



# Oversampling Data Converters

Tuesday, March 15th, 9:15 – 11:40

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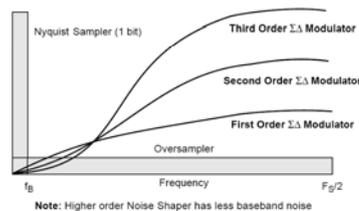
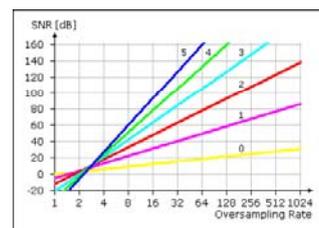
Last time – and today, Tuesday 15th of March:

Last time:

- 12.3 Switched Capacitor Amplifiers
- 12.4 Switched Capacitor Integrator

Today, from chapter 14 in "J. & M.":

- 14.1 Oversampling without noise shaping
- 14.2 Oversampling with noise shaping
- 14.3 System Architectures
- 14.4 Digital Decimation Filters
- 14.5 Higher-Order Modulators
- (14.6 Bandpass Oversampling Converters)
- 14.7 Practical Considerations
- 14.8 Multi-bit oversampling converters
- 2nd order sigma delta design example

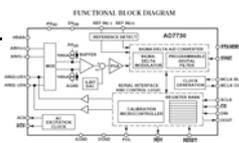


# Oversampling converters (chapter 14 in "S&M")

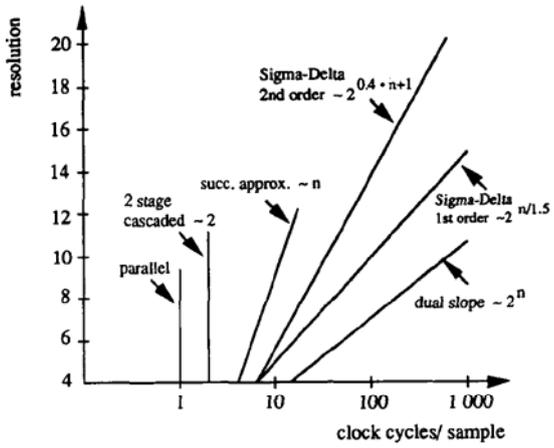
- For **high resolution, low-to-medium-speed** applications like for example digital audio
- **Relaxes requirements** placed on analog circuitry, including matching tolerances and amplifier gains
- **Simplify requirements** placed on the analog anti-aliasing **filters** for A/D converters and smoothing filters for D/A converters.
- Sample-and-Hold is usually not required on the input
- Extra bits of resolution can be extracted from converters that samples much faster than the Nyquist-rate. Extra resolution can be obtained with lower oversampling rates by exploiting **noise shaping**



ANALOG DEVICES  
Bridge Transducer ADC  
AD7730/AD7731



# Resolution and clock cycles per sample



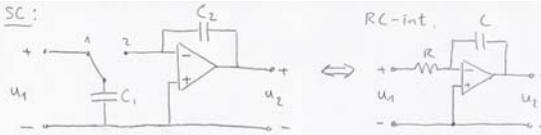
Dependence of achievable resolution and required clock cycles per sample for various ADC systems.

IEEE JOURNAL OF SOLID-STATE CIRCUITS, VOL. 27, NO. 10, OCTOBER 1992  
**A Gigasample/Second 5-b ADC with On-Chip Track and Hold Based on an Industrial 1- $\mu$ m GaAs MESFET E/D Process**  
 Richard Hagelauer, Member, IEEE, Frank Oehler, Günter Rohmer, Josef Sauerer, and Dieter Seitzer, Senior Member, IEEE

## Transfer function for simple discrete time integrator



SC:



$$Q = C \cdot V$$

$$f = \frac{1}{T} \dots$$



Svitsjen er ved tidspunkt  $t = (n-1)T$  i posisjon 1, og det blir tatt en punktvise ("et sampel") av  $u_1(t)$ , da  $C_1$  blir ladet til:

$$q_1[(n-1)T] = C_1 \cdot u_1[(n-1)T]$$

Ladningen på  $C_2$  er (samtidig):

$$q_2[(n-1)T] = C_2 \cdot u_2[(n-1)T]$$

Ved tidspunkt  $t = n \cdot T$  blir ladningen på  $C_1$  overført til  $C_2$  ved at svitsjen er i posisjon 2.

Hele ladningen på  $C_1$  blir ført over til  $C_2$  fordi operasjonsforsterker tvinger spenningen over  $C_1$  til å bli null.

Ladn. på  $C_1$  subtraheres dermed fra ladn. på  $C_2$ .

Ladn. på  $C_2$  ved  $t = nT$  blir dermed:

$$q_2[nT] = q_2[(n-1)T] - q_1[(n-1)T]$$

$$C_2 \cdot u_2[nT] = C_2 \cdot u_2[(n-1)T] - C_1 \cdot u_1[(n-1)T]$$

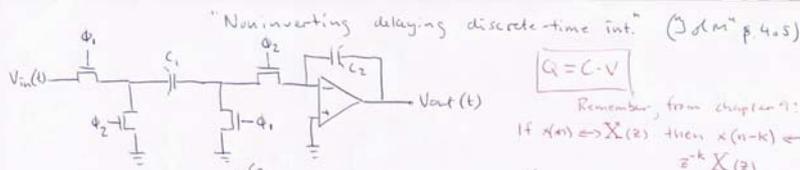
$$u_2[nT] = u_2[(n-1)T] - \frac{C_1}{C_2} \cdot u_1[(n-1)T]$$

Kan benytte z-transform  
cos: If  $x(n) \leftrightarrow X(z)$ , then  $x(n-k) \leftrightarrow z^{-k} X(z)$

$$U_2(z) = U_2(z) \cdot z^{-1} - \frac{C_1}{C_2} U_1(z) z^{-1}$$

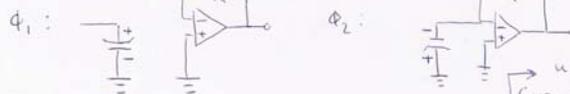
$$H(z) = \frac{U_2(z)}{U_1(z)} = -\frac{C_1}{C_2} \cdot \frac{z^{-1}}{(1-z^{-1})}$$

## Transfer function not dependent on Cp1: (Circuit in Fig. 10.9)



$$Q = C \cdot V$$

Remember, from chapter 1:  
If  $x(n) \leftrightarrow X(z)$  then  $x(n-k) \leftrightarrow z^{-k} X(z)$



At time  $t = (n-1)T$ , in  $\phi_1$ , a "sample" of the input voltage is taken, and  $C_1$  gets charged:

$$q_{c1}[(n-1)T] = C_1 \cdot v_{in}[(n-1)T]$$

At the same time, there is a charge on  $C_2$ :

$$q_{c2}[(n-1)T] = C_2 \cdot v_{out}[(n-1)T]$$

At time  $t = nT$  the charge on  $C_1$  is transmitted to  $C_2$ :

$$q_{c2}[nT] = q_{c2}[(n-1)T] + q_{c1}[(n-1)T]$$

using  $Q = C \cdot V$ :

$$C_2 \cdot v_{out}[nT] = C_2 \cdot v_{out}[(n-1)T] + C_1 \cdot v_{in}[(n-1)T]$$

$$C_2 \cdot v_{out}(z) = C_2 \cdot v_{out}(z) \cdot z^{-1} + C_1 \cdot v_{in}(z) \cdot z^{-1}$$

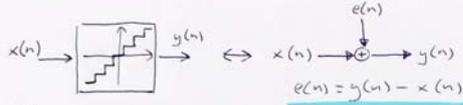
$$C_2 \cdot v_{out}(z) - C_2 \cdot v_{out}(z) \cdot z^{-1} = C_1 \cdot v_{in}(z) \cdot z^{-1}$$

$$C_2 \cdot v_{out}(z) (1 - z^{-1}) = C_1 \cdot v_{in}(z) \cdot z^{-1}$$

$$H(z) = \frac{v_{out}(z)}{v_{in}(z)} = \frac{C_1}{C_2} \cdot \frac{z^{-1}}{1 - z^{-1}}$$

QUANTIZATION NOISE (p. 532-533 in "J & M")

The quantization noise is the difference between the input and output values.

