

## Chapter 3

# STRUCTURAL MODELING WITH UML2.0

## *Classes, Interactions and State Machines*

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**Abstract:** This chapter will provide an overview of the structuring concepts that are proposed for the coming UML 2.0. This will be done through an example. We will illustrate that these concepts are designed such that structuring applied to classes may be reflected in the corresponding structuring of the interactions between the parts of a class.

**Key words:** UML 2.0, Structured classes, Interactions, State machines

### **1. STRUCTURAL CONCEPTS OF UML 2.0 – THE ORIGINS**

The structuring of systems in terms of their constituents and the topology of connections between these constituents have been addressed by various communities, and a number of languages and notations have been designed in its support. While programming languages often merely provide the general mechanism of object references, specification and modeling languages typically support more advanced structuring mechanisms.

In the telecom industry, specifying systems as a set of interconnected (composite) blocks was common practice already in the seventies [1]. SDL was one of the first standardized notations for modeling and specification with support for such structuring mechanisms [2]. In the 1984 version, the notion of systems consisting of entities executing state machines that define their behavior and connected by communication links was introduced. Object-orientation was first proposed for SDL in 1987 [3]; in 1992 these concepts became part of the language, when types of entities and gates as connection points for communication links were introduced. In addition,

specialization was defined for types of entities with an internal structure of connected parts, and for state machines.

Proprietary variations of sequence diagrams (these were often referred to as “message flow graphs” or “bounce diagrams”) had long been in use in the telecom industry. The standardization of Message Sequence Charts (MSC) was triggered by a paper by Rudolph and Grabowski [4], leading to the first MSC recommendation in 1992. MSC-2000 [5] refined earlier structuring mechanisms such as MSC references and inline expressions. UML chose a somewhat different dialect similar to, but not identical to MSC-92, as the foundation of sequence diagrams, and did not support reuse and hierarchy. With UML 2.0, the two dialects have reached more or less the same expressiveness.

In 1987, Harel introduced structuring mechanisms for state machines [6]. While then state machine-based languages relied on “flat” state machines (albeit SDL supported hierarchy through calls to procedures in turn specified by state machines), Harel’s state machines were explicitly hierarchical, with composite states containing in turn states and transitions.

Work on Architecture Description Languages began in the early nineties (see, for example, [7]). This area has produced a number of modeling languages such as ACME [8] and Rapide [9] which aim at analyzing certain properties of a system based upon the description of its architecture, without considering the detailed specification of its behavior.

In 1994, ROOM [10] combined statecharts and structuring mechanisms like those of SDL, inspired by the Chorus distributed operating system. While SDL gates are just connection points, ROOM introduced more general ports, i.e., boundary objects mediating the communication between connected objects. ROOM further introduced the ability to associate protocol specifications to both ports and connectors.

From the world of data and object modeling came the notion of contextual composition, i.e. composition with contextual links between parts of the composite [11].

In 1997, Harel and Gery applied the same structuring mechanism that had been proposed for SDL [3] earlier to statecharts, especially the mechanisms for specializing behavior specified through state machines [12].

SDL-2000 [13] adopted the ROOM port concept, as well as the hierarchical state machines of statecharts. However, it extended the latter with connection points taken from its structuring mechanisms.

When UML 1.1 was adopted, only few of these structuring mechanisms became part of the language. Lessons learned with respect to the lack of structuring mechanisms for UML have been summarized in [14] and [15]. The structuring mechanisms of the coming UML 2.0 are based upon experiences with all these efforts.

These languages have formalized what engineers and system designers have been doing all along: making informal “block” or “structure” diagrams for various reasons. Interconnected blocks were used in order to specify the structures (in terms of instances) of the complete running systems, statecharts were introduced in order to specify structures of state machines, while message sequence charts pioneered the structuring of behavior in a declarative manner.

## 2. EXAMPLE – AN ACCESS CONTROL SYSTEM

This chapter will use one example – an access control system – to explain how UML 2.0 structural concepts can be used to model a system in a compact, but readable way. This example has earlier been elaborated in the TIME method [16].

### 2.1 Introducing the Example – Domain Statement

In order to give the reader a first insight into our example system, we present the structured domain statement in natural English. At this stage many UML users would also apply use cases. We have chosen to omit the use cases to save space and since our focus is on the structuring mechanisms.

*Area of Concern:* Access control has to do with controlling the access of users to a set of access zones. Only a user with known identity and correct access rights shall be allowed to enter into an access zone. Other users shall be denied access.

