

# IN3070/4070 – Logic – Autumn 2019

## Lecture 5: Soundness & Completeness for 1st-order LK

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18th September 2019



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# Today's Plan

- ▶ Preliminaries and Reminders
- ▶ Soundness Proof
- ▶ Completeness: Preliminaries
- ▶ Proof of Completeness
- ▶ Examples of Counter-model Construction

# Outline

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## Theorem 1.1.

*The sequent calculus LK is sound.*

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- ▶ A root sequent  $\Gamma \Longrightarrow \Delta$  consists of *closed* formulae.
- ▶ We show that if  $\Gamma \Longrightarrow \Delta$  is provable, then  $\Gamma \Longrightarrow \Delta$  is valid

# Reminer: Semantics for Sequents

## Definition 1.2 (Valid sequent).

A sequent  $\Gamma \Longrightarrow \Delta$  is *valid* if all interpretations that satisfy all formulae in  $\Gamma$  satisfy at least one formula in  $\Delta$ .

## Definition 1.3 (Countermodel/falsifiable sequent).

- ▶ An interpretation  $\mathcal{I}$  is a *countermodel* for the sequent  $\Gamma \Longrightarrow \Delta$  if  $v_{\mathcal{I}}(A) = T$  for all formulae  $A \in \Gamma$  and  $v_{\mathcal{I}}(B) = F$  for all formulae  $B \in \Delta$

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- ▶ We say that a countermodel for a sequent *falsifies* the sequent.
- ▶ A sequent is *falsifiable* if it has a countermodel.

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  - ▶ variable assignments (= semantic objects)
- ▶ This connection is given by the substitution lemma

# Reminder: Substitution Lemma

## Theorem 1.2 (Substitution Lemma for Formulae).

Given an interpretation  $\mathcal{I} = (D, \iota)$  and a variable assignment  $\alpha$  for  $\mathcal{I}$ .  
Given also a variable  $y \in \mathcal{V}$ , a formula  $A$  and a term  $s \in \mathcal{T}$ , such that  $\{y \setminus s\}$  is capture-free for  $A$ .

$$v_{\mathcal{I}}(\alpha, A[y \setminus s]) = v_{\mathcal{I}}(\alpha\{y \leftarrow v_{\mathcal{I}}(\alpha, s)\}, A)$$



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## Definition 1.4 (Capture-free substitution).

A substitution  $\sigma$  is *capture-free* for a formula  $A$  if for every free variable  $x$  in  $A$ , none of the variables in  $\sigma(x)$  is bound in  $A$ .

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Note: if  $t \in \mathcal{T}$  is a closed term, then  $\{y \setminus t\}$  is capture-free for any  $A$ .

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# Preservation of Falsifiability

## Definition 2.1.

An LK-rule  $\theta$  *preserves falsifiability* (upwards) if whenever the conclusion  $w$  of an instance  $\frac{w_1 \cdots w_n}{w}$  of  $\theta$  is falsifiable, then also at least one of the premises  $w_i$  is falsifiable

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## Lemma 2.1.

All LK-rules preserve falsifiability.

- ▶ We have shown that the rules for propositional connectives ( $\wedge$ ,  $\vee$ ,  $\rightarrow$ ,  $\neg$ ) have this property.
- ▶ It remains to show that also the  $\forall$  and  $\exists$  rules preserve falsifiability.

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- ▶ And therefore:  $\mathcal{I} \models A[x \setminus t]$ .



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# Proof: $\exists$ -right and $\forall$ -right preserve satisfiability

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# How to show the Soundness Theorem?

As for propositional logic, we show the following lemmas:

1. All LK-rules preserve falsifiability upwards.
2. An LK-derivation with a falsifiable root sequent has at least one falsifiable leaf sequent
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Finally, we use these lemmas to show the soundness theorem.

# Existence of a falsifiable leaf sequent

## Lemma 2.2.

*If the root sequent  $\mathcal{I}$  of an LK-derivation is falsifiable, then at least one of the leaf sequents is satisfiable.*

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- ▶ Therefore, the same formula  $p(t_1, \dots, t_n)$  is satisfied in the succedent.

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- ▶ So  $\mathcal{P}$  cannot be an LK-proof.



# Outline

- ▶ Preliminaries and Reminders
- ▶ Soundness Proof
- ▶ **Completeness: Preliminaries**
- ▶ Proof of Completeness
- ▶ Examples of Counter-model Construction

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- ▶ Intuitively, the Herbrand universe of  $T$  is the set of all *closed* terms that can be constructed from the constant and function symbols in  $T$ .

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Let  $F = \{\forall x p(f(g(x)))\}$ . Then the Herbrand universe of  $F$  is the set

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- ▶ If all branches in a derivation can be closed, then the derivation is finite. I.e. proofs are finite.

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4. If a  $\forall$  formula occurs in an antecedent, or a  $\exists$  formula in a succedent, then the  $\forall$ -left, resp.  $\exists$ -right rules are applied to the formula on that branch *for every term  $t$*  in the Herbrand universe of that branch.

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## Corollary 3.1.

*If  $T$  is a finitely branching tree, where all branches are finitely long, then  $T$  is finite.*

# Outline

- ▶ Preliminaries and Reminders
- ▶ Soundness Proof
- ▶ Completeness: Preliminaries
- ▶ **Proof of Completeness**
- ▶ Examples of Counter-model Construction

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 $At$  be the set of *atomic* formulae in  $\mathcal{B}^\top$ .