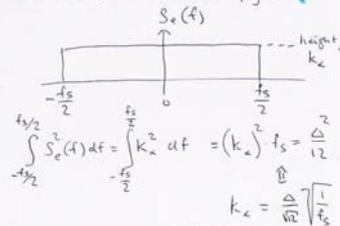


This model is exact under the assumption that the quantization error is strongly related to the input signal ("J & M" p. 532). The model becomes approximate when assumptions are made about the statistical properties of  $e(n)$ , such as  $e(n)$  being an independent white-noise signal. This model leads to a simpler understanding of  $\Sigma \Delta$  and with some exceptions is usually reasonably accurate.

- If  $x(n)$  is very active,  $e(n)$  can be approximated as an independent random number uniformly distributed between  $\pm \frac{\Delta}{2}$ , where  $\Delta$  equals the difference between two adjacent

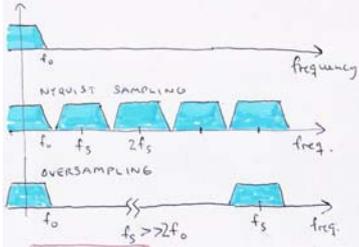
quantization levels. Thus, the quantization noise power equals  $\frac{\Delta^2}{12}$  (Sec. 11.3) and is independent of the sampling frequency,  $f_s$ .

The spectral density of  $e(n)$ ,  $S_e(f)$  is white (constant over freq.) and all its power within  $\pm f_s/2$ , as shown in the figure:



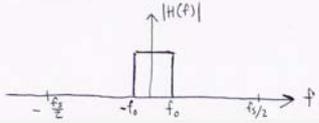
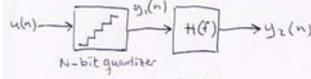
The spectral density height is calculated by noting that the total noise power is  $\Delta^2/12$  and with a two-sided def. of power equals the area under  $S_e(f)$  within  $\pm f_s/2$ .

OVERSAMPLING ADVANTAGE p. 535



$OSR \equiv \frac{f_s}{2f_0}$

After quantization,  $y_1(n)$  is filtered by  $H(f)$  to create  $y_2(n)$  that eliminates quantization noise (together with any other signals) greater than  $f_0$ .



If the input is sinusoidal, its maximum peak value without clipping is  $2^N (\Delta/2)$ . For this wave the signal power  $P_s$  has a power equal to  $P_s = \left(\frac{\Delta 2^N}{2} \frac{1}{\sqrt{2}}\right)^2 = \frac{\Delta^2 2^{2N}}{8}$ .

The power of the input signal within  $y_1(n)$  remains the same as before since we assumed the signal's frequency content is below  $f_0$ .

HOWEVER, THE QUANTIZATION NOISE POWER IS REDUCED TO

$$P_e = \int_{-f_s/2}^{f_s/2} S_e(f) |H(f)|^2 df = \int_{-f_0}^{f_0} k_q^2 df = \frac{2f_0}{f_s} \cdot \frac{\Delta^2}{12} = \frac{\Delta^2}{12} \left[ \frac{1}{OSR} \right]$$

THEREFORE, DOUBLING OSR DECREASES THE QUANTIZATION NOISE POWER BY ONE-HALF, OR EQUIVALENTLY, 3 dB (or equiv. 0.5 bits)

$SNR_{max} = 10 \log \left( \frac{P_s}{P_e} \right) = 10 \log \left( \frac{3}{2} 2^{2N} \right) + 10 \log (OSR)$   
 $= 6.02N + 1.76 + 10 \log (OSR)$  [dB]  
 due to quantizer      due to over-sampling

$$SNR_{max} = 10 \log \left( \frac{P_s}{P_e} \right) \quad \wedge \quad P_s = \frac{\Delta^2 \cdot 2^{2N}}{8} \quad \wedge \quad P_e = \frac{\Delta^2}{12} \cdot \frac{1}{OSR}$$

$$SNR_{max} = 10 \log \left[ \frac{\frac{\Delta^2 \cdot 2^{2N}}{8}}{\frac{\Delta^2}{12} \cdot \frac{1}{OSR}} \right] = 10 \log \left[ \frac{\Delta^2 \cdot 2^{2N} \cdot OSR}{\frac{8}{12} \cdot \Delta^2} \right] = 10 \log \frac{3}{2} \cdot 2^{2N} \cdot OSR$$

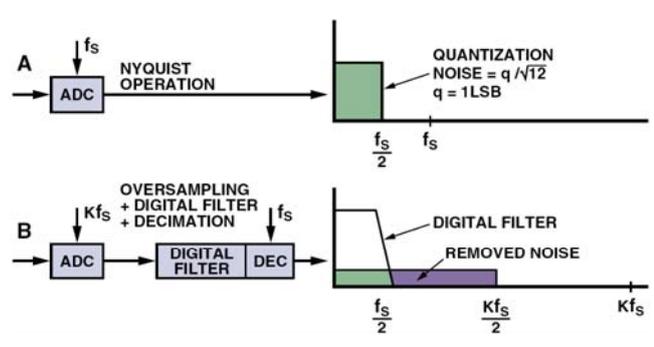
$$\begin{aligned} &= 10 \log \frac{3}{2} \cdot 2^{2N} + 10 \log OSR \\ &= 10 \log \frac{3}{2} + 10 \log 2^{2N} + 10 \log OSR \\ &= 10 \log 2^{2N} + 1.76 + 10 \log OSR \\ &= 10 \cdot 2N \cdot \log 2 + 1.76 + 10 \log OSR \\ &= 10 \cdot 2 \cdot N \cdot 0.301 + 1.76 + 10 \log OSR \\ &= 6.02N + 1.76 + 10 \log (OSR) \quad [dB] \end{aligned}$$

$\log_b(c^p) = p \log_b(c)$   
 $\log(xy) = \log x + \log y$

(14.13) pp. 536  
 i J & M

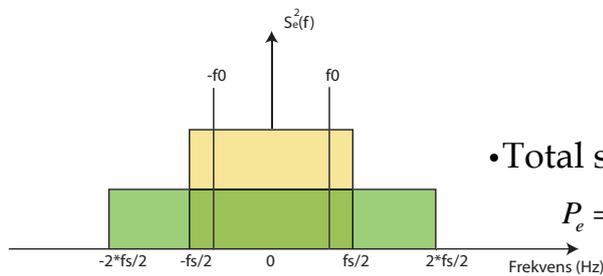
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## Nyquist Sampling and Oversampling



- Figure from [Kest05]
- Straight oversampling gives an SNR improvement of 3 dB / octave
- $f_s > 2f_0$  ( $2f_0 =$  Nyquist Rate)
- $OSR = f_s/2f_0$
- $SNR_{max} = 6.02N + 1.76 + 10 \log (OSR)$