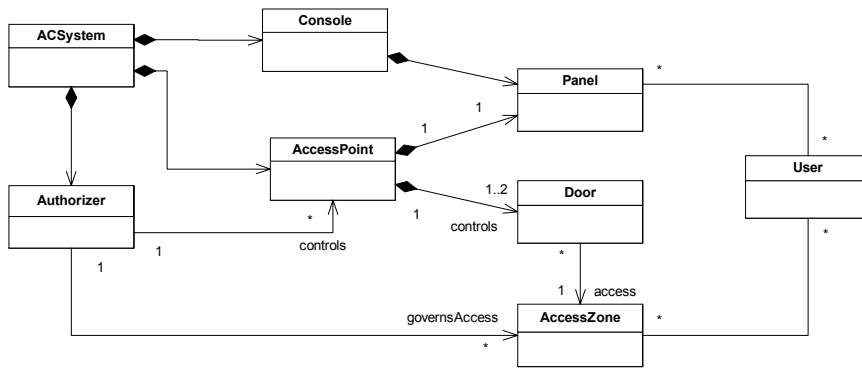
*Services*

- User Access: The user will enter an access zone through an access point. The authentication of a user shall be established by some means for secret personal identification (PIN code). The authorization is based on the identity of the user and the access rights associated with that user.
- New User: A supervisor will have the ability to insert new users into the system.
- PIN change: Users shall be able to change their personal code.

As the reader now clearly understands, we will describe a system known to many from their daily life.

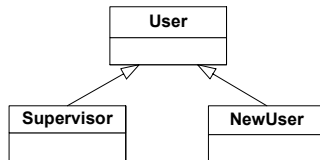
## 2.2 Domain Class Model

We begin by constructing a simple class model describing the domain of the access control system (see *Figure 3-1*). While the domain model does not yet describe the classes as they ultimately make up the system to be developed, it captures the essence of the system as it applies to the domain statement. We see a number of classes that we expect to appear in the final system, based on the domain statement, as well as high-level relationships between these classes: An *AccessPoint* controls access to one or two *Doors*, through which the user enters the *AccessZone*. The *Authorizer* controls access through an *AccessPoint*, and thus governs access to each *AccessZone*. Users interact with a *Panel* of the *AccessPoint* or the *Console*. We use multiplicities and role names on the relationship to document important characteristics of the system.



*Figure 3-1.* Domain model for the access control system.

As discussed in the domain statement, the system will interact with various types of users: ordinary users try to gain authenticated access to the access zones. A supervisor is a user who in addition has the ability to add new users to the authentication system. A new user is not yet authorized to enter any access zones. The hierarchy of users is shown in the class diagram depicted in *Figure 3-2*.



*Figure 3-2.* User class hierarchy.

Figure 3-3 shows the context of the access control system. The system context is modeled as a collaboration. A UML collaboration describes a structure of cooperating entities, each performing some specific function, which jointly accomplishes the desired functionality. The behavior of the system is the result of the cooperation of the entities that play a part in the collaboration.

The context in which the *ACSystem* operates is comprised of four sets of objects: the *ACSystem* proper, a set of *User* objects, a set of *NewUser* objects, and a set of *Supervisor* objects. These sets are shown as parts of the collaboration. The specified system will be made up of objects corresponding to each of these parts, as specified by the multiplicities of the parts.<sup>1</sup> The parts are linked by connectors, which specify communication paths between the objects playing these parts. Every one of these objects will be able to communicate along these paths with the linked objects.

A collaboration often specifies a view of the cooperating entities only. It specifies the required features of the parts as well as required communications between them. Any object that plays these parts must at least possess the properties specified by the classifiers that type the parts of the collaboration. The system specified by the collaboration may have additional parts not shown, and the objects playing the parts may possess additional properties.

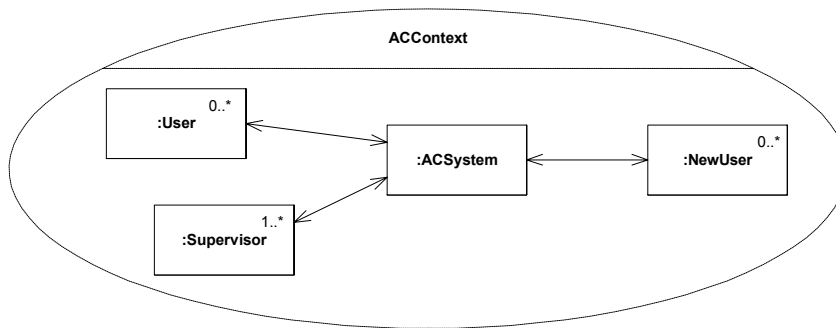


Figure 3-3. The context for the *ACSystem*.

