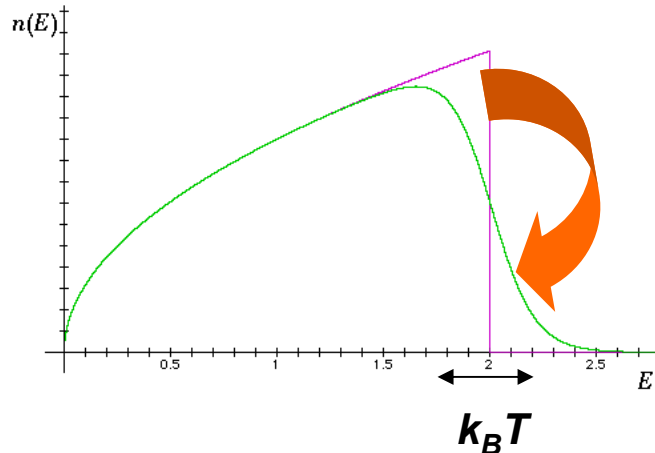
$$D(\epsilon) \equiv \frac{dN}{d\epsilon} = \frac{V}{2\pi^2} \cdot \left( \frac{2m}{\hbar^2} \right)^{3/2} \cdot \epsilon^{1/2}$$

**Figure 5** Density of single-particle states as a function of energy, for a free electron gas in three dimensions. The dashed curve represents the density  $f(\epsilon, T)D(\epsilon)$  of filled orbitals at a finite temperature, but such that  $k_B T$  is small in comparison with  $\epsilon_F$ . The shaded area represents the filled orbitals at absolute zero. The average energy is increased when the temperature is increased from 0 to  $T$ , for electrons are thermally excited from region 1 to region 2.

## Heat capacity of a cold FEFG

One of the greatest successes of the free electron model and FD statistics is the explanation of the  $T$  dependence of the heat capacity of a metal.

$$C_V \equiv \left. \frac{dQ(T)}{dT} \right|_{V=const} = \frac{dE_t(T)}{dT}$$



To calculate the heat capacity, we need to know how the internal energy of the Fermi gas,  $E_t(T)$ , depends on temperature. By heating a Fermi gas, we populate some states above the Fermi energy  $E_F$  and deplete some states below  $E_F$ . This modification is significant within a narrow energy range  $\sim k_B T$  around  $E_F$  (we assume that the system is cold - strong degeneracy).

The fraction of electrons that we “transfer” to higher energies  $\sim k_B T/E_F$ , the energy increase for these electrons  $\sim k_B T$ . Thus, the increase of the internal energy with temperature is proportional to  $n \times (k_B T/E_F) \times (k_B T) \sim n (k_B T)^2 / E_F$ .

$$C_V = \frac{dE_t(T)}{dT} \propto N \frac{k_B^2 T}{E_F}$$

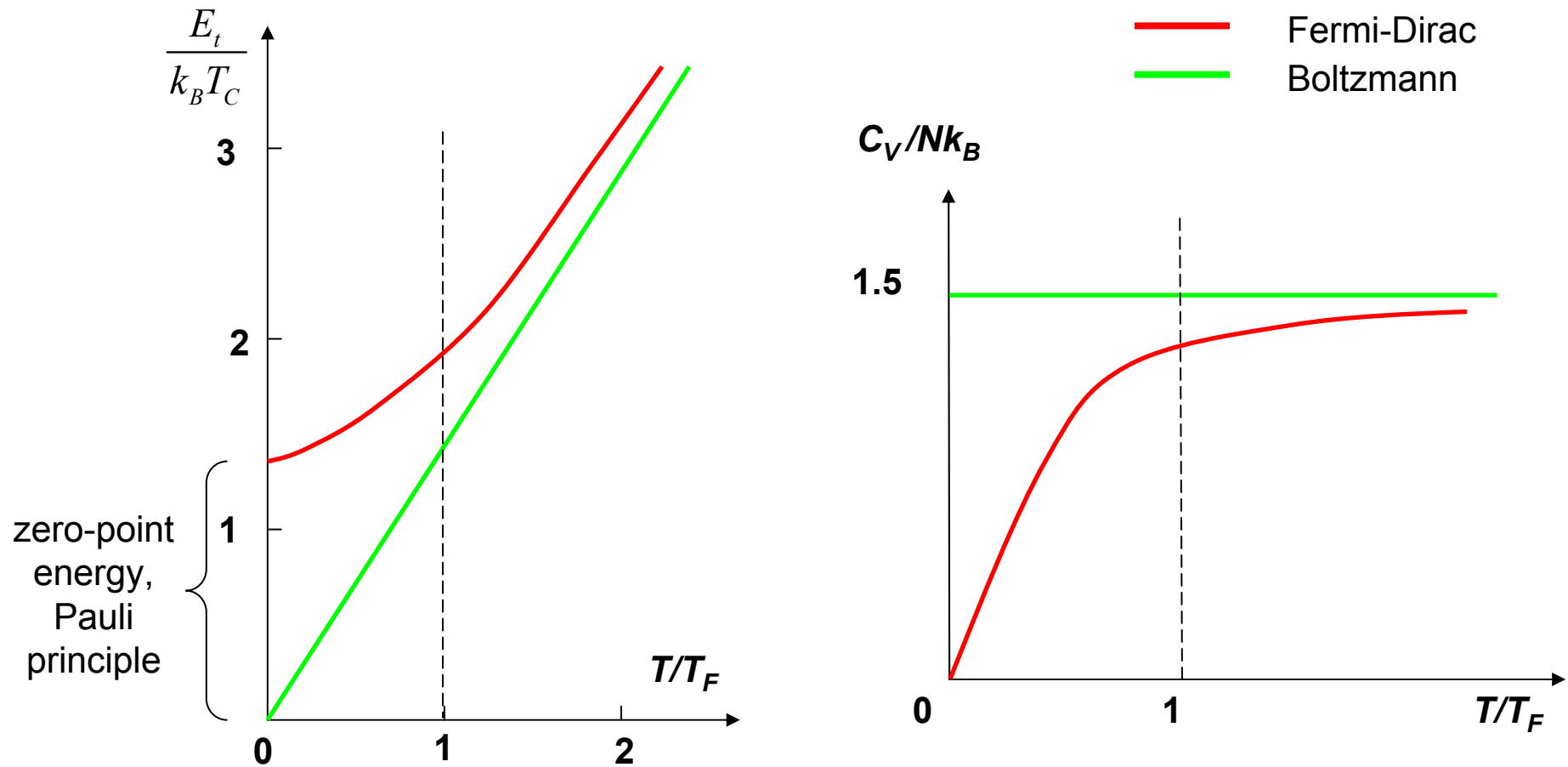
$$C_e = \frac{\pi^2}{2} n k_B \frac{k_B T}{E_F}$$

← compare →

$$C_V = \frac{3}{2} n k_B \quad \text{for an ideal gas}$$

The Fermi gas heat capacity is much smaller (by  $k_B T/E_F \ll 1$ ) than that of a classical ideal gas with the same energy and pressure. The small heat capacity is a direct consequence of the Pauli principle: most of the electrons cannot change their energy, only a small fraction  $k_B T/E_F$  of the electrons are excited out of the ground state.

# Comparison between Boltzmann and FD Distributions



$$T_F \approx T_C = \frac{h^2}{2\pi m k_B} \left( \frac{n}{2.6} \right)^{2/3}$$