## Oversampling (without noise shaping)



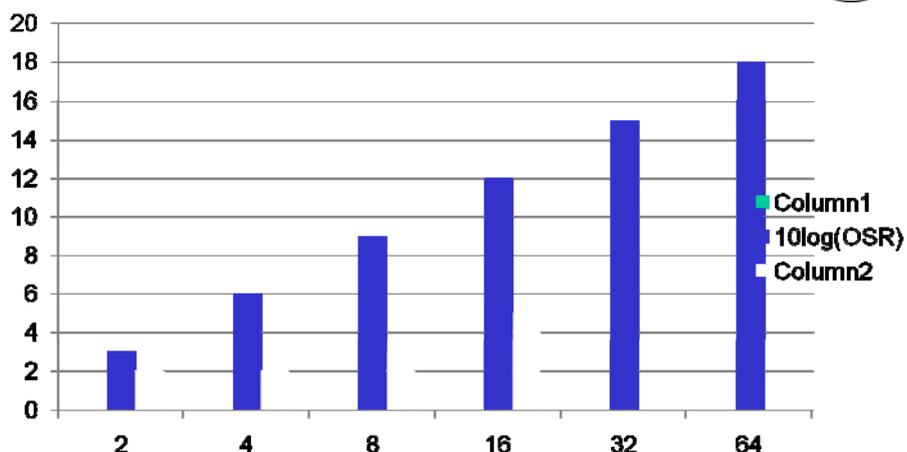
• Total støy er gitt av:

$$P_e = \int_{-f_0}^{f_0} S_e^2(f) df = \frac{\Delta^2}{12} \cdot \frac{1}{OSR}$$

- Doubling of the sampling frequency increases the dynamic range by 3 dB = 0.5 bit.
- To get a high SNR a very high  $f_s$  is needed → high power consumption.
- Oversampling usually combined with noise shaping and higher order modulators, for higher increase in dynamic range per octave ("OSR")

$$SNR_{max} = 6.02N + 1.76 + 10 \log(OSR) \text{ [dB]}$$

SNR improvement 0.5 bits / octave



## Ex. 14.3



### EXAMPLE 14.3

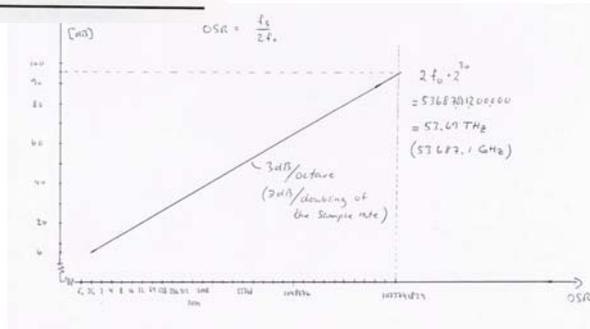
Given that a 1-bit A/D converter has a 6-dB SNR, what sample rate is required using oversampling (no noise shaping) to obtain a 96-dB SNR (i.e., 16 bits) if  $f_0 = 25$  kHz? (Note that the input into the A/D converter has to be very active for the white-noise quantization model to be valid—a difficult arrangement when using a 1-bit quantizer with oversampling without noise shaping).

#### Solution

Oversampling (without noise shaping) gives 3 dB/octave where 1 octave implies doubling the sampling rate. We require 90 dB divided by 3 dB/octave, or 30 octaves. Thus, the required sampling rate,  $f_s$ , is

$$f_s = 2^{30} \times 2f_0 \approx 54,000 \text{ GHz!}$$

This example shows why noise shaping is needed to improve the SNR faster than 3 dB/octave, since 54,000 GHz is highly impractical.



### Advantages of 1-bit A/D converters (p.537 in "J&M")

- Oversampling improves signal-to-noise ratio, but not linearity
- Ex.: 12-bit converter with oversampling needs component accuracy to match better than 16-bit accuracy if a 16-bit linear converter is desired
- Advantage of 1-bit D/A is that it is **inherently linear**. Two points define a straight line, so no laser trimming or calibration is required
- Many audio converters presently use 1-bit converters for realizing 16- to 18-bit linear converters (with noise shaping).



## Oversampling with noise shaping (F4.2)

- Oversampling combined with noise shaping can give much more dramatic improvement in dynamic range each time the sampling frequency is doubled.
- The sigma delta modulator converts the analog signal into a noise-shaped low-resolution digital signal.
- The decimator converts to a high resolution digital signal

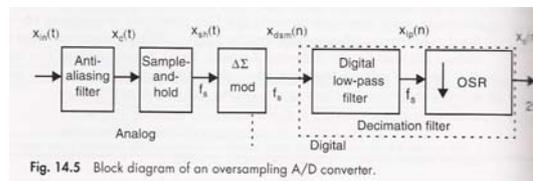
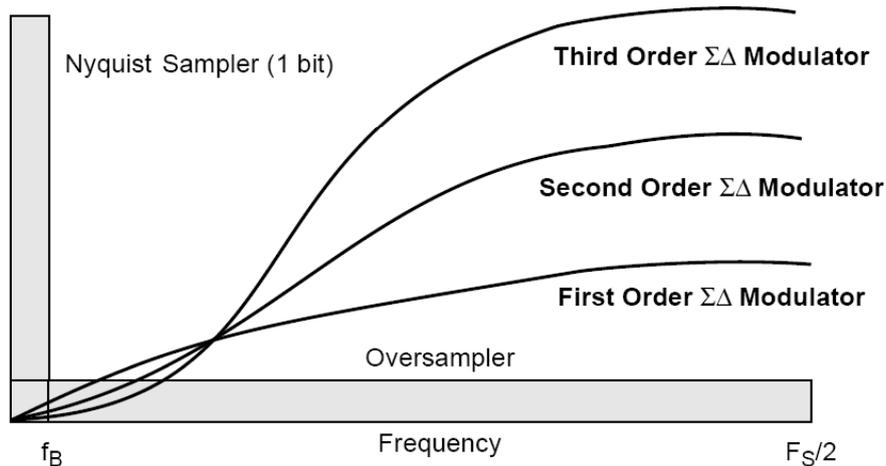


Fig. 14.5 Block diagram of an oversampling A/D converter.

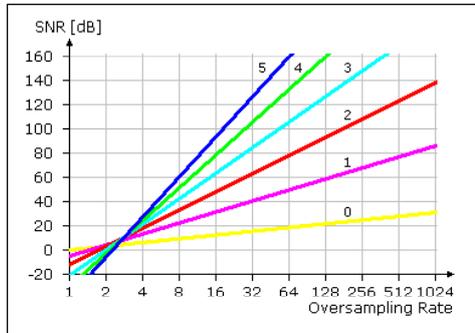
## Multi-order sigma delta noise shapers (Sangji)

Park, Motorola)



**Note:** Higher order Noise Shaper has less baseband noise

## Ex. 14.5 "point":



3 a) (Weight 10 %)

A sampled signal is bandlimited to  $f_0 = 22$  kHz. What is the sampling frequency,  $f_s$ , for an oversampling ratio ("OSR") of 128?

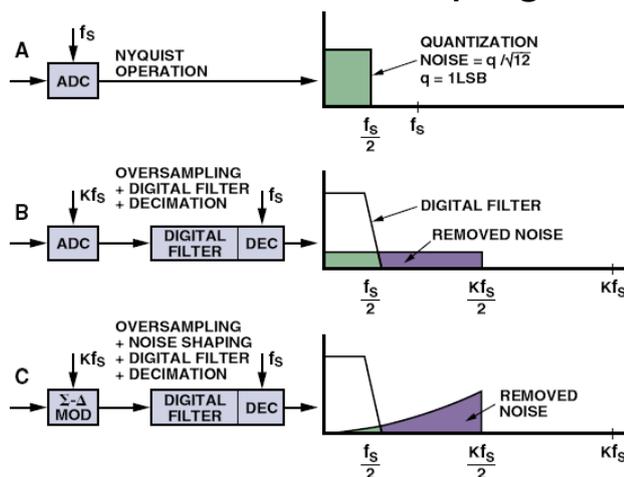
A 1-bit analog-to-digital converter ("ADC") has an inherent 6-dB SNR. Which maximum SNR is acquired by combining it with strict oversampling and an OSR of 128, if no noise shaping is used?

What is the maximum SNR in the similar case exploiting 2<sup>nd</sup> order noise shaping?

If a 1-bit ADC using 3<sup>rd</sup> order noise shaping has a maximum SNR of 125 dB for an OSR of 128, what is the expected maximum SNR if the OSR is reduced to 32?