<sup>1</sup> Multiplicities indicate lower and upper bounds on the number of objects that may play such part and are shown in the upper right-hand corner of the symbol representing a part, as shown in Figure 3-3. If no multiplicity is shown, it indicates that exactly one instance will be created for a part.

## 2.3 Behavior Modeling with Interactions (I)

In this section, we will describe the services of the access control system based on the domain statement in Section 2.1 and the concepts and structure outlined in Section 2.2.

Interactions are often described by Sequence Diagrams where horizontal arrows represent the messages between the lifelines represented by vertical lines.<sup>2</sup> Each lifeline represents a structural aspect of the system, such as its parts. In UML 1.x such sequence diagrams were quite simple, as illustrated by the utility service *GivePIN* in *Figure 3-4*: the *ACSystem* requests the personal identification number from the user and the user enters four digits. Each diagram has a frame with a name tag in the upper left corner.<sup>3</sup>

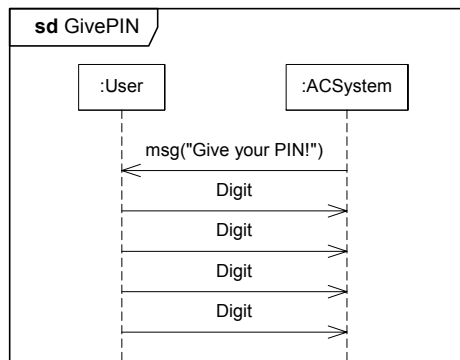


Figure 3-4. *GivePIN* - a very simple sequence diagram

We realize that *GivePIN* could be used within another interaction with the purpose of establishing access through the access control system. The sequence diagram *EstablishAccess* is shown in *Figure 3-5*.

We see in *Figure 3-5* that *GivePIN* is referenced from within another sequence diagram with the obvious interpretation that the *GivePIN* reference (interaction occurrence) will be replaced by the full *GivePIN* interaction. The *EstablishAccess* interaction also shows a loop (depicted as a rectangle with a loop tag in the upper left corner) where the loop may iterate between 0 and 3

<sup>2</sup> The term “message” is used both for asynchronous signaling and procedure calls. The difference between these types of communication is indicated by the shape of the arrowhead. In our example we use asynchronous messages.

<sup>3</sup> The keyword “sd” is an abbreviation for “sequence diagram,” but is used for all Interaction diagrams. There are four variants of interaction diagrams: sequence diagrams, communication diagrams, interaction overview diagrams and timing diagrams. This example uses only sequence diagrams.

times, allowing for the user to try several times. Finally we show an alternative construct (depicted by a rectangle marked with the keyword “alt”) distinguishing between the situation where the user is successful in getting access (ending in the continuation label *PIN OK*) and the situation where an error message (parameterized) is given (and ending in the continuation *PIN NOK*).<sup>4</sup> A dashed horizontal dividing line separates the different alternatives.

Loop and alternative are constructs introduced in UML 2.0 called combined fragments. This notation allows to concisely describe within one diagram a set of traces, which would otherwise require a number of diagrams.

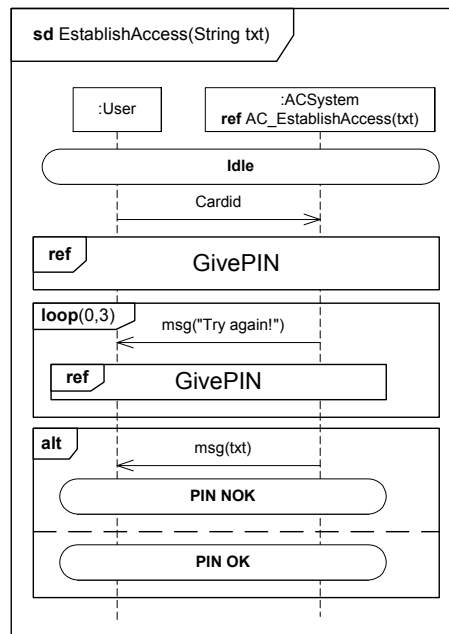


Figure 3-5. *EstablishAccess*

The interaction *EstablishAccess* is applied in the specifications of the three services *UserAccess* (see Figure 3-6), *PINChange* (see Figure 3-7), and *NewUser* (see Figure 3-8).<sup>5</sup>

<sup>4</sup> A “continuation” is a label such that scenarios starting on a continuation with a given label will continue where scenarios ending in the continuation with that label left off. Continuations are merely a syntactic device to break sequence diagrams into smaller units; no synchronization between lifelines is implied.