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- ▶ Such an interpretation is often called a **Herbrand model** or a **term model**.

# Proof of Completeness (Properties of $\mathcal{I}$ )

- ▶ We show by structural induction on first-order formulae that the interpretation  $\mathcal{I}$  makes *all* formulae in  $\mathcal{B}^\top$  true and all formulae in  $\mathcal{B}^\perp$  false.

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- ▶ Since  $\mathcal{B}$  does not end in an axiom, and the derivation is fair,  $p(t_1, \dots, t_n) \notin At$  and  $\langle t_1, \dots, t_n \rangle \notin p^l$ .

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  - If  $A \in \mathcal{B}^\top$ , then  $\mathcal{I} \models A$ , i.e.  $v_{\mathcal{I}}(A) = T$
  - If  $A \in \mathcal{B}^\perp$ , then  $\mathcal{I} \not\models A$ , i.e.  $v_{\mathcal{I}}(A) = F$

Base case 1:  $A$  is an atomic formula  $p(t_1, \dots, t_n)$  in  $\mathcal{B}^\top$ .

- ▶ Then  $p(t_1, \dots, t_n) \in \text{At}$  og  $\langle t_1, \dots, t_n \rangle \in p^v$  by construction.
- ▶ Therefore  $\mathcal{I} \models p(t_1, \dots, t_n)$ .

Base case 2:  $A$  is an atomic formula  $p(t_1, \dots, t_n)$  in  $\mathcal{B}^\perp$ .

- ▶ Since  $\mathcal{B}$  does not end in an axiom, and the derivation is fair,  $p(t_1, \dots, t_n) \notin \text{At}$  and  $\langle t_1, \dots, t_n \rangle \notin p^v$ .
- ▶ Therefore  $\mathcal{I} \not\models p(t_1, \dots, t_n)$ .

# Proof of Completeness (Propositional connectives)

Induction step: From the assumption (induction hypothesis) that our statement holds for all smaller formulae, we have to show that it holds for  $\neg A$ ,  $(A \wedge B)$ ,  $(A \vee B)$ ,  $(A \rightarrow B)$ ,  $\forall x A$ , and  $\exists x A$ .

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We only need to cover quantified formulae

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  - ▶  $v_{\mathcal{I}}(\alpha\{x \leftarrow t\}, A) = F$  (since  $v_{\mathcal{I}}(t) = t$ )

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- ▶ Contradiction!



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Assume that  $\forall x A \in \mathcal{B}^\top$ .

- ▶ We have to show that  $\mathcal{I} \models \forall x A$ . Assume that this does not hold.

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Assume that  $\forall x A \in \mathcal{B}^T$ .

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# Proof of Completeness ( $\forall$ in Antecedent)

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- ▶ We have to show that  $\mathcal{I} \models \forall x A$ . Assume that this does not hold.
- ▶ I.e.  $\mathcal{I} \not\models \forall x A$
- ▶ Remember that the domain  $D$  of  $\mathcal{I} = (D, \iota)$  consists of terms
- ▶ Then  $v_{\mathcal{I}}(\alpha\{x \leftarrow t\}, A) = F$  for some term  $t \in D$ .
- ▶ By fairness of the derivation, the  $\forall$ -left rule was applied on  $\forall x A$  with the term  $t$ .
- ▶ It follows that:
  - ▶  $A[x \setminus t] \in \mathcal{B}^\top$
  - ▶  $v_{\mathcal{I}}(A[x \setminus t]) = T$  (induction hypothesis)
  - ▶  $v_{\mathcal{I}}(\alpha\{x \leftarrow v_{\mathcal{I}}(t)\}, A) = T$  for any  $\alpha$  (substitution lemma)

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- ▶ Contradiction!

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- ▶ The idea of the completeness proof is important: we construct an interpretation from something purely syntactic.

# Outline

- ▶ Preliminaries and Reminders
- ▶ Soundness Proof
- ▶ Completeness: Preliminaries
- ▶ Proof of Completeness
- ▶ **Examples of Counter-model Construction**

# Counter-model Construction, Ex. 1

- ▶ Abbreviate  $px$  for  $p(x)$ ,  $qb$  for  $q(b)$ , etc.

## Counter-model Construction, Ex. 1

$$\underbrace{\forall x (px \rightarrow qx), pa}_{A} \Rightarrow \forall x qx$$

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- ▶ Since  $qa \in \mathcal{B}^\top$ , define  $a \in q^t$ , so  $\mathcal{I} \models qa$  and thus  $\mathcal{I} \models pa \rightarrow qa$ .



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- ▶ Since  $qb \in \mathcal{B}^\perp$ , define  $b \notin q^t$ , so  $\mathcal{I} \not\models qb$  and thus  $\mathcal{I} \not\models \forall x qx$ .

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- ▶ Since  $pb \in \mathcal{B}^\perp$ , define  $b \notin p^t$ , so  $\mathcal{I} \not\models pb$  and thus  $\mathcal{I} \models pb \rightarrow qb$ .
- ▶ Therefore also  $\mathcal{I} \models \forall x (px \rightarrow qx)$ .