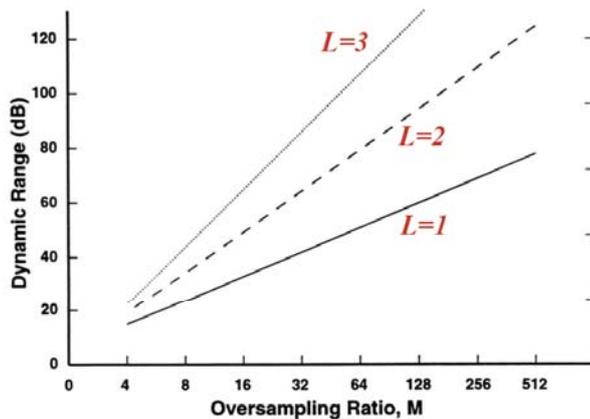
- 2 X increase in  $M \rightarrow (6L+3)$ dB or  $(L+0.5)$  bit increase in DR.
- L: sigma-delta order
- 6 db Quantizer, for 96 dB SNR:
- Plain oversampling:  $f_s=54$  GHz
- 1st order :  $f_s=75.48$  MHz
- 2nd order:  $f_s= 5.81$  MHz

## Nyquist Sampling, Oversampling, Noise Shaping



- Figure from [Kest05]
- Straight oversampling gives an SNR improvement of 3 dB / octave
- $f_s > 2f_0$  ( $2f_0 =$  Nyquist Rate)
- $OSR = f_s/2f_0$
- $SNR_{max} = 6.02N + 1.76 + 10 \log(OSR)$

## OSR, modulator order and Dynamic Range



- 2 X increase in M  $\rightarrow$  (6L+3)dB or (L+0.5) bit increase in DR.
- L: sigma-delta order
- Oversampling and noise shaping

## 14.2 Oversampling with noise shaping

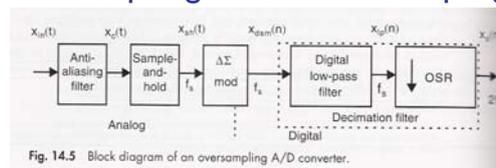
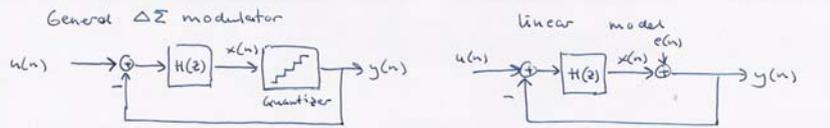


Fig. 14.5 Block diagram of an oversampling A/D converter.

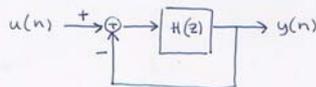
- The anti aliasing filter bandlimits the input signals less than  $f_s/2$ .
- The continuous time signal  $x_c(t)$  is sampled by a S/H (not necessary with separate S/H in Switched Capacitor impl.)
- The **Delta Sigma modulator** converts the analog signal to a noise shaped low resolution digital signal
- The **decimator** converts the oversampled low resolution digital signal into a high resolution digital signal at a lower sampling rate usually equal to twice the desired bandwidth of the desired input signal (conceptually a low-pass filter followed by a downsampler).

## Noise shaped Delta Sigma Modulator

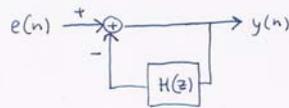


The linear model can be treated as having two independent inputs (which is an approximation).

Signal:



Noise:



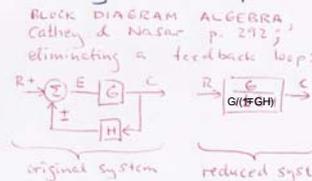
Signal transfer function,  $S_{TF}(z)$ :

$$S_{TF}(z) \equiv \frac{Y(z)}{U(z)} = \frac{H(z)}{1+H(z)}$$

Noise transfer function,  $N_{TF}(z)$ :

$$N_{TF}(z) \equiv \frac{Y(z)}{E(z)} = \frac{1}{1+H(z)}$$

The output is the combination of the input and noise:  $Y(z) = S_{TF}(z)U(z) + N_{TF}(z)E(z)$



## First-Order Noise Shaping (Figures from Schreier & Temes '05)

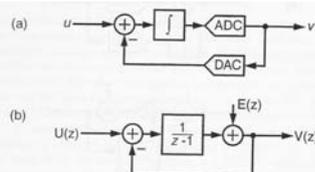


Figure 1.4: (a) A delta-sigma modulator used as an ADC and (b) its linear z-domain model.

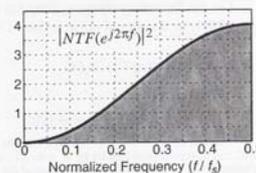


Figure 1.5: Noise-shaping function for the  $\Delta\Sigma$  modulator shown in Fig. 1.4.

- $S_{TF}(z) = [H(z)/1+H(z)]$  (eq. 14.15)  $N_{TF}(z) = [1/1+H(z)]$
- $Y(z) = S_{TF}(z) U(z) + N_{TF}(z) E(z)$
- $H(z) = 1/z-1$  (discrete time integrator) gives 1st order noise shaping
- $S_{TF}(z) = [H(z)/1+H(z)] = 1/(z-1)/[1+1/(z-1)] = z^{-1}$
- $N_{TF}(z) = [1/1+H(z)] = 1/[1+1/(z-1)] = (1 - z^{-1})$
- The signal transfer function is simply a delay, while the noise transfer function is a discrete-time differentiator (i.e. a high-pass filter)

## 14.2 Oversampling with noise shaping



$$N_{TF}(f) = 1 - e^{-j2\pi f/f_s} = (e^{j\pi f/f_s} - e^{-j\pi f/f_s}) \cdot e^{-j\pi f/f_s} = (e^{j\pi f/f_s} - e^{-j\pi f/f_s}) \cdot 2j \cdot e^{-j\pi f/f_s}$$

$$= 2j \sin\left(\frac{\pi f}{f_s}\right) \cdot e^{-j\pi f/f_s}$$

$$|N_{TF}(f)| = 2 \sin\left(\frac{\pi f}{f_s}\right) \quad (\text{high-pass})$$

$$\cos z = \frac{e^{jz} + e^{-jz}}{2}$$

$$\sin z = \frac{e^{jz} - e^{-jz}}{2j}$$

Quantization noise power over the frequency band 0 to  $f_0$  is now given by

$$P_e = \int_{-f_0}^{f_0} S_e^2(f) \cdot |N_{TF}(f)|^2 df = \int_{-f_0}^{f_0} \left(\frac{\Delta^2}{12}\right) \frac{1}{f_s} \left[2 \sin\left(\frac{\pi f}{f_s}\right)\right]^2 df \quad (14.23)$$

Making the approximation that  $f_0 \ll f_s$  ( $OSR \gg 1$ ) so that  $\sin\left(\frac{\pi f}{f_s}\right) \approx \frac{\pi f}{f_s}$ :

$$P_e \approx \left(\frac{\Delta^2}{12}\right) \left(\frac{\pi^2}{3}\right) \left(\frac{2f_0}{f_s}\right)^3 = \frac{\Delta^2 \pi^2}{36} \left(\frac{1}{OSR}\right)^3 \quad OSR = \frac{f_s}{2f_0}$$

It is assumed that the maximum signal power is the same as obtained before, in equation 14.11 ( $P_s = \Delta^2 \cdot 2^{2N} / 8$ ), making maximum SNR:

$$SNR_{max} = 10 \log\left(\frac{P_s}{P_e}\right) = 10 \log\left(\frac{3}{8} \cdot 2^{2N}\right) + 10 \log\left[\frac{36}{\pi^2} (OSR)^3\right], \text{ or:}$$

$$SNR_{max} = 6.02N + 1.76 - 5.17 + 30 \log(OSR)$$

Doubling the OSR gives an SNR improvement for a 1st order modulator of 9 dB/octave or, equiv. 1.5 bits/octave.