<sup>5</sup> The *ACSystem* lifelines have a ref-clause in their head. This notation refers to decomposition of the lifeline described in section 2.5.

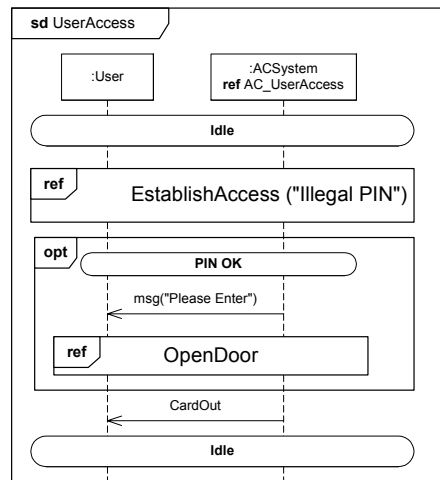


Figure 3-6. UserAccess

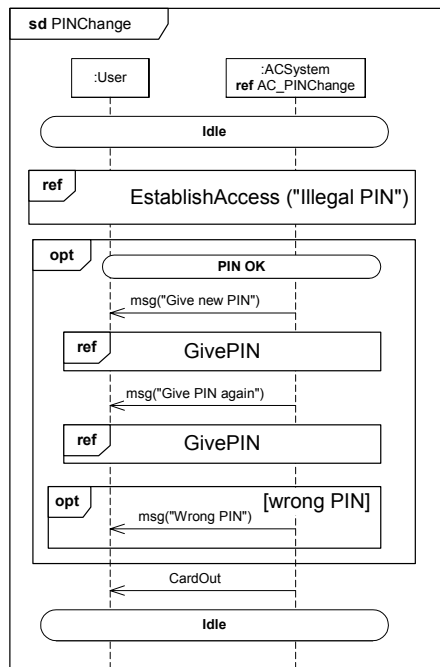


Figure 3-7. PINChange



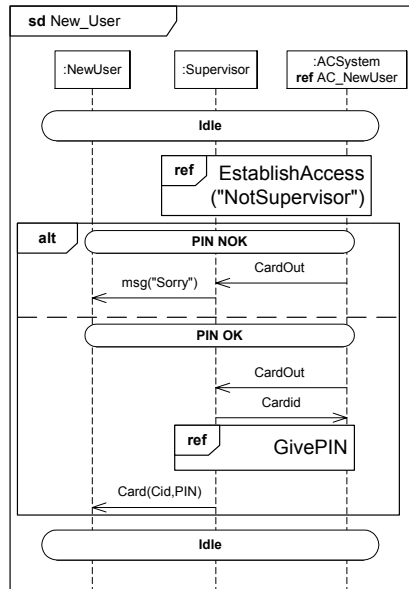


Figure 3-8. NewUser

In this section we have seen how the new structuring mechanisms of UML 2.0 contributes to making the description more compact and easier to overview.

## 2.4 Modeling with Internal Structures

As section 2.3 has shown, the behavior of the access control system is too complex to be captured by a simple class, and therefore we design *ACSystem* by decomposition: The *ACSystem* contains a number of access points, represented by parts specified by the class *AccessPoint*. The access points interact with the users who request access and are granted or denied access to the access zones. The access point does not make this decision; instead, it communicates with an *Authorizer* to verify the validity of an access request. The *ACSystem* may further have several *Consoles* that allow a supervisor to interact with the system, for example, to add new users.

The behavior of the *ACSystem* results from the cooperation of its parts, and the interaction of these parts with the context of the access control system. However, in contrast to the system shown in *Figure 3-3*, the *ACSystem* not merely emerges from its parts but there will indeed be a physical system object that can be identified as an instance of the *ACSystem*. Consequently, we use a class to model the *ACSystem*. The internal structure

of the *ACSystem* is represented in a similar manner as shown earlier as a structure of connected parts.