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- ▶ Since  $pb \in \mathcal{B}^\perp$ , define  $b \notin p^\iota$ , so  $\mathcal{I} \not\models pb$  and thus  $\mathcal{I} \models pb \rightarrow qb$ .
- ▶ Therefore also  $\mathcal{I} \models \forall x (px \rightarrow qx)$ .
- ▶  $\mathcal{I}$  makes all of  $\mathcal{B}^\top$  true and all of  $\mathcal{B}^\perp$  false.

## Counter-model Construction, Ex. 2

$$\underbrace{\forall x (p_x a \rightarrow p_x b), p_a a \vee p_b a}_{A} \Rightarrow p_a b$$

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$$\frac{A, paa \rightarrow pab, paa \Rightarrow pab}{A, paa \Rightarrow pab} \quad \frac{A, pba \Rightarrow pab}{\underbrace{\forall x (pxa \rightarrow pxb), paa \vee pba \Rightarrow pab}_A}$$

- ▶ Herbrand universe of branch  $\mathcal{B}$ , and domain of  $\mathcal{I}$ , is  $\{a, b\}$ .
- ▶ Since  $pab \in \mathcal{B}^\perp$ , define  $\langle a, b \rangle \notin p^v$ , so  $\mathcal{I} \not\models pab$ .

## Counter-model Construction, Ex. 2

(Both branches closeable)

 $A, paa \rightarrow pab, paa \Rightarrow pab$  $A, paa \Rightarrow pab$  $A, pba \Rightarrow pab$ 

$$\underbrace{\forall x (pxa \rightarrow pxb), paa \vee pba \Rightarrow pab}_A$$

- ▶ Herbrand universe of branch  $\mathcal{B}$ , and domain of  $\mathcal{I}$ , is  $\{a, b\}$ .
- ▶ Since  $pab \in \mathcal{B}^\perp$ , define  $\langle a, b \rangle \notin p^v$ , so  $\mathcal{I} \not\models pab$ .

## Counter-model Construction, Ex. 2

(Both branches closeable)

 $A, paa \rightarrow pab, paa \Rightarrow pab$  $A, paa \Rightarrow pab$  $A, pba \Rightarrow pab$ 

$$\underbrace{\forall x (pxa \rightarrow pxb), paa \vee pba \Rightarrow pab}_A$$

- ▶ Herbrand universe of branch  $\mathcal{B}$ , and domain of  $\mathcal{I}$ , is  $\{a, b\}$ .
- ▶ Since  $pab \in \mathcal{B}^\perp$ , define  $\langle a, b \rangle \notin p^v$ , so  $\mathcal{I} \not\models pab$ .

## Counter-model Construction, Ex. 2

(Both branches closeable)

 $A, paa \rightarrow pab, paa \Rightarrow pab$  $A, paa \Rightarrow pab$  $A, pba \Rightarrow pab$ 

$$\underbrace{\forall x (pxa \rightarrow pxb), paa \vee pba \Rightarrow pab}_A$$

- ▶ Herbrand universe of branch  $\mathcal{B}$ , and domain of  $\mathcal{I}$ , is  $\{a, b\}$ .
- ▶ Since  $pab \in \mathcal{B}^\perp$ , define  $\langle a, b \rangle \notin p^\iota$ , so  $\mathcal{I} \not\models pab$ .
- ▶ Since  $pba \in \mathcal{B}^\top$  vil  $\langle b, a \rangle \in p^\iota$ , so  $\mathcal{I} \models pba$  and  $\mathcal{I} \models paa \vee pba$ .



## Counter-model Construction, Ex. 2

(Both branches closeable)

 $A, paa \rightarrow pab, paa \Rightarrow pab$  $A, paa \Rightarrow pab$  $A, pba \Rightarrow pab$ 

$$\underbrace{\forall x (pxa \rightarrow pxb), paa \vee pba \Rightarrow pab}_A$$

- ▶ Herbrand universe of branch  $\mathcal{B}$ , and domain of  $\mathcal{I}$ , is  $\{a, b\}$ .
- ▶ Since  $pab \in \mathcal{B}^\perp$ , define  $\langle a, b \rangle \notin p^\iota$ , so  $\mathcal{I} \not\models pab$ .
- ▶ Since  $pba \in \mathcal{B}^\top$  vil  $\langle b, a \rangle \in p^\iota$ , so  $\mathcal{I} \models pba$  and  $\mathcal{I} \models paa \vee pba$ .

## Counter-model Construction, Ex. 2

(Both branches closeable)

$$\frac{\frac{A, paa \rightarrow pab, paa \Rightarrow pab}{A, paa \Rightarrow pab} \quad \frac{A, paa \rightarrow pab, pba \Rightarrow pab}{A, pba \Rightarrow pab}}{\underbrace{\forall x (pxa \rightarrow pxb), paa \vee pba \Rightarrow pab}_A}$$

- ▶ Herbrand universe of branch  $\mathcal{B}$ , and domain of  $\mathcal{I}$ , is  $\{a, b\}$ .
- ▶ Since  $pab \in \mathcal{B}^\perp$ , define  $\langle a, b \rangle \notin p^v$ , so  $\mathcal{I} \not\models pab$ .
- ▶ Since  $pba \in \mathcal{B}^\top$  vil  $\langle b, a \rangle \in p^v$ , so  $\mathcal{I} \models pba$  and  $\mathcal{I} \models paa \vee pba$ .

