INF1060: Introduction to Operating Systems and Data Communication

Operating Systems: Storage: Disks & File Systems

Wednesday 8 October 14



- (Mechanical) Disks
- Disk scheduling
- Memory/buffer caching
- File systems
- Some trends...



Disks



Disks ...

- are used to have a **persistent system**
- ③ are cheaper compared to main memory
- ③ have more capacity
- 🙁 are orders of magnitude slower
- Two resources of importance
 - storage space
 - I/O bandwidth
- We must look closer on how to manage disks, because...
 - ...there is a large speed-mismatch (ms vs. ns) compared to main memory
 - ...disk I/O is often the main performance bottleneck











Mechanics of Disks



circular platters covered with magnetic material to provide nonvolatile storage of bits Spindle of which the platters rotate around Tracks concentric circles on a single platter

Sectors

segment of the *track* circle – usually each contains 512 bytes – separated by non-magnetic gaps. The gaps are often used to identify beginning of a sector Disk heads read or alter the magnetism (bits) passing under it. The heads are attached to an arm enabling it to move across the platter surface

Cylinders

corresponding tracks on the different platters are said to form a cylinder



Disk Capacity

- The size (storage space) of the disk is dependent on
 - the number of platters
 - whether the platters use one or both sides
 - number of tracks per surface
 - (average) number of sectors per track
 - number of bytes per sector
- Example (Cheetah X15.1):
 - 4 platters using both sides: 8 surfaces
 - 18497 tracks per surface
 - 617 sectors per track (average)
 - 512 bytes per sector

Note:

there is a difference between formatted and total capacity. Some of the capacity is used for storing checksums, **spare tracks**, etc.

- Total capacity = 8 x 18497 x 617 x 512 \approx 4.6 x 10¹⁰ = 42.8 GB
- Formatted capacity = 36.7 GB



Disk Access Time

How do we retrieve data from disk?

- position head over the cylinder (track) on which the block (consisting of one or more sectors) are located
- read or write the data block as the sectors are moved under the head when the platters rotate

The time between the moment issuing a disk request and the time the block is resident in memory is called *disk latency* or *disk access time*



Disk Access Time block x I want block X in memory Disk platter Disk access time = Disk head Seek time + Rotational delay + Transfer time Disk arm + Other delays

Disk Access Time: Seek Time

- Seek time is the time to position the head
 - some time is used for actually moving the head roughly proportional to the number of cylinders traveled
 - the heads require a minimum amount of time to start and stop moving the head



Disk Access Time: Rotational Delay

Time for the disk platters to rotate so the first of the required sectors are under the disk head



Disk Access Time: Transfer Time

- Time for data to be read by the disk head, i.e., time it takes the sectors of the requested block to rotate under the head
- Transfer time is dependent on data density and rotation speed
- Transfer rate = amount of data per track time per rotation
- Transfer time = amount of data to read / transfer rate
- Transfer rate example
 - Barracuda 180:
 - 406 KB per track x 7.200 RPM ≈ 47.58 MB/s
 - Cheetah X15: 306 KB per track x 15.000 RPM ≈ 77.15 MB/s

Note:

one might achieve these transfer rates reading continuously on disk, but time must be added for seeks, etc.

If we have to change track, time must also be added for moving the head



Disk Access Time: Other Delays

- There are several other factors which might introduce additional delays:
 - CPU time to issue and process I/O
 - contention for controller
 - contention for bus
 - contention for memory
 - -verifying block correctness with checksums (retransmissions)
 - -waiting in scheduling queue

- ...