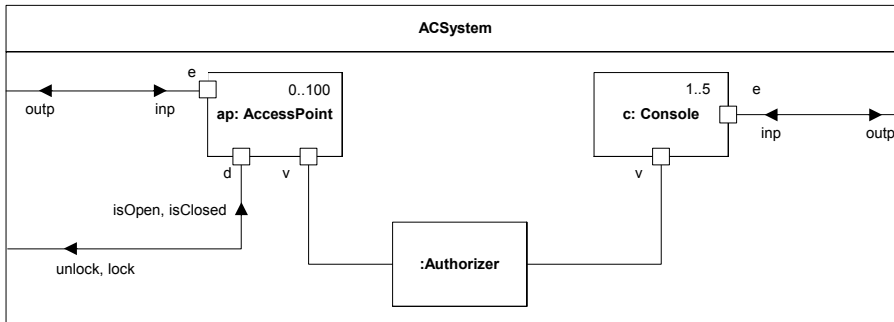


Figure 3-9. Internal structure of the access control system.

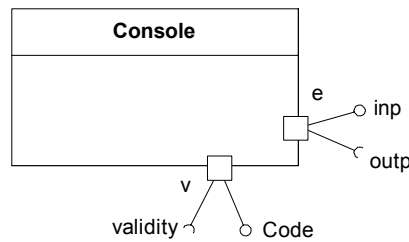
In addition to specifying which parts comprise the *ACSystem*, Figure 3-9 also specifies how many of these parts may be created when an instance of an *ACSystem* is created. As described by the multiplicities, the system will have at most hundred access points and at most five consoles. When an *ACSystem* is created, it also will have an *Authorizer* object and one *Console* object (a non-zero lower multiplicity indicates the number of initial objects). Access points and additional consoles can be created up to the specified maximum and each will be linked as specified in the internal structure. The parts of the *ACSystem* can communicate amongst each other only as specified by the connectors; for example, it is not ordinarily possible for an *AccessPoint* object to communicate directly with a *Console* object.

Figure 3-9 shows the parts of the *ACSystem* linked in two manners: Connectors may directly attach to a part (as is the case with the *Authorizer*) or they may attach at ports. A port specifies a distinct interaction point between an object and its environment or between an object and its internal parts. It specifies the services a classifier provides to its environment as well as the services that a classifier requires of its environment. The provided and required interfaces of a port completely characterize any interaction that may occur between an object at the interaction point specified by the port and its environment. As such, a port fully isolates the object from its environment. This allows an object to be used in any context that satisfies the constraints specified by the ports on its classifier.

A port may forward any communicated information on attached connectors. Alternatively, a behavior port indicates that the instance directly handles the communication arriving at this port. Similarly, connectors attached to a part indicate that any arriving communication is handled by the corresponding instance rather than forwarded on a connector.

At times it will be of interest to highlight the information that is communicated along the connectors between parts of the internal structure. Information flows may be associated with connectors and describe the data that is communicated, as shown in *Figure 3-9* for the information communicated from and to the environment of *ACSystem*.

*Figure 3-10* shows the class definition for *Console*. With each of its ports we also show the interfaces that are provided and required by the respective ports. The services that are offered by the classifier at this port to its environment are indicated by the “ball” symbol. The services that this classifier expects from its environment are indicated by the “socket” symbol. (Alternatively, these interfaces can also be shown with the type of the port.)



*Figure 3-10.* Class definition for *AccessPoint*.

## 2.5 Behavior Modeling with Interactions (II) – Decomposition

In section 2.3, we have shown how interactions are used to specify services between the system and its environment. Our next step towards designing the access control system would be to take advantage of the decomposition mechanisms of interactions and the internal structure of classes, as described in section 2.4. These mechanisms are closely related.

We shall have a closer look at the *PINChange* service (*Figure 3-7*) and see how this service is decomposed with respect to the *ACSystem*. Intuitively we apply magnifying glasses and look at the lifeline representing the *ACSystem* within *PINChange* following the ref-clause to *AC\_PINChange*, shown in *Figure 3-11*. We see that the lifelines of *AC\_PINChange* refer to the parts of the class *ACSystem*, as shown in *Figure 3-9*.

Furthermore, we observe that there are a number of messages going to and from the frame of the sequence diagram. The points on the diagram frame are called gates and represent the message interface between the decomposition and the environment of the lifeline being decomposed. Comparing with the service *PINChange* in *Figure 3-7*, we see that the gates

and structuring concepts of the decomposition *AC\_PINChange* correspond one to one with the event occurrences and structuring elements of *PINChange*. From the top we see that the interaction *EstablishAccess* has a counterpart in *AC\_EstablishAccess*, the opt-fragment<sup>6</sup> has a counterpart and inside it we have *GivePIN* with its counterpart *AC\_GivePIN*. A small difference is that in the decomposition the inner opt-fragment has an alt-fragment as its counterpart. This works since an opt-fragment is shorthand for an alt-fragment and the second operand of the alt-fragment in *AC\_PINChange* has only a message that is between lifelines not visible on the upper level.