## Counter-model Construction, Ex. 2

(Both branches closeable)

$$\frac{\frac{A, paa \rightarrow pab, paa \Rightarrow pab}{A, paa \Rightarrow pab} \quad \frac{A, paa \rightarrow pab, pba \Rightarrow pab}{A, pba \Rightarrow pab}}{\underbrace{\forall x (pxa \rightarrow pxb), paa \vee pba \Rightarrow pab}_A}$$

- ▶ Herbrand universe of branch  $\mathcal{B}$ , and domain of  $\mathcal{I}$ , is  $\{a, b\}$ .
- ▶ Since  $pab \in \mathcal{B}^\perp$ , define  $\langle a, b \rangle \notin p^t$ , so  $\mathcal{I} \not\models pab$ .
- ▶ Since  $pba \in \mathcal{B}^\top$  vil  $\langle b, a \rangle \in p^t$ , so  $\mathcal{I} \models pba$  and  $\mathcal{I} \models paa \vee pba$ .

## Counter-model Construction, Ex. 2

$$\begin{array}{c}
 \text{(Both branches closeable)} \\
 \frac{A, paa \rightarrow pab, paa \Rightarrow pab}{A, paa \Rightarrow pab} \qquad \frac{\frac{A, pba \Rightarrow pab, paa}{A, paa \rightarrow pab, pba \Rightarrow pab} \quad pab, A, pba \Rightarrow pab}{A, pba \Rightarrow pab} \\
 \hline
 \underbrace{\forall x (pxa \rightarrow pxb), paa \vee pba \Rightarrow pab}_A
 \end{array}$$

- ▶ Herbrand universe of branch  $\mathcal{B}$ , and domain of  $\mathcal{I}$ , is  $\{a, b\}$ .
- ▶ Since  $pab \in \mathcal{B}^\perp$ , define  $\langle a, b \rangle \notin p^\iota$ , so  $\mathcal{I} \not\models pab$ .
- ▶ Since  $pba \in \mathcal{B}^\top$  vil  $\langle b, a \rangle \in p^\iota$ , so  $\mathcal{I} \models pba$  and  $\mathcal{I} \models paa \vee pba$ .

## Counter-model Construction, Ex. 2

$$\begin{array}{c}
 \text{(Both branches closeable)} \\
 \frac{A, paa \rightarrow pab, paa \Rightarrow pab}{A, paa \Rightarrow pab} \qquad \frac{\frac{A, pba \Rightarrow pab, paa}{A, paa \rightarrow pab, pba \Rightarrow pab} \quad pab, A, pba \Rightarrow pab}{A, pba \Rightarrow pab} \\
 \hline
 \underbrace{\forall x (pxa \rightarrow pxb), paa \vee pba \Rightarrow pab}_A
 \end{array}$$

- ▶ Herbrand universe of branch  $\mathcal{B}$ , and domain of  $\mathcal{I}$ , is  $\{a, b\}$ .
- ▶ Since  $pab \in \mathcal{B}^\perp$ , define  $\langle a, b \rangle \notin p^\iota$ , so  $\mathcal{I} \not\models pab$ .
- ▶ Since  $pba \in \mathcal{B}^\top$  vil  $\langle b, a \rangle \in p^\iota$ , so  $\mathcal{I} \models pba$  and  $\mathcal{I} \models paa \vee pba$ .

## Counter-model Construction, Ex. 2

(Both branches closeable)

$$\frac{\frac{A, paa \rightarrow pab, paa \Rightarrow pab}{A, paa \Rightarrow pab} \quad \frac{\frac{A, pba \Rightarrow pab, paa}{A, paa \rightarrow pab, pba \Rightarrow pab} \quad \frac{pab, A, pba \Rightarrow pab}{A, pba \Rightarrow pab}}{\frac{\forall x (pxa \rightarrow pxb), paa \vee pba \Rightarrow pab}{A}}$$

- ▶ Herbrand universe of branch  $\mathcal{B}$ , and domain of  $\mathcal{I}$ , is  $\{a, b\}$ .
- ▶ Since  $pab \in \mathcal{B}^\perp$ , define  $\langle a, b \rangle \notin p^\iota$ , so  $\mathcal{I} \not\models pab$ .
- ▶ Since  $pba \in \mathcal{B}^\top$  vil  $\langle b, a \rangle \in p^\iota$ , so  $\mathcal{I} \models pba$  and  $\mathcal{I} \models paa \vee pba$ .

## Counter-model Construction, Ex. 2

$$\begin{array}{c}
 \text{(Both branches closeable)} \\
 \frac{A, paa \rightarrow pab, paa \Rightarrow pab}{A, paa \Rightarrow pab} \qquad \frac{\frac{A, pba \Rightarrow pab, paa}{A, paa \rightarrow pab, pba \Rightarrow pab} \quad \frac{pab, A, pba \Rightarrow pab}{A, pba \Rightarrow pab}}{\frac{\forall x (pxa \rightarrow pxb), paa \vee pba \Rightarrow pab}{A}}
 \end{array}$$

- ▶ Herbrand universe of branch  $\mathcal{B}$ , and domain of  $\mathcal{I}$ , is  $\{a, b\}$ .
- ▶ Since  $pab \in \mathcal{B}^\perp$ , define  $\langle a, b \rangle \notin p^v$ , so  $\mathcal{I} \not\models pab$ .
- ▶ Since  $pba \in \mathcal{B}^\top$  vil  $\langle b, a \rangle \in p^v$ , so  $\mathcal{I} \models pba$  and  $\mathcal{I} \models paa \vee pba$ .