 Typical values: "0" (maybe except from waiting in the queue)

Disk Specifications

Some existing (Seagate) disks:

Note 1:

disk manufacturers usually denote GB as 10^9 whereas computer quantities often are powers of 2, i.e., GB is 2^{30}

	Barracuda 180	Cheetah 36	Cheetah X15.3
Capacity (GB)	181.6	36.4	73.4
Spindle speed (RPM)	7200	10.000	15.000
#cylinders	24.247	9.772	18.479
average seek time (ms)	7.4	5.7	3.6
min (track-to-track) seek (ms)	0.8	0.6	0.2
max (full stroke) seek (ms)	16	12	7
average latency (ms)	4.17	3	2
internal transfer rate (Mbps)	282 – 508	520 – 682	609 – 891
disk buffer cache	16 MB	4 MB	8 MB

Note 2:

there is a difference between internal and formatted transfer rate. *Internal* is only between platter. *Formatted* is after the signals interfere with the electronics (cabling loss, interference, retransmissions, checksums, etc.)

Note 3:

there is usually a trade off between speed and capacity

Writing and Modifying Blocks

- A write operation is analogous to read operations
 - must potentially add time for block allocation
 - a complication occurs if the write operation has to be *verified* must usually wait another rotation and then read the block again
 - Total write time ≈ read time (+ time for one rotation)

- A modification operation is similar to read and write operations
 - cannot modify a block directly:
 - **read** block into main memory
 - modify the block
 - write new content back to disk
 - Total modify time \approx read time (+ time to modify) + write time

Disk Controllers

- To manage the different parts of the disk, we use a disk controller, which is a small processor capable of:
 - controlling the actuator moving the head to the desired track
 - selecting which head (platter and surface) to use
 - knowing when the right sector is under the head
 - transferring data between main memory and disk

Efficient Secondary Storage Usage

- Must take into account the use of secondary storage
 - there are large gaps in access times between disks and memory, i.e., a disk access will probably dominate the total execution time
 - there may be huge performance improvements if we reduce the number of disk accesses
 - a "slow" algorithm with few disk accesses will probably outperform a "fast" algorithm with many disk accesses

Several ways to optimize

- block size
- file management / data placement
- disk scheduling
- multiple disks
- prefetching

- 4 KB
- various
- SCAN derivate
- a specific RAID level
- read-ahead
- memory caching / replacement algorithms LRU variant

. . .

Data Placement

Data Placement on Disk

 Interleaved placement tries to store blocks from a file with a fixed number of other blocks in-between each block



- fine for predictable workloads reading multiple files
- no gain if we have unpredictable disk accesses
- Non-interleaved (or even random) placement can be used for highly unpredictable workloads

Data Placement on Disk

Contiguous placement stores disk blocks contiguously on disk



- minimal disk arm movement reading the whole file (no intra-file seeks)
- pros/cons
 - ③ head must not move between read operations no seeks / rotational delays
 - $\odot\,$ can approach theoretical transfer rate
 - 8 but usually we read other files as well (giving possible large inter-file seeks)
- real advantage
 - whatever amount to read, at most track-to-track seeks are performed within one request
- no inter-operation gain if we have unpredictable disk accesses



How to most efficiently fetch the parcels I want?



Seek time is the dominant factor of the total disk I/O time

- IDEA: Let the operating system or disk controller choose which request to serve next depending on the *head's current position* and *requested block's position* on disk (disk scheduling)
- Note that disk scheduling ≠ CPU scheduling
 - a mechanical device hard to determine (accurate) access times
 - disk accesses can/should not be preempted run until they finish
- General goals
 - short response time
 - high overall throughput
 - fairness (equal probability for all blocks to be accessed in the same time)
- Tradeoff: seek and rotational delay vs. maximum response time



Several traditional algorithms

- First-Come-First-Serve (FCFS)
- Shortest Seek Time First (SSTF)
- SCAN (and variations)
- Look (and variations)

A LOT of different algorithms exist depending on expected access pattern

First–Come–First–Serve (FCFS)

FCFS (FIFO) serves the first arriving request first:

- Long seeks
- "Short" response time for all

incoming requests (in order of arrival, denoted by cylinder number):



Shortest Seek Time First (SSTF)

SSTF serves closest request first:

- short seek times
- Ionger maximum response times may even lead to starvation



incoming requests (in order of arrival):