## Quantization noise power for linearized model of a general $\Delta E$ modulator



$$P_e = \int_{-f_0}^{f_0} S_e^2(f) |N_{TF}(f)|^2 df = \int_{-f_0}^{f_0} \left(\frac{\Delta^2}{12}\right) \frac{1}{f_s} \left[2 \sin\left(\frac{\pi f}{f_s}\right)\right]^2 df \quad (14.24)$$

Using the approximation that  $f_0 \ll f_s$  (i.e.  $OSR \gg 1$ )

so that we may approximate  $\sin\left(\frac{\pi f}{f_s}\right)$  to be  $\frac{\pi f}{f_s}$ :

$$P_e = \int_{-f_0}^{f_0} \frac{\Delta^2}{12} \frac{1}{f_s} \left[2 \frac{\pi f}{f_s}\right]^2 df = \int_{-f_0}^{f_0} \frac{\Delta^2}{12} \frac{1}{f_s} \frac{4\pi^2}{f_s^2} \cdot f^2 df$$

Letting  $K = \frac{\Delta^2}{12} \frac{1}{f_s} \frac{4\pi^2}{f_s^2}$

$$P_e = K \int_{-f_0}^{f_0} f^2 df = \frac{K}{3} (f_0^3 - (-f_0)^3) = \frac{K}{3} \cdot 2 f_0^3$$

$$= \frac{\Delta^2}{12} \frac{1}{f_s} \frac{4\pi^2}{f_s^2 \cdot 3} \cdot f_0^3 = \frac{\Delta^2}{12} \frac{\pi^2}{3} \cdot \frac{2 \cdot 2 \cdot 2}{f_s^3} \cdot f_0^3 = \frac{\Delta^2}{12} \frac{\pi^2}{3} \left(\frac{2f_0}{f_s}\right)^3$$

Using  $OSR = \frac{f_s}{2f_0} \Leftrightarrow \frac{2f_0}{f_s} = \frac{1}{OSR}$ :  $P_e = \frac{\Delta^2 \pi^2}{36} \left(\frac{1}{OSR}\right)^3 \quad (14.24)$

15. mars 2011

## Second-order noise shaping

Fig 14.10 2nd order modulator

The above modulator realizes 2nd order noise shaping.

The signal transfer function is given by  $S_{TF}(f) = z^{-1}$

The noise transfer function is given by  $N_{TF}(f) = (1 - z^{-1})^2$

Magnitude:  $|N_{TF}(f)| = [2 \sin(\frac{\pi f}{f_s})]^2$

The quantization noise power over the frequency band of interest:

$$P_e \approx \frac{\Delta^2 \pi^4}{60} \left(\frac{1}{OSR}\right)^5$$

Max SNR:

$$SNR_{max} = 10 \log\left(\frac{P_s}{P_e}\right) = 10 \log\left(\frac{3}{2} 2^{2N}\right) + 10 \log\left[\frac{5}{\pi^4} (OSR)^5\right]$$

or:

$$SNR_{max} = 6.02N + 1.76 - 12.9 + 50 \log(OSR) \quad (14.72)$$

Doubling the OSR improves the SNR for a 2nd order mod. by 15dB.

fig. 14.11:

Ex. 14.5 p. 545:  
 1-bit A/D, 6-bit SNR,  $f_0 = 25 \text{ kHz}$   
 96 dB SNR is the goal.  
 Sample rate needed?  
 - oversampling: 54 THz  
 - 1st order n.s.: 7.5 MHz  
 - 2nd order n.s.: 5.8 MHz

### Ex. 14.5

- Given that a 1-bit A/D converter has a 6 dB SNR, which sample rate is required to obtain a 96-dB SNR (or 16 bits) if  $f_0 = 25 \text{ kHz}$  for straight oversampling as well as first- and second-order noise shaping?
- Oversampling with no noise shaping: From ex. 14.3 we know that straight oversampling requires a sampling rate of 54 THz.
- $(6.02N + 1.76 + 10 \log(OSR)) = 96$   
 $\Leftrightarrow 6 + 10 \log OSR = 96$   
 $\Leftrightarrow 10 \log OSR = 90$

### Ex. 14.5

$$\text{SNR}_{\text{max}} = 6.02N + 1.76 - 5.17 + 30 \log(\text{OSR}) \quad (14.25)$$

We see here that doubling the OSR gives an SNR improvement for a first-order modulator of 9 dB or, equivalently, a gain of 1.5 bits/octave. This result should be compared to the 0.5 bits/octave when oversampling with no noise shaping.

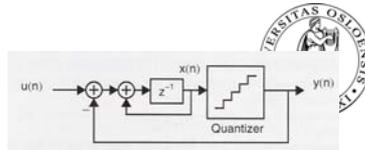


Fig. 14.7 A first-order noise-shaped interpolative modulator.

- Oversampling with 1st order noise shaping:
- $6 - 5.17 + 30 \log(\text{OSR}) = 96$        $\text{OSR} = f_s / 2f_0$
- $30 \log(\text{OSR}) = 96 - 6 + 5.17 = 95.17$   
 A doubling of the OSR gives an SNR improvement of 9 dB / octave for a 1st order modulator;  
 $95.17 / 9 = 10.57$        $2^{10.56} \times 2 \times 25 \text{ kHz} = 75.48 \text{ MHz}$
- **OR:**  $\log(\text{OSR}) = 95.17 / 30 = 3.17 \rightarrow \text{OSR} = 1509.6$   
 $1509.6 \times (2 \times 25 \text{ kHz}) = 75.48 \text{ MHz}$

### Ex. 14.5

$$\text{SNR}_{\text{max}} = 6.02N + 1.76 - 12.9 + 50 \log(\text{OSR}) \quad (14.3)$$

We see here that doubling the OSR improves the SNR for a second-order modulator by 15 dB or, equivalently, a gain of 2.5 bits/octave.

The realization of the second-order modulator using switched-capacitor techniques is straightforward and is left as an exercise for the interested reader.

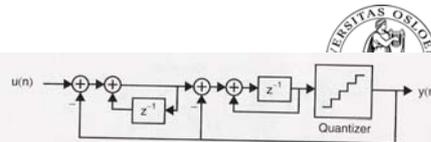


Fig. 14.10 Second-order  $\Delta\Sigma$  modulator.

- Oversampling with 2nd order noise shaping:
- $6 - 12.9 + 50 \log(\text{OSR}) = 96$        $\text{OSR} = f_s / 2f_0$
- $50 \log(\text{OSR}) = 96 - 6 + 12.9 = 102.9$   
 A doubling of the OSR gives an SNR improvement of 15 dB / octave for a 2nd order modulator;  
 $102.9 / 15 = 6.86$        $2^{6.86} \times 2 \times 25 \text{ kHz} = 5.81 \text{ MHz}$

## 2nd order sigma delta modulator

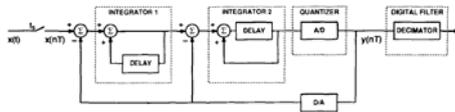


Fig. 1. Block diagram of second-order  $\Sigma\Delta$  modulator with decimator.

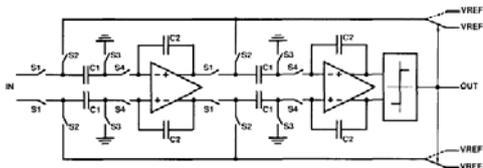


Fig. 10. Second-order  $\Sigma\Delta$  modulator implementation.

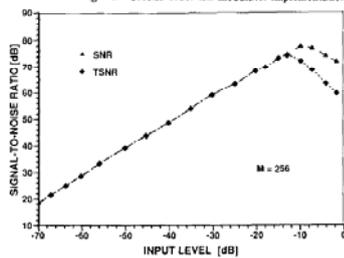


Fig. 13. Measured SNR for a sampling frequency of 4 MHz and a signal frequency of 1.02 kHz.