We also notice that the operands of the inner alt-fragment contain introductory constraints. These constraints are guards showing the assumptions that need to be true for the operand to be valid.<sup>7</sup>

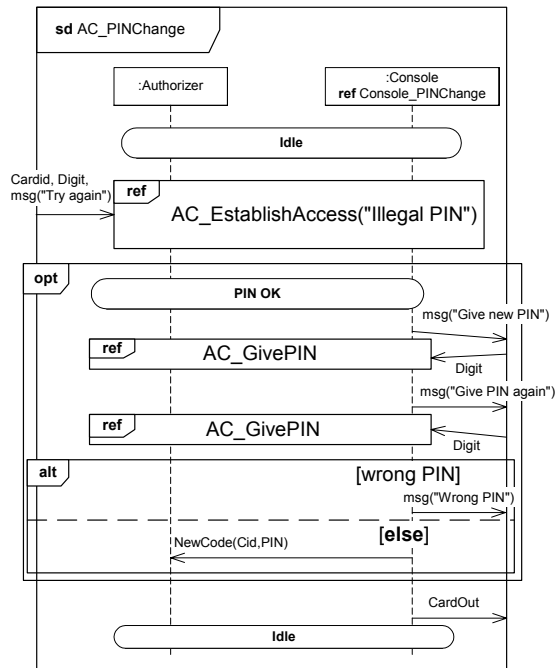
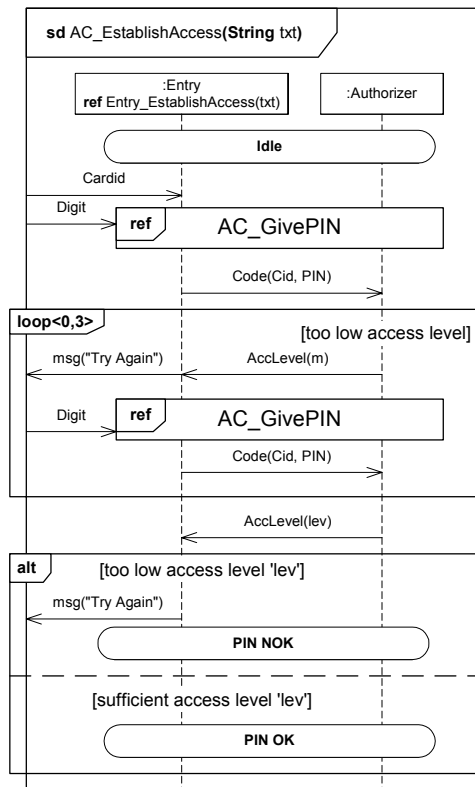


Figure 3-11. *AC\_PINChange*

<sup>6</sup> An “opt-fragment” is an “option” combined fragment. An option combined fragment is a shorthand for an alternative combined fragment (“alt-fragment” for short) where the second operand is empty.

<sup>7</sup> The keyword “else” represents the assumption that results from negating all other constraints of the same enclosing fragment.

Figure 3-12. *AC\_EstablishAccess*

A decomposition is an interaction occurrence; thus we may wonder about the interplay between plain interaction occurrences and decompositions. In Figure 3-7, we have decomposed *ACSystem*, which is covered by *EstablishAccess*. We can see the decomposition in Figure 3-11 and *EstablishAccess* in Figure 3-5. What would happen if we (as indicated in Figure 3-5) were to decompose the lifeline representing the *ACSystem* of *EstablishAccess*? As one can expect we must then obtain *AC\_EstablishAccess*, which is given in Figure 3-12. This interaction represents the intersection between the *ACSystem* lifeline in *ChangePIN* and the *EstablishAccess* interaction occurrence. The reader might want to verify that these sequence diagrams are consistent.

In doing so, the reader will notice that in *AC\_EstablishAccess* (Figure 3-12) one of the lifelines represents an anonymous part of the class *Entry*, while no such part is present in the internal structure of *ACSystem* given in Figure 3-9. *Entry* represents the commonality between *AccessPoint* and *Console*, which we discovered through the decomposition process: in the services we have applied *EstablishAccess* between the *ACSystem* and the

user. When we decomposed *ACSystem* into parts of type *AccessPoint*, *Console*, and *Authorizer*, we realized that in *AC\_ChangePIN* (Figure 3-11) only the *Console* and the *Authorizer* were involved, since the user has to operate the *Console* when he wants to change the *PIN*. On the other hand, if we were to decompose *ACSystem* in the service *UserAccess*, we would see that the *Console* is not involved at all while the *AccessPoint* was involved together with the *Authorizer*. Nevertheless, *Console* and *AccessPoint* are both be involved in establishing user access. This commonality is captured by the *Entry* class of the lifeline in *AC\_EstablishAccess* (Figure 3-12).