12 14 2 7 21 8 24

SCAN

SCAN (elevator) moves head edge to edge and serves requests on the way:

bi-directional

incoming requests (in order of arrival):

compromise between response time and seek time optimizations



SCAN vs. FCFS



C–SCAN

Circular-SCAN moves head from edge to edge

- optimization of SCAN
- serves requests on one way uni-directional
- improves response time (fairness)

incoming requests (in order of arrival):

12 14 2 7 21 8 24



SCAN vs. C–SCAN

- Why is C-SCAN in average better in reality than SCAN when both service the same number of requests in two passes?
 - modern disks must accelerate (speed up and down) when seeking - head movement formula: $\alpha + \beta \sqrt{n}_{n \text{ number of cylinders}}$





cylinders traveled

LOOK and C–LOOK

LOOK (C-LOOK) is a variation of SCAN (C-SCAN):

- same schedule as SCAN
- does not run to the edges
- stops and returns at outer- and innermost request
- increased efficiency
- SCAN vs. LOOK example:

incoming requests (in order of arrival):



V–SCAN(R)

V-SCAN(R) combines SCAN (or LOOK) and SSTF

- define an **R**-sized unidirectional SCAN window, i.e., C-SCAN, and use SSTF outside the window
- Example: V-SCAN(0.6)
 - makes a C-SCAN window over 60 % of the cylinders
 - uses SSTF for requests outside the window



V-SCAN(0.0) equivalent with SSTF

- V-SCAN(1.0) equivalent with C-SCAN
- V-SCAN(0.2) is supposed to be an appropriate configuration

Modern Disk Scheduling

- Disk used to be simple devices and disk scheduling used to be performed by OS (file system or device driver) only...
 - ... but, new disks are more complex
 - hide their true layout, e.g.,
 - only logical block numbers
 - different number of surfaces, cylinders, sectors, etc.

OS view



real view





Modern Disk Scheduling

Disk used to be simple devices and disk scheduling used to be performed by OS (file system or device driver) only...

... but, new disks are more complex

- hide their true layout
- transparently move blocks to spare cylinders
 - e.g., due to bad disk blocks



Seagate X15.3:

Modern Disk Scheduling

- Disk used to be simple devices a performed by OS (file system or
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 - hide their true layout
 - transparently move blocks to spare
 - have different zones

OS view

2

5

Constant angular velocity (CAV) disks

- constant rotation speed
- equal amount of data in each track
- ➡ thus, constant transfer time

ew	real
23 24 0 7 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	

Cylinders per Zone	Sectors per Tracl	Zone Transfer Rate (MBps)	Sectors per Zone	Efficienc	Formatte Capacity (MB)
3544	672	890,98	19014912	77,2%	9735,635
3382	652	878,43	17604000	76,0%	9013,248
3079	624	835,76	15340416	76,5%	7854,293
2939	595	801,88	13961080	76,0%	7148,073
2805	576	755,29	12897792	78,1%	6603,669
2676	537	728,47	11474616	75,5%	5875,003
2554	512	687,05	10440704	76,3%	5345,641
2437	480	649,41	9338880	75,7%	4781,506
2325	466	632,47	8648960	75,5%	4428,268
2342	438	596,07	8188848	75,3%	4192,690

eal view

Zoned CAV disks

- constant rotation speed
- zones are ranges of tracks
- typical few zones
- the different zones have different amount of data, i.e.,
- more better on outer tracks
- ⇒ thus, variable transfer time



Modern Disk Scheduling

- Disk used to be simple devices and disk scheduling used to be performed by OS (file system or device driver) only...
 - ... but, new disks are more complex
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OS view

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real view

Zoned CAV disks

- constant rotation speed
- zones are ranges of tracks
- typical few zones
- the different zones have different amount of data, i.e., more better on outer tracks

For handouts

⇒ thus, variable transfer time

Modern Disk Scheduling

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 - head accelerates most algorithms assume linear movement overhead


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 - on device buffer caches may use read-ahead prefetching





INF1060, Pål Halvorsen

Modern Disk Scheduling

- Disk used to be simple devices and disk scheduling used to be performed by OS (file system or device driver) only...
 - ... but, new disks are more complex
 - hide their true layout
 - transparently move blocks to spare cylinders
 - have different zones
 - head accelerates most algorithms assume linear movement overhead
 - on device buffer caches may use read-ahead prefetching
 - ⇒ "smart" with build in low-level scheduler (usually SCAN-derivate)
 - ⇒ we cannot fully control the device (black box)

• OS could (should?) focus on high level scheduling only!??