## Counter-model Construction, Ex. 2

(Both branches closeable)

$$\frac{\frac{A, paa \rightarrow pab, paa \Rightarrow pab}{A, paa \Rightarrow pab} \quad \frac{\frac{A, pba \Rightarrow pab, paa}{A, paa \rightarrow pab, pba \Rightarrow pab} \quad \frac{pab, A, pba \Rightarrow pab}{A, pba \Rightarrow pab}}{\underbrace{\forall x (pxa \rightarrow pxb), paa \vee pba \Rightarrow pab}_A}$$

- ▶ Herbrand universe of branch  $\mathcal{B}$ , and domain of  $\mathcal{I}$ , is  $\{a, b\}$ .
- ▶ Since  $pab \in \mathcal{B}^\perp$ , define  $\langle a, b \rangle \notin p^\iota$ , so  $\mathcal{I} \not\models pab$ .
- ▶ Since  $pba \in \mathcal{B}^\top$  vil  $\langle b, a \rangle \in p^\iota$ , so  $\mathcal{I} \models pba$  and  $\mathcal{I} \models paa \vee pba$ .
- ▶ Since  $paa \in \mathcal{B}^\perp$  vil  $\langle a, a \rangle \notin p^\iota$ , so  $\mathcal{I} \not\models paa$  and  $\mathcal{I} \models paa \rightarrow pab$ .



## Counter-model Construction, Ex. 2

(Both branches closeable)

$$\frac{\frac{A, paa \rightarrow pab, paa \Rightarrow pab}{A, paa \Rightarrow pab} \quad \frac{\frac{A, pba \Rightarrow pab, paa}{A, paa \rightarrow pab, pba \Rightarrow pab} \quad \frac{pab, A, pba \Rightarrow pab}{A, pba \Rightarrow pab}}{\underbrace{\forall x (pxa \rightarrow pxb), paa \vee pba \Rightarrow pab}_A}$$

- ▶ Herbrand universe of branch  $\mathcal{B}$ , and domain of  $\mathcal{I}$ , is  $\{a, b\}$ .
- ▶ Since  $pab \in \mathcal{B}^\perp$ , define  $\langle a, b \rangle \notin p^\iota$ , so  $\mathcal{I} \not\models pab$ .
- ▶ Since  $pba \in \mathcal{B}^\top$  vil  $\langle b, a \rangle \in p^\iota$ , so  $\mathcal{I} \models pba$  and  $\mathcal{I} \models paa \vee pba$ .
- ▶ Since  $paa \in \mathcal{B}^\perp$  vil  $\langle a, a \rangle \notin p^\iota$ , so  $\mathcal{I} \not\models paa$  and  $\mathcal{I} \models paa \rightarrow pab$ .

## Counter-model Construction, Ex. 2

$$\begin{array}{c}
 \text{(Both branches closeable)} \\
 \frac{A, paa \rightarrow pab, paa \Rightarrow pab}{A, paa \Rightarrow pab} \quad \frac{\frac{A, pba \rightarrow pbb, pba \Rightarrow pab, paa}{A, pba \Rightarrow pab, paa} \quad \frac{pab, A, pba \Rightarrow pab}{pab, A, pba \Rightarrow pab}}{A, paa \rightarrow pab, pba \Rightarrow pab} \\
 \hline
 \frac{A, paa \Rightarrow pab \quad \frac{A, paa \rightarrow pab, pba \Rightarrow pab}{A, pba \Rightarrow pab}}{\underbrace{\forall x (pxa \rightarrow pxb), paa \vee pba \Rightarrow pab}_A}
 \end{array}$$

- ▶ Herbrand universe of branch  $\mathcal{B}$ , and domain of  $\mathcal{I}$ , is  $\{a, b\}$ .
- ▶ Since  $pab \in \mathcal{B}^\perp$ , define  $\langle a, b \rangle \notin p^\iota$ , so  $\mathcal{I} \not\models pab$ .
- ▶ Since  $pba \in \mathcal{B}^\top$  vil  $\langle b, a \rangle \in p^\iota$ , so  $\mathcal{I} \models pba$  and  $\mathcal{I} \models paa \vee pba$ .
- ▶ Since  $paa \in \mathcal{B}^\perp$  vil  $\langle a, a \rangle \notin p^\iota$ , so  $\mathcal{I} \not\models paa$  and  $\mathcal{I} \models paa \rightarrow pab$ .