## 2.6 Finalizing the Internal Structure

When studying the behavioral decomposition of *ACSystem*, we have learned that there are significant similarities between an access point and a console. This similarity was already hinted at in the internal structure shown in Figure 3-9: both *AccessPoint* and *Console* share a number of ports and are connected similarly. We introduce a common superclass, *Entry*, which abstracts these commonalities. *Entry* will not be able to stand alone; instead it is an abstract class, of which no objects will ever be created. Its concrete subclasses will add the necessary detail. This class hierarchy is shown in Figure 3-13.

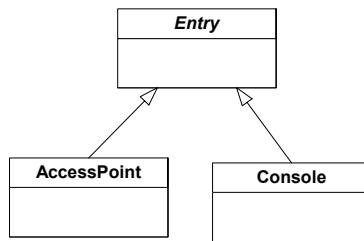


Figure 3-13. Class hierarchy for *Entry*, *AccessPoint*, and *Console*.

From examining the structure of *ACSystem*, we conclude that *Entry* will specify the interaction with the *Authorizer* as well as the user interactions. We decompose *Entry* further as shown in Figure 3-14. The *Entry* class also defines the classes for its *Panel* and *Controller* parts; these are shown as defined locally to *Entry* and are not visible outside of the context of *Entry*. We also see that *Entry* defines further interactions, *Entry\_EstablishAccess* and *Entry\_GivePIN*. Every instance of *Entry* will have the two interaction points represented by ports *e* and *v*.

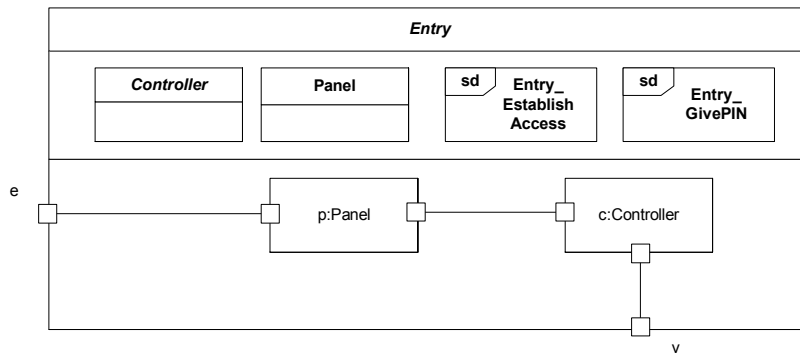


Figure 3-14. Internal structure of abstract class *Entry*.

We decide that the interaction with the user is mediated by a *Panel* where the user can enter the PIN. The interaction with the *Authorizer* will be mediated by the *Controller* class. It is clear that the *Controller* will provide different functionalities between access points and consoles. When specializing a class, all properties of the general class are inherited by the specialization but any redefinable element of the general class may be either replaced or extended. We make use of this capability and specify *Controller* as a redefinable class to indicate that subclasses of *Entry* will redefine its behavior.

Figure 3-15 and Figure 3-16 show the specification of *Console* and *AccessPoint*, respectively. From *Entry*, these classes inherit the structure comprised of *Controller* and *Panel*. As expected, both classes redefine the *Controller* to provide their specific behavior. For example, the *Console* will add the specifics of the interaction with the supervisor for adding a new user. While the *Console* is rather simple, the *AccessPoint* adds an additional part: a *Door*. The *Controller* in an access point also interacts with the door object; it senses the status of the door and sends lock and unlock commands. Class *AccessPoint* redefines the *Controller* inherited from *Entry*, extending it by adding a port to communicate with the associated door instance, in addition to augmenting its behavior. (Note that the inherited aspects are graphically represented by dashed lines to differentiate them from the extensions added in this class.)

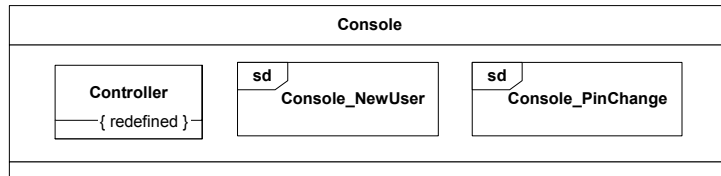


Figure 3-15. Internal structure of *Console*.