Schedulers today (Linux)?

- Elevator SCAN
- NOOP
 - FCFS with request merging
- Deadline I/O
 - C-SCAN based
 - 3 queues: 1 sorted (elevator) queue, and 2 deadline queues (one for read and one for write)
- Anticipatory
 - same queues as in Deadline I/O
 - delays decisions to be able to merge more requests (e.g., a streaming scenario)
- Completely Fair Queuing (CFQ)
 - 1 queue per process (periodic access, but period length depends on load)
 - gives time slices and ordering according to priority level (real-time, best-effort, idle)
 - work-conserving

[diamant] ~ > more /sys/block/sda/queue/scheduler noop anticipatory deadline [cfq]



Cooperative user-kernel space scheduling



⇒ GNU/BSD Tar vs. QTAR



Cooperative user-kernel space scheduling



⇒ GNU/BSD Tar vs. QTAR

University of Oslo

Some times the kernel does not have enough information to make an efficient schedule

♦ File tree traversals

- processing one file after another
- tar, zip, ...
- recursive copy (cp -r)
- search (find)
- . . .
- Only application knows access pattern
 - USE ioctl FIEMAP (FIBMAP) to retrieve extent locations
 - sort in user space
 - send I/O request according to sorted list

GNU/BSD Tar vs. **QTAR**

Cooperative user-kernel space scheunhandouts





Memory Caching

Data Path (Intel Hub Architecture)



Buffer Caching



Buffer Caching: Windows XP

- An I/O manager performs caching
 - centralized facility to all components (not only file data)
- I/O requests processing:
 - 1. I/O request from process
 - 2. I/O manager forwards to cache manager

in cache:

- 3. cache manager locates and copies data to process buffer via VMM
- 4. VMM notifies process

on disk:

- 3. cache manager generates a page fault
- 4. VMM makes a <u>non-cached service request</u>
- 5. I/O manager makes request to file system
- 6. file system forwards to disk
- 7. disk finds data
- 8. reads into cache
- 9. cache manager copies data to process buffer via VMM
- 10. VMM notifies process



Buffer Caching: Linux / Unix

A file system performs caching

- caches disk data (blocks) only
- may hint on caching decisions
- prefetching

I/O requests processing:

- 1. I/O request from process
- 2. virtual file system forwards to local file system
- 3. local file system finds requested block number
- 4. requests block from buffer cache
- 5. data located...
 - ... in cache:
 - a. return buffer memory address
 - Image: Image:
 - a. make request to disk driver
 - b. data is found on disk and transferred to buffer
 - c. return buffer memory address
- 6. file system copies data to process buffer
- 7. process is notified







Buffer Caching Structure



Many different algorithms for replacement, similar to page replacement...

File Systems

Files??

- A file is a collection of data often for a specific purpose
 - unstructured files, e.g., Unix and Windows
 - structured files, e.g., early MacOS (to some extent) and MVS
- In this course, we consider unstructured files
 - for the operating system, a file is only a sequence of bytes
 - it is up to the application/user to interpret the meaning of the bytes
 - simpler file systems



File Systems

- File systems organize data in files and manage access regardless of device type, e.g.:
 - storage management allocating space for files on secondary storage
 - file management providing mechanisms for files to be stored, referenced, shared, secured, ...
 - file integrity mechanisms ensuring that information is not corrupted, intended content only
 - access methods provide methods to access stored data



Organizing Files - Directories

- A system usually has a large number of different files
- To organize and quickly locate files, file systems use directories
 - contain no data itself
 - file containing name and locations of other files
 - several types
 - single-level (flat) directory structure
 - hierarchical directory structure