## Counter-model Construction, Ex. 2

$$\begin{array}{c}
 \text{(Both branches closeable)} \\
 \frac{A, paa \rightarrow pab, paa \Rightarrow pab}{A, paa \Rightarrow pab} \quad \frac{\frac{A, pba \rightarrow pbb, pba \Rightarrow pab, paa}{A, pba \Rightarrow pab, paa} \quad \frac{pab, A, pba \Rightarrow pab}{pab, A, pba \Rightarrow pab}}{A, paa \rightarrow pab, pba \Rightarrow pab} \\
 \frac{A, paa \Rightarrow pab \quad A, pba \Rightarrow pab}{A, pba \Rightarrow pab} \\
 \frac{\underbrace{\forall x (pxa \rightarrow pxb), paa \vee pba \Rightarrow pab}_A}{A}
 \end{array}$$

- ▶ Herbrand universe of branch  $\mathcal{B}$ , and domain of  $\mathcal{I}$ , is  $\{a, b\}$ .
- ▶ Since  $pab \in \mathcal{B}^\perp$ , define  $\langle a, b \rangle \notin p^\iota$ , so  $\mathcal{I} \not\models pab$ .
- ▶ Since  $pba \in \mathcal{B}^\top$  vil  $\langle b, a \rangle \in p^\iota$ , so  $\mathcal{I} \models pba$  and  $\mathcal{I} \models paa \vee pba$ .
- ▶ Since  $paa \in \mathcal{B}^\perp$  vil  $\langle a, a \rangle \notin p^\iota$ , so  $\mathcal{I} \not\models paa$  and  $\mathcal{I} \models paa \rightarrow pab$ .

## Counter-model Construction, Ex. 2

$$\begin{array}{c}
 \frac{A, pba \Rightarrow pab, paa, pba \quad pbb, A, pba \Rightarrow pab, paa}{A, pba \rightarrow pbb, pba \Rightarrow pab, paa} \\
 \text{(Both branches closeable)} \\
 \frac{A, paa \rightarrow pab, paa \Rightarrow pab}{A, paa \Rightarrow pab} \quad \frac{A, pba \Rightarrow pab, paa}{A, pba \Rightarrow pab} \quad \frac{pab, A, pba \Rightarrow pab}{A, paa \rightarrow pab, pba \Rightarrow pab} \\
 \frac{A, paa \Rightarrow pab \quad A, pba \Rightarrow pab}{\forall x (pxa \rightarrow pxb), paa \vee pba \Rightarrow pab} \\
 \underbrace{\hspace{10em}}_A
 \end{array}$$

- ▶ Herbrand universe of branch  $\mathcal{B}$ , and domain of  $\mathcal{I}$ , is  $\{a, b\}$ .
- ▶ Since  $pab \in \mathcal{B}^\perp$ , define  $\langle a, b \rangle \notin p^\iota$ , so  $\mathcal{I} \not\models pab$ .
- ▶ Since  $pba \in \mathcal{B}^\top$  vil  $\langle b, a \rangle \in p^\iota$ , so  $\mathcal{I} \models pba$  and  $\mathcal{I} \models paa \vee pba$ .
- ▶ Since  $paa \in \mathcal{B}^\perp$  vil  $\langle a, a \rangle \notin p^\iota$ , so  $\mathcal{I} \not\models paa$  and  $\mathcal{I} \models paa \rightarrow pab$ .

## Counter-model Construction, Ex. 2

$$\begin{array}{c}
 \frac{A, pba \Rightarrow pab, paa, pba \quad pbb, A, pba \Rightarrow pab, paa}{A, pba \rightarrow pbb, pba \Rightarrow pab, paa} \\
 \text{(Both branches closeable)} \\
 \frac{A, paa \rightarrow pab, paa \Rightarrow pab}{A, paa \Rightarrow pab} \quad \frac{A, pba \Rightarrow pab, paa}{A, paa \rightarrow pab, pba \Rightarrow pab} \quad \frac{pab, A, pba \Rightarrow pab}{A, pba \Rightarrow pab} \\
 \hline
 \underbrace{\forall x (pxa \rightarrow pxb), paa \vee pba \Rightarrow pab}_A
 \end{array}$$

- ▶ Herbrand universe of branch  $\mathcal{B}$ , and domain of  $\mathcal{I}$ , is  $\{a, b\}$ .
- ▶ Since  $pab \in \mathcal{B}^\perp$ , define  $\langle a, b \rangle \notin p^\iota$ , so  $\mathcal{I} \not\models pab$ .
- ▶ Since  $pba \in \mathcal{B}^\top$  vil  $\langle b, a \rangle \in p^\iota$ , so  $\mathcal{I} \models pba$  and  $\mathcal{I} \models paa \vee pba$ .
- ▶ Since  $paa \in \mathcal{B}^\perp$  vil  $\langle a, a \rangle \notin p^\iota$ , so  $\mathcal{I} \not\models paa$  and  $\mathcal{I} \models paa \rightarrow pab$ .

## Counter-model Construction, Ex. 2

$$\begin{array}{c}
 \frac{A, pba \Rightarrow pab, paa, pba}{A, pba \Rightarrow pab, paa} \quad pbb, A, pba \Rightarrow pab, paa \\
 \frac{A, pba \rightarrow pbb, pba \Rightarrow pab, paa}{A, pba \Rightarrow pab, paa} \\
 \text{(Both branches closeable)} \\
 \frac{A, paa \rightarrow pab, paa \Rightarrow pab}{A, paa \Rightarrow pab} \quad \frac{A, paa \rightarrow pab, pba \Rightarrow pab}{A, pba \Rightarrow pab} \\
 \frac{\frac{\frac{A, paa \Rightarrow pab}{A, paa \Rightarrow pab} \quad \frac{A, pba \Rightarrow pab}{A, pba \Rightarrow pab}}{\forall x (pxa \rightarrow pxb), paa \vee pba \Rightarrow pab}}{A}
 \end{array}$$