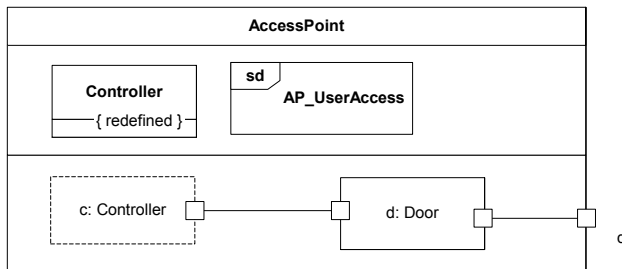


Figure 3-16. Internal structure of *AccessPoint*.

## 2.7 Behavioral Modeling with State machines

We have identified *Panel* as one of the parts of *Entry*. We could have synthesized the state machine behavior of *Panel* from the identified and specified interactions, but we choose to make it based upon an intuitive understanding of what the behavior is supposed to do.

This intuitive understanding takes as starting point the obvious states of the panel that a user will recognize: there is “no card” in the card reader or there is “one card” in the reader. The panel will behave differently in the two situations, and the user is supposed to do different things in the two situations.

In order to illustrate the different mechanisms of UML state machines, we give two versions of the *Panel* state machine. The *Panel* is not the intelligent part of our entry points: its only purpose is to accept cards with identifications and accept four digits of the PIN. In *Figure 3-17*, the behavior of *GivePIN* is defined by an operation, which is performed as part of two of the transitions. We have chosen to give the state machine behavior of class *Panel* the same name, but there is no such requirement.



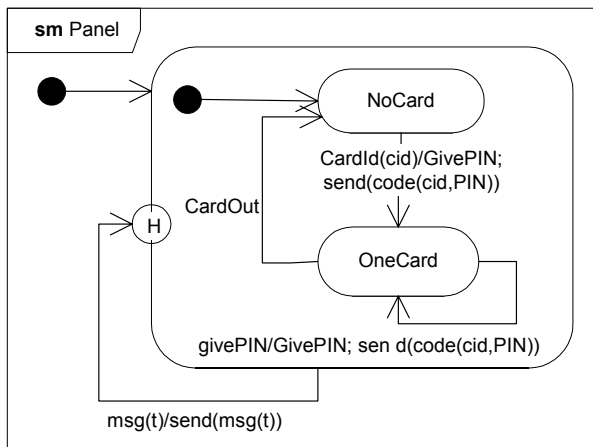


Figure 3-17. State machine *Panel* with *GivePIN* as an operation

In UML 1.x, the operation *GivePIN* would have to be defined as a private operation in the *class Panel*. With UML 2.0 it is also possible to define it more locally (and where it really belongs): as part of the state machine of *Panel*, as is illustrated in Figure 3-18: this symbol defines the properties (private attributes and operations) of the state machine *Panel*, while Figure 3-17 defines the states and transitions of *Panel*.

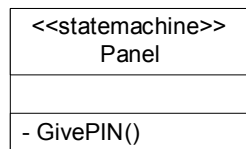


Figure 3-18. *GivePIN* defined as part of the *Panel* state machine

In the other version of the *Panel* state machine we have used a sub-state machine: *GivePIN* is defined as a separate state machine, and the *OneCard* state of the *Panel* state machine is a submachine state referring to the *GivePIN* state machine, see Figure 3-19 and Figure 3-20.

The effect of the submachine state *OneCard* is as if the *Panel* state machine had a composite state with the contents of *GivePIN*. The benefit of submachine states is that the referenced state machine can be defined independently of the containing state machine. Reading four digits and producing a PIN is a rather general behavior and can be (re)used in other parts of the same containing state machine, or even in other state machines, as we have already observed in the corresponding interactions.

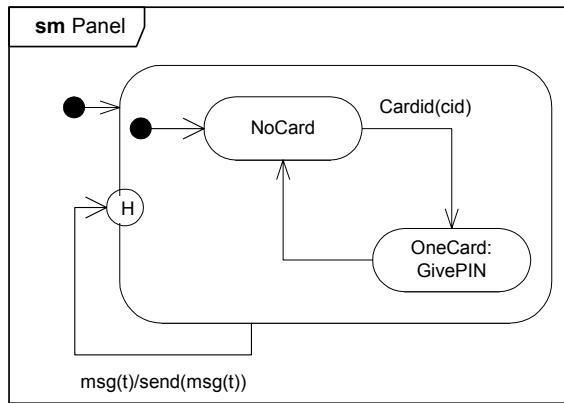


Figure 3-19. State machine *Panel* with submachine state according to *GivePIN*