Single-level Directory Systems



- CP/M
 - Microcomputers
 - Single user system
- VM
 - Host computers
 - "Minidisks": one partition per user

Hierarchical Directory Systems

- Tree structure
 - nodes = directories root node = root directory \Box
 - leaves = files
- Directories
 - stored on disk
 - attributes just like files
- To access a file
 - must (often) test all directories in path for
 - existence
 - being a directory
 - permissions
 - similar tests on the file itself





Hierarchical Directory Systems

Windows: one tree per partition or device



Hierarchical Directory Systems

Unix: single acyclic graph spanning several devices



File & Directory Operations

File:

- create
- delete
- open
- close
- read
- write
- append
- seek
- get/set attributes
- rename
- link
- unlink
- ...

- Directory:
 - create
 - delete
 - opendir
 - closedir
 - readdir
 - rename
 - link
 - unlink

- ...

Example: open(), read() and close()

```
#include <stdio.h>
#include <stdlib.h>
int main (void)
       int fd, n;
       char buffer[BUFSIZE];
       char *buf = buffer;
       if ((fd = open( "my.file", O RDONLY, 0)) == -1) {
               printf("Cannot open my.file!\n");
               exit(1); /* EXIT FAILURE */
       while ((n = read(fd, buf, BUFSIZE) > 0) {
               <<USE DATA IN BUFFER>>
        }
```

```
close(fd);
```

```
exit(0); /* EXIT SUCCESS */
```



Example: open(), read() and close()

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        printf("Cannot open my.file!\n");
        exit(1); /* EXIT_FAILURE */
    }
    while ((n = mend(fd, buf, DUECIZE) > 0) {
```

```
while ((n = read(fd, buf, BUFSIZE) > 0) {
            <<USE DATA IN BUFFER>>
}
```

```
close(fd);
```

```
exit(0); /* EXIT_SUCCESS */
```





Ĥ



VOP_READ(...) is a pointer to a read function in the corresponding file system, e.g., Fast File System (FFS)

READ():

- 1. Find corresponding inode
- 2. Check if valid call: len + offset \leq file size
- 3. Loop and find corresponding blocks
 - find logical blocks from inode, offset, length
 - do block I/O, fill buffer structure
 e.g., bread(...) → bio_doread(...) → getblk()

getblk(vp,blkno,size,...)

• return and copy block to user



A





- 1. Search for block in buffer cache, return if found (hash vp and blkno and follow linked hash list)
- 2. Get a new buffer (LRU, age)
- Call disk driver sleep or do something else
 VOP_STRATEGY (bp)
- 4. Reorganize LRU chain and return buffer











- 1. Search for block in buffer cache, return if found (hash vp and blkno and follow linked hash list)
- Get a new buffer (LRU, age) 2.
- 3. Call disk driver sleep or do something else

Reorganize LRU chain M return buffer 4.







A

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       close(fd);
       exit(0); /* EXIT SUCCESS */
```

Management of File Blocks



Management of File Blocks

Many files consist of several blocks

- relate blocks to files
- how to locate a given block
- maintain order of blocks

Approaches

- chaining in the media
- chaining in a map
- table of pointers
- extent-based allocation



Chaining in the Media

Metadata



must read block by block

Chaining in a Map


FAT Example

- FAT: File Allocation Table
- Versions FAT12, FAT16, FAT32
 - number indicates number of bits used to identify blocks in partition (2¹²,2¹⁶,2³²)
 - FAT12: Block sizes 512 bytes 8 KB: max 32 MB partition size
 - FAT16: Block sizes 512 bytes 64 KB: max 4 GB partition size
 - FAT32: Block sizes 512 bytes 64 KB: max 2 TB partition size



Table of Pointers





Unix/Linux Example: FFS, UFS, ...



Extent-based Allocation

✓ Observation:

indirect block reads introduce disk I/O and breaks access locality



Linux Example: XFS, JFS, EXT4...