- ▶ Herbrand universe of branch  $\mathcal{B}$ , and domain of  $\mathcal{I}$ , is  $\{a, b\}$ .
- ▶ Since  $pab \in \mathcal{B}^\perp$ , define  $\langle a, b \rangle \notin p^\iota$ , so  $\mathcal{I} \not\models pab$ .
- ▶ Since  $pba \in \mathcal{B}^\top$  vil  $\langle b, a \rangle \in p^\iota$ , so  $\mathcal{I} \models pba$  and  $\mathcal{I} \models paa \vee pba$ .
- ▶ Since  $paa \in \mathcal{B}^\perp$  vil  $\langle a, a \rangle \notin p^\iota$ , so  $\mathcal{I} \not\models paa$  and  $\mathcal{I} \models paa \rightarrow pab$ .

## Counter-model Construction, Ex. 2

$$\begin{array}{c}
 \frac{A, pba \Rightarrow pab, paa, pba \quad pbb, A, pba \Rightarrow pab, paa}{A, pba \rightarrow pbb, pba \Rightarrow pab, paa} \\
 \text{(Both branches closeable)} \\
 \frac{A, paa \rightarrow pab, paa \Rightarrow pab}{A, paa \Rightarrow pab} \quad \frac{A, pba \Rightarrow pab, paa}{A, pba \Rightarrow pab} \quad \frac{pab, A, pba \Rightarrow pab}{A, paa \rightarrow pab, pba \Rightarrow pab} \\
 \frac{A, paa \Rightarrow pab \quad A, pba \Rightarrow pab}{\forall x (pxa \rightarrow pxb), paa \vee pba \Rightarrow pab} \\
 \underbrace{\hspace{10em}}_A
 \end{array}$$

- ▶ Herbrand universe of branch  $\mathcal{B}$ , and domain of  $\mathcal{I}$ , is  $\{a, b\}$ .
- ▶ Since  $pab \in \mathcal{B}^\perp$ , define  $\langle a, b \rangle \notin p^\iota$ , so  $\mathcal{I} \not\models pab$ .
- ▶ Since  $pba \in \mathcal{B}^\top$  vil  $\langle b, a \rangle \in p^\iota$ , so  $\mathcal{I} \models pba$  and  $\mathcal{I} \models paa \vee pba$ .
- ▶ Since  $paa \in \mathcal{B}^\perp$  vil  $\langle a, a \rangle \notin p^\iota$ , so  $\mathcal{I} \not\models paa$  and  $\mathcal{I} \models paa \rightarrow pab$ .

## Counter-model Construction, Ex. 2

$$\begin{array}{c}
 \frac{A, pba \Rightarrow pab, paa, pba \quad pbb, A, pba \Rightarrow pab, paa}{A, pba \rightarrow pbb, pba \Rightarrow pab, paa} \\
 \text{(Both branches closeable)} \\
 \frac{A, paa \rightarrow pab, paa \Rightarrow pab}{A, paa \Rightarrow pab} \quad \frac{A, pba \Rightarrow pab, paa}{A, pba \Rightarrow pab} \quad \frac{pab, A, pba \Rightarrow pab}{A, paa \rightarrow pab, pba \Rightarrow pab} \\
 \frac{A, paa \Rightarrow pab \quad A, pba \Rightarrow pab}{\forall x (pxa \rightarrow pxb), paa \vee pba \Rightarrow pab} \\
 \underbrace{\hspace{10em}}_A
 \end{array}$$

- ▶ Herbrand universe of branch  $\mathcal{B}$ , and domain of  $\mathcal{I}$ , is  $\{a, b\}$ .
- ▶ Since  $pab \in \mathcal{B}^\perp$ , define  $\langle a, b \rangle \notin p^\iota$ , so  $\mathcal{I} \not\models pab$ .
- ▶ Since  $pba \in \mathcal{B}^\top$  vil  $\langle b, a \rangle \in p^\iota$ , so  $\mathcal{I} \models pba$  and  $\mathcal{I} \models paa \vee pba$ .
- ▶ Since  $paa \in \mathcal{B}^\perp$  vil  $\langle a, a \rangle \notin p^\iota$ , so  $\mathcal{I} \not\models paa$  and  $\mathcal{I} \models paa \rightarrow pab$ .
- ▶ Since  $pbb \in \mathcal{B}^\top$  vil  $\langle b, b \rangle \in p^\iota$ , so  $\mathcal{I} \models pbb$  and  $\mathcal{I} \models pba \rightarrow pbb$ .