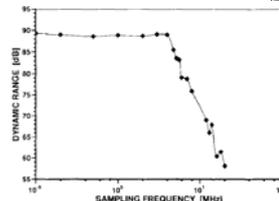
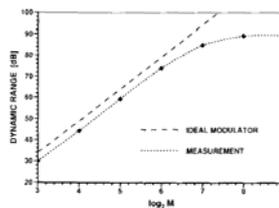


Fig. 14. Maximum operating frequency.



15. Dynamic range as a function of the oversampling ratio for a sampling frequency of 4 MHz.

IEEE JOURNAL OF SOLID-STATE CIRCUITS, VOL. 23, NO. 6, DECEMBER 1988

### The Design of Sigma-Delta Modulation Analog-to-Digital Converters

BERNHARD E. BOSER, STUDENT MEMBER, IEEE, AND BRUCE A. WOOLEY, FELLOW, IEEE

## 14.3 System Architectures (A/D)

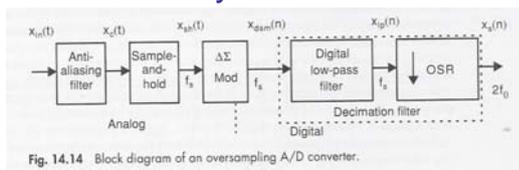
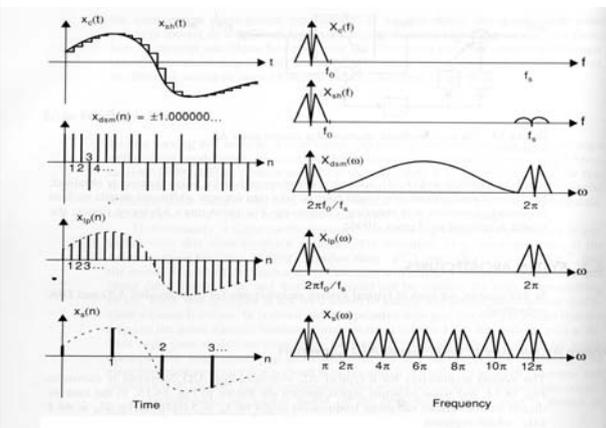


Fig. 14.14 Block diagram of an oversampling A/D converter.



- $X_c(t)$  is sampled and held, resulting in  $x_{sh}(t)$ .
- $x_{sh}(t)$  is applied to an A/D Sigma Delta modulator which has a 1-bit output,  $x_{dsm}(n)$ . The 1-bit signal is assumed to be linearly related to the input  $X_c(t)$  (accurate to many orders of resolution), although it includes a large amount of out-of-band quantization noise (seen to the right).
- A digital LP filter removes any high frequency content, including out of band quantization noise, resulting in  $X_{ip}(n)$ .
- Next,  $X_{ip}(n)$  is resampled at  $2f_0$  to obtain  $X_s(n)$  by keeping samples at a submultiple of the OSR.

## System Architectures (D/A)

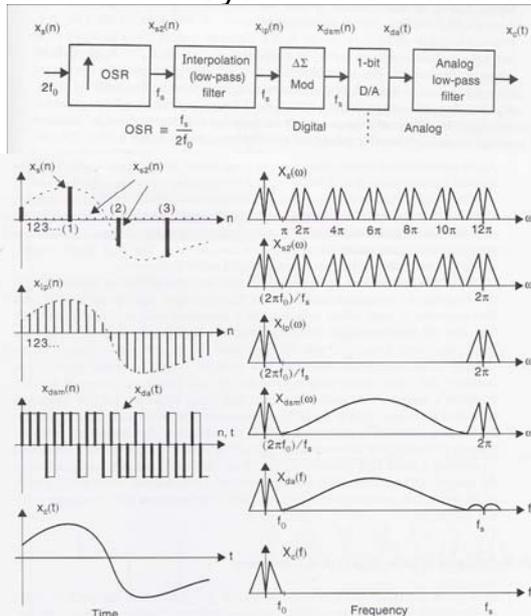


Fig. 14.17 Signals and spectra in an oversampling D/A converter.

- The digital input,  $X_s(n)$  is a multi-bit signal and has an equivalent sample rate of  $2f_0$ , where  $f_0$  is slightly higher than the highest input signal frequency.
- Since  $X_s(n)$  is just a series of numbers the frequency spectrum has normalized the sample rate to  $2\pi$ .
- The signal is upsampled to an equivalent higher sampling rate,  $f_s$ , resulting in the signal  $x_{s2}(n)$
- $x_{s2}(n)$  has images left that are filtered out by the interpolation filter (brick-wall type) to create the multi-bit signal  $X_{ip}(n)$ , by digitally filtering out the images.
- $X_{ip}(n)$  is applied to a fully digital sigma delta modulator producing the 1-bit signal,  $X_{dsm}(n)$ , containing shaped quantization noise.
- $X_{dsm}(n)$  is fed to a 1-bit D/A producing  $X_{da}(t)$ , which has excellent linearity properties but still quantization noise.
- The desired signal,  $X_d(t)$  can be obtained by using an analog filter to filter out the out-of-band quantization noise. (filter should be at least one order higher than the modulator.)

## 14.4 Digital decimation filters

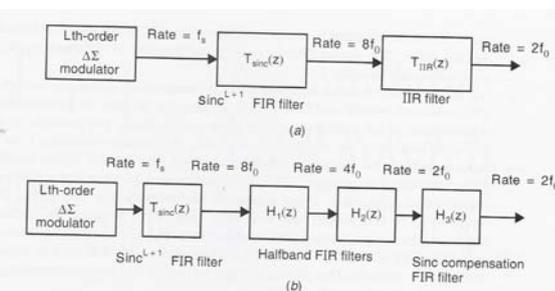


Fig. 14.18 Multi-stage decimation filters: (a) sinc followed by an IIR filter; (b) sinc followed by halfband filters.

- Many techniques
- a) FIR filter removes much of the quantization noise, so that the output can be downsampled by a 2nd stage filter which may be either IIR type (as in a), uppermost) or a cascade of FIR filters (as in b), below)
- In b) a few halfband FIR filters in combination with a sinc compensation FIR-filter are used.

In some applications, these halfband and sinc compensation filters can be realized using no general multi-bit multipliers [Saramaki, 1990]

## 14.5 Higher-Order Modulators Interpolative structure

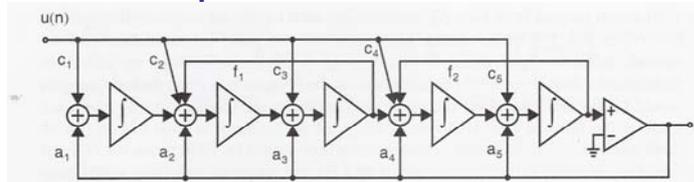


Fig. 14.20 A block diagram of a fifth-order modulator.

- $L$ th order noise shaping modulators improve SNR by  $6L+3\text{dB/octave}$ .
- Typically a single high-order structure with feedback from the quantized signal.
- In figure 14.20 a single-bit D/A is used for feedback, providing excellent linearity.
- Unfortunately, modulators of order two or more can go unstable, especially when large input signals are present (and may not return to stability) Guaranteed stability for an interpolative modulator is nontrivial.

## Multi-Stage Noise Shaping architecture ("MASH")

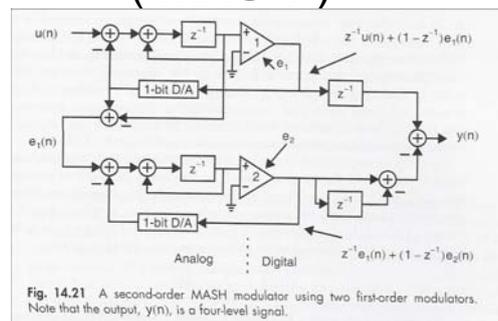


Fig. 14.21 A second-order MASH modulator using two first-order modulators. Note that the output,  $y(n)$ , is a four-level signal.