- Count-augmented address indexing in the extent sections
- Introduce a new inode structure
 - add counter field to original direct entries
 - direct points to a disk block
 - count indicated how many other blocks is following the first block (contiguously)



ext4 inode







Windows Example: NTFS

- Each partition contains a master file table (MFT)
 - a linear sequence of 1 KB records
 - each record describes a directory or a file (attributes and disk addresses)



Recovery & Journaling

- When data is written to a file, both metadata and data must be updated
 - metadata is written asynchronously, data may be written earlier
 - if a system crashes, the file system may be corrupted and data is lost
- Journaling file systems provide improved consistency and recoverability
 - makes a log to keep track of changes
 - the log can be used to undo partially completed operations
 - e.g., ReiserFS, JFS, XFS and Ext3 (all Linux)
 - NTFS (Windows) provide journaling properties where all changes to MFT and file system structure are logged



DAS vs. NAS vs. SAN??

- How will the introduction of network attached disks influence storage?
- Direct attached storage
- Network attached storage
 - uses some kind of filebased protocol to attach remote devices non-transparently
 - NFS, SMB, CIFS
- Storage area network
 - transparently attach remote storage devices
 - iSCSI (SCSI over TCP/ IP), iFCP (SCSI over Fibre Channel), HyperSCSI (SCSI over Ethernet), ATA over Ethernet





Mechanical Disks vs. Solid State Disks???

How will the introduction of **SSDs** influence storage?

	Storage capasity (GB)	Average (seek) time / latency (ms)	Sustained transfer rate (MBps)	Interface (Gbps)
Seagate Cheetah X15.6 (3.5 inch)	450	3.4 (track to track 0.2)	110 - 171	SAS (3) FC (4)
Seagate Savvio 15K (2.5 inch)	73	2.9 (track to track 0.2)	<mark>29</mark> - 112	SAS (3)
OCM Flash Media Core Series V2	250	< .2 - .3	up to 170	SATA (3)
Intel X25-E (extreme)	64	0.075	250	SATA (3)
Intel X25-M (mainstream)	160	0.085	250	SATA (3)
Mtron SSD Pro 7500 series	128	0.100	130	SATA (1.5)
Intel DC S3700 Series	2000	0.020	2000	PCIe, v3 (7.8/lane)
Intel Drive 910 Series	800	< 0.065	2000	SAS (6)



Why spend a lecture talking about HDD?

SSDs are

- "almost like memory"
- much faster
- more expensive
- smaller

Many devices:

- Google 2012
- 417,600 servers Douglas County, USA
- \checkmark 204,160 servers - The Dalles, USA
- \checkmark 241,280 servers - Council Bluffs, USA
- \checkmark 139,200 servers – Lenoir, USA
- \checkmark 250,560 servers - Moncks Corner, USA
- 296,960 servers St. Ghislain, Belgium
- 1 116,000 servers - Hamina, Finland
- 125,280 servers Mayes County, USA 1

Google Early 2013

- 46,400 servers Profile Park, Dublin, Ireland
- 200,000 servers Jurong West, Singapore (projected estimate)
- 200,000 servers Kowloon, Hong Kong (projected estimate)
- \checkmark 139,200 additional servers - Mayes County, USA
- Estimated grand total: 2,376,640 (early 2013)
- Insert one 0.5 TB SSD in each
 - chepest komplett.no: 4.4 billion NOK
 - Intel P3700: 17.7 billion NOK .

Google data center locations:



Sing Char

Ham

St G Dub









The End: Summary

Summary

- Disks are the main persistent secondary storage device
- The main bottleneck is often disk I/O performance due to disk mechanics: seek time and rotational delays
- Much work has been performed to optimize disks performance
 - scheduling algorithms try to minimize seek overhead (most systems use SCAN derivates)
 - memory caching can save disk I/Os
 - additionally, many other ways (e.g., block sizes, placement, prefetching, striping, ...)
 - world today more complicated (both different access patterns, unknown disk characteristics, ...)
 → new disks are "smart", we cannot fully control the device
- File systems provide
 - file management store, share, access, ...
 - storage management of physical storage
 - access methods functions to read, write, seek, ...