## Counter-model Construction, Ex. 2

$$\begin{array}{c}
 \frac{A, pba \Rightarrow pab, paa, pba}{A, pba \Rightarrow pab, paa} \quad \frac{pbb, A, pba \Rightarrow pab, paa}{A, pba \Rightarrow pbb, pba \Rightarrow pab, paa} \\
 \text{(Both branches closeable)} \\
 \frac{A, paa \rightarrow pab, paa \Rightarrow pab}{A, paa \Rightarrow pab} \quad \frac{\frac{A, pba \Rightarrow pab, paa}{A, paa \rightarrow pab, pba \Rightarrow pab} \quad \frac{pab, A, pba \Rightarrow pab}{A, pba \Rightarrow pab}}{\frac{\forall x (pxa \rightarrow pxb), paa \vee pba \Rightarrow pab}{A}}
 \end{array}$$

- ▶ Herbrand universe of branch  $\mathcal{B}$ , and domain of  $\mathcal{I}$ , is  $\{a, b\}$ .
- ▶ Since  $pab \in \mathcal{B}^\perp$ , define  $\langle a, b \rangle \notin p^\iota$ , so  $\mathcal{I} \not\models pab$ .
- ▶ Since  $pba \in \mathcal{B}^\top$  vil  $\langle b, a \rangle \in p^\iota$ , so  $\mathcal{I} \models pba$  and  $\mathcal{I} \models paa \vee pba$ .
- ▶ Since  $paa \in \mathcal{B}^\perp$  vil  $\langle a, a \rangle \notin p^\iota$ , so  $\mathcal{I} \not\models paa$  and  $\mathcal{I} \models paa \rightarrow pab$ .
- ▶ Since  $pbb \in \mathcal{B}^\top$  vil  $\langle b, b \rangle \in p^\iota$ , so  $\mathcal{I} \models pbb$  and  $\mathcal{I} \models pba \rightarrow pbb$ .
- ▶ We thus have  $\mathcal{I} \models \forall x (pxa \rightarrow pxb)$ .

## Counter-model Construction, Ex. 2

$$\begin{array}{c}
 \frac{A, pba \Rightarrow pab, paa, pba}{A, paa \Rightarrow pab} \quad \frac{\frac{A, pba \rightarrow pbb, pba \Rightarrow pab, paa}{A, pba \Rightarrow pab, paa} \quad \frac{pbb, A, pba \Rightarrow pab, paa}{pab, A, pba \Rightarrow pab}}{A, paa \rightarrow pab, pba \Rightarrow pab} \\
 \frac{A, paa \Rightarrow pab \quad A, pba \Rightarrow pab}{\forall x (pxa \rightarrow pxb), paa \vee pba \Rightarrow pab} \\
 \underbrace{\hspace{10em}}_A
 \end{array}$$

- ▶ Herbrand universe of branch  $\mathcal{B}$ , and domain of  $\mathcal{I}$ , is  $\{a, b\}$ .
- ▶ Since  $pab \in \mathcal{B}^\perp$ , define  $\langle a, b \rangle \notin p^\iota$ , so  $\mathcal{I} \not\models pab$ .
- ▶ Since  $pba \in \mathcal{B}^\top$  vil  $\langle b, a \rangle \in p^\iota$ , so  $\mathcal{I} \models pba$  and  $\mathcal{I} \models paa \vee pba$ .
- ▶ Since  $paa \in \mathcal{B}^\perp$  vil  $\langle a, a \rangle \notin p^\iota$ , so  $\mathcal{I} \not\models paa$  and  $\mathcal{I} \models paa \rightarrow pab$ .
- ▶ Since  $pbb \in \mathcal{B}^\top$  vil  $\langle b, b \rangle \in p^\iota$ , so  $\mathcal{I} \models pbb$  and  $\mathcal{I} \models pba \rightarrow pbb$ .
- ▶ We thus have  $\mathcal{I} \models \forall x (pxa \rightarrow pxb)$ .

## Counter-model Construction, Ex. 2

$$\begin{array}{c}
 \frac{\frac{\frac{A, pba \Rightarrow pab, paa, pba}{A, pba \rightarrow pbb, pba \Rightarrow pab, paa} \quad \mathcal{B}}{A, pba \Rightarrow pab, paa} \quad \frac{pbb, A, pba \Rightarrow pab, paa}{pab, A, pba \Rightarrow pab}}{A, pba \rightarrow pab, pba \Rightarrow pab}}{A, paa \rightarrow pab, paa \Rightarrow pab} \\
 \frac{A, paa \Rightarrow pab}{\underbrace{\forall x (pxa \rightarrow pxb), paa \vee pba \Rightarrow pab}_A}
 \end{array}$$

- ▶ Herbrand universe of branch  $\mathcal{B}$ , and domain of  $\mathcal{I}$ , is  $\{a, b\}$ .
- ▶ Since  $pab \in \mathcal{B}^\perp$ , define  $\langle a, b \rangle \notin p^\iota$ , so  $\mathcal{I} \not\models pab$ .
- ▶ Since  $pba \in \mathcal{B}^\top$  vil  $\langle b, a \rangle \in p^\iota$ , so  $\mathcal{I} \models pba$  and  $\mathcal{I} \models paa \vee pba$ .
- ▶ Since  $paa \in \mathcal{B}^\perp$  vil  $\langle a, a \rangle \notin p^\iota$ , so  $\mathcal{I} \not\models paa$  and  $\mathcal{I} \models paa \rightarrow pab$ .
- ▶ Since  $pbb \in \mathcal{B}^\top$  vil  $\langle b, b \rangle \in p^\iota$ , so  $\mathcal{I} \models pbb$  and  $\mathcal{I} \models pba \rightarrow pbb$ .
- ▶ We thus have  $\mathcal{I} \models \forall x (pxa \rightarrow pxb)$ .
- ▶  $\mathcal{I}$  makes all of  $\mathcal{B}^\top$  true and all of  $\mathcal{B}^\perp$  false.

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