- Overall higher order modulators are constructed using lower-order, more stable, ones  $\rightarrow$  more stable overall system.
- Fig. 14.21: 2nd order using two first-order modulators.
- Higher order noise filtering can be achieved using lower-order modulators.
- Unfortunately sensitive to finite opamp gain and mismatch

## 14.7 Practical considerations



- Stability
- Linearity of two-level converters
- Idle tones
- Dithering
- Opamp gain

For this case, the output sequence becomes  
 $y(n) = \{1, 1, -1, 1, 1, -1, 1, 1, -1, 1, 1, -1, 1, 1, -1, 1, -1, \dots\}$  (14.47)  
 The period of this output pattern is now 16 cycles long and has some power at  $f_s/16$ .  
 With an oversampling ratio of eight (i.e.,  $f_s = 8f_b$ ), the post low-pass filter will  
 not attenuate the signal power at  $f_s/16$  since that frequency is just within the fre-  
 quency band of interest. In other words, a dc level of 3/8 into this modulator will  
 produce the correct dc output signal but...

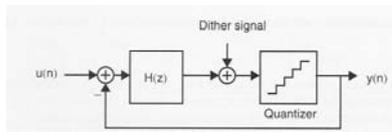


Fig. 14.26 Adding dithering to a delta-sigma modulator. Note that the dithered signal is also noise shaped.

## Design example, 14b 2nd order Sigma-Delta mod



BOSER AND WOOLEY: SIGMA-DELTA MODULATION ANALOG-TO-DIGITAL CONVERTERS

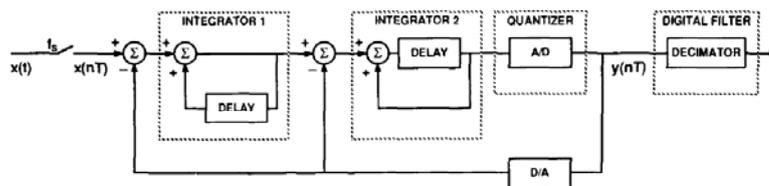


Fig. 1. Block diagram of second-order  $\Sigma\Delta$  modulator with decimator.

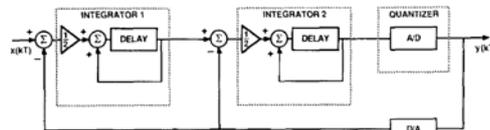


Fig. 2. Modified architecture of second-order  $\Sigma\Delta$  modulator.

- 16 bit, 24 kHz , OSR as powers of two, and allowing for increased baseband noise due to nonidealities: OSR 512 was chosen

## Design example, 14b 2nd order Sigma-Delta mod



- Among most relevant nonidealities:
- Finite DC gain
- Bandwidth,
- Slew rate
- Swing limitation
- Offset voltage
- Gain nonlinearity
- Flicker noise
- Sampling jitter
- Voltage dependent capacitors
- Switch on-resistance
- Offset voltage and settling time for comparators

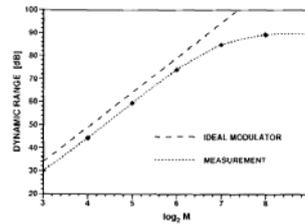
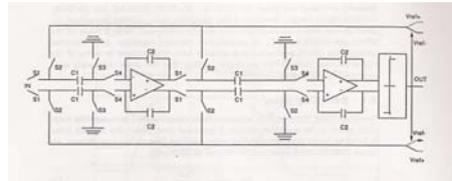


Fig. 15. Dynamic range as a function of the oversampling ratio for a sampling frequency of 4 MHz.



## Design example, 14b 2nd order Sigma-Delta mod

### 4.1 Specification of modulator functions

- SYSTEM
  - Technology: CMOS 0.8 micron
  - Power supply: VSS = -2.5 V, GND = 0 V, VDD = 2.5 V
  - Temperature: 0 to 70 degrees C
- OPERATIONAL AMPLIFIER
  - Gain: 1000 (60 dB)
  - Unity-Gain Bandwidth: 100 MHz
  - Phase Margin: 60°
  - Maximum capacitive load: several pF
  - Input voltage swing: 4 V
  - Diff. output voltage swing:  $\geq 6V$
  - Slew rate: at least  $300 \frac{V}{\mu s}$
- SWITCH
  - $R_{on} < 440\Omega$
- CAPACITORS
  - Type:
  - $C_1$ : 1 pF
  - $C_2$ : 2 pF
- LATCH
  - D-type, able to settle within 20 ns.
- COMPARATOR
  - Hysteresis:  $< 0.5 V$
  - Settling time:  $< 20 ns$

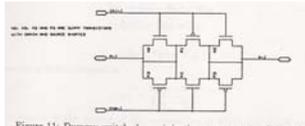


Figure 11: Dummy switch charge injection compensation circuit.

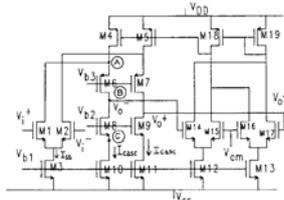
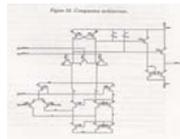
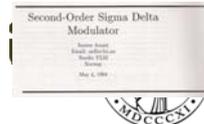


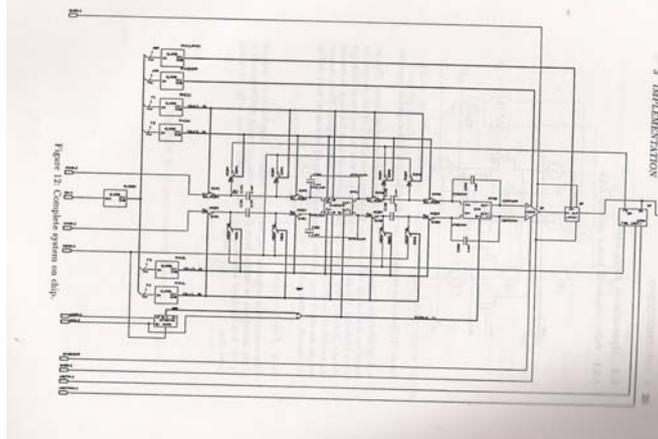
Fig. 1. Fully differential folded-cascode amplifier (after [4]).



- Noninverting parasitic insensitive integrator (fig 10.9) was used (fully differential implementation)



## 2nd order modulator; top level schematics



- Two-phase clock generator, switches, chopper stabilized OTA (1st int.), OTA (2nd int.- fully differential folded cascode), comparator, latch, two-level DAC. Biasing circuit. Functional after test.

## Sigma Delta converters, ISSCC 2011

- ISSCC- Foremost global forum
- "CT": continous time

SESSION 27 Wednesday February 23<sup>rd</sup>, 1:30 PM

### OVERSAMPLING CONVERTERS

Session Chair:	Kong-Pang Fan, Chinese University of Hong Kong, Hong Kong, China
Associate Chair:	Lucien Breems, NXP, Eindhoven, The Netherlands
<b>27.1</b> A 40Hz CT $\Delta\Sigma$ ADC with 70dB DR and -74dBFS THD in 125MHz BW	1:30 PM
M. Bouskoul <sup>1</sup> , L. Breems <sup>2</sup> , R. Ruttim <sup>2</sup> , K. Makouf <sup>2</sup>	
<sup>1</sup> NXP Semiconductors, Eindhoven, The Netherlands	
<sup>2</sup> Delft University of Technology, Delft, The Netherlands	
A 40Hz CT $\Delta\Sigma$ ADC is presented with a loop filter topology that absorbs the pole caused by the input capacitance of its 4b quantizer and compensates for the excess delay caused by the quantizer's latency. Implemented in 45nm CMOS, the ADC achieves 70dB DR and -74dBFS THD in a 125MHz BW, while dissipating 256mW and occupying only 0.9mm <sup>2</sup> .	
<b>27.2</b> An 8mW 50MS/s CT $\Delta\Sigma$ Modulator with 81dB SFDR and Digital Background DAC Linearization	2:00 PM
J. O. Kauffman, P. Witt, J. Becker, M. Ortmanns	
Ulm University, Ulm, Germany	
A 3 <sup>rd</sup> -order single-loop CT $\Delta\Sigma$ modulator with a 4b quantizer is sampled at 500MHz with an OSR of 10. It achieves 63.5dB SNDR and -81dB SFDR in a 25MHz bandwidth without DEM. The DAC non-linearity is digitally estimated and corrected. All feedback amplifiers are compensated for finite GBW influence. The modulator occupies 0.15mm <sup>2</sup> in 90nm CMOS and achieves an FOM of 125fJ/conversion-step.	
<b>27.3</b> A Third-Order DT $\Delta\Sigma$ Modulator Using Noise-Shaped Bidirectional Single-Slope Quantizer	2:30 PM
N. Maghar, U-K. Moon	
Oregon State University, Corvallis, OR	
A single-slope quantizer using modified bidirectional discharging is proposed. This quantizer provides first-order shaping of quantization noise and is used as the quantizer of a second-order delta-sigma loop. The fabricated prototype ADC achieves 78.2dB SNDR at 50MHz sampling speed at OSR of 24 with 2.9mW power consumption.	
<b>Break</b>	3:00 PM

#### ISSCC VISION STATEMENT

The International Solid-State Circuits Conference is the foremost global forum for presentation of advances in solid-state circuits and spin-off technology. The Conference offers a unique opportunity for engineers working at the cutting edge of IC design and application to maintain technical currency and to network with leading experts.



SESSION 27 Wednesday February 23<sup>rd</sup>, 1:30 PM

<b>27.4</b> A 25mW 7.5µW 61dB SNDR CMOS SC $\Delta\Sigma$ Modulator Using a Near-Threshold Voltage Biased CMOS Inverter Technique	3:15 PM
F. Michel, M. Steyvers	
KU Leuven, Leuven, Belgium	
An ultra-low-voltage SC $\Delta\Sigma$ converter using a near-threshold-voltage-biasing technique is reported. This guarantees reliable operation of inverter-based integrators over temperature while running at a supply voltage of 200mV. An SNDR of 61dB is achieved for a BW of 10 kHz with a total power consumption of only 7.5µW.	
<b>27.5</b> A 84dB SNDR 10MHz Bandwidth Low Power Single Op-Amp	3:45 PM
Third Order $\Delta\Sigma$ Modulator Consuming 149µW	
A. Pena Perez, E. Bonizzoni, F. Maloberti	
University of Pavia, Pavia, Italy	
A third-order $\Delta\Sigma$ modulator with single operational amplifier achieves 13.6 bits with 100kHz signal bandwidth and consumes 149µW. The time-interleaved two-integrators scheme is a modification of a second-order prototype. A slow-rate boost enables minimum power in a two stages op-amp. The SFDR is 96dB with a FoM of 54fJ/conversion-step.	
<b>27.6</b> A 1.7mW 11b 1:1 MASH $\Delta\Sigma$ Time-to-Digital Converter	4:15 PM
Y. Cao <sup>1</sup> , P. Leung <sup>1</sup> , W. De Cock <sup>2</sup> , M. Steyvers <sup>2</sup>	
<sup>1</sup> KU Leuven, Leuven, Belgium	
<sup>2</sup> ISCK-CEN, Maa, Belgium	
<sup>3</sup> ISI Kampen, Geel, Belgium	
The first radiation tolerant third-order $\Delta\Sigma$ TDC is presented. The converter, implemented in 0.13µm CMOS, employs a 1:1:1 MASH architecture. It achieves an ENOB of 11b and a time resolution of 5 fps, when the OSR is 250. A radiation assessment up to 5Mrad proves the TDC's robustness. The TDC core consumes 1.7mW and occupies 0.11mm <sup>2</sup> .	
<b>27.7</b> A 120dB-SNR 100dB-THD-N 21.5mW/Channel Multibit CT $\Delta\Sigma$ DAC	4:45 PM
A. Beningo, M. D'Amico, D. Kim, K. Pappas	
Analog Devices, Wilmington, MA	
A continuous-time 8b oversampling DAC architecture is presented, which measures 120dB of SNR and 100dB of THD-N while consuming 21.5mW/channel. This performance is achieved by using a 3-level residual data-shuffling scheme along with analog low-power techniques. The 1.32mm <sup>2</sup> /channel chip is fabricated in 0.35µm DPM CMOS process.	
<b>27.8</b> A 100dB DR 120dB THD and 0.5V <sub>DD</sub> Output Audio DAC with Inter-Symbol Interference-Shaping Algorithms in 45nm CMOS	5:15 PM
L. Rizzo <sup>1</sup> , R. Hicaz <sup>1</sup> , B. Kallio <sup>2</sup> , H. Kjaer <sup>2</sup> , M. Fares <sup>2</sup>	
<sup>1</sup> Texas Instruments, Copenhagen, Denmark	
<sup>2</sup> Texas Instruments, Dallas, TX	
An oversampled audio multi-bit DAC using a mismatch-shaping algorithm designed to shape element mismatch errors concurrently with inter-symbol interference is presented. It can achieve THD powers better than -120dBFS with quiescent tone free operation at low signal levels. It is implemented in 45nm CMOS with 0.16mm <sup>2</sup> area, 0.875mW power, and 0.5V <sub>DD</sub> output.	
<b>Conclusion</b>	5:45 PM

## litterature



- David A. Johns, Ken Martin: "Analog Integrated Circuit Design", Wiley, ISBN 0-471-14448-7.
- Stanley P. Lipshitz, John Vanderkooy: Why 1-bit Sigma Delta Conversion is Unsuitable for High Quality Applications, Journal of the audio engineering society, 2001.
- Y. Chiu, B. Nicolic, P. R. Gray: Scaling of Analog-to-Digital Converters into Ultra-Deep-Submicron CMOS, Proceedings of Custom Integrated Circuits Conference, 2005.
- Richard Hagelauer, Frank Oehler, Gunther Rohmer, Josef Sauerer, Dieter Seitzer: A GigaSample/Second 5-b ADC with On-Chip Track-And-Hold Based on an Industrial 1  $\mu$ m GaAs MESFET E/D Process, IEEE Journal of Solid-State Circuits ("JSSC"), October 1992.
- Walt Kester: Which ADC Architecture is right for your application?, Analog Dialogue, Analog Devices, 2005.
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- B. E. Boser, B. A. Wooley: "The design of sigma delta modulation analog to digital converters, IEEE JSSC, 1988.
- John P. Bentley: Principles of Measurement Systems, 2nd ed., Bentley, 1989.

### A MICRO POWER SIGMA-DELTA A/D CONVERTER IN 0.35- $\mu$ m CMOS FOR LOW FREQUENCY APPLICATIONS

Adnan Gunde<sup>1,2</sup>, William N. Carr<sup>1</sup>  
Email: agunde@ece.nyu.edu, william.carr@nyu.edu

<sup>1</sup>New Jersey Institute of Technology, Newark, NJ 07102

<sup>2</sup>Telephonics Corporation, 815 Broad Hollow Road, Farmingdale, NY 11735

Next week, 22/3-11



- Ch. 13; **Nonlinearity and Mismatch** plus beginning of chapter 14; **Oscillators (?)**
- Messages are given on the **INF4420 homepage**.

- [sa@ifi.uio.no](mailto:sa@ifi.uio.no) , 22852703 / 90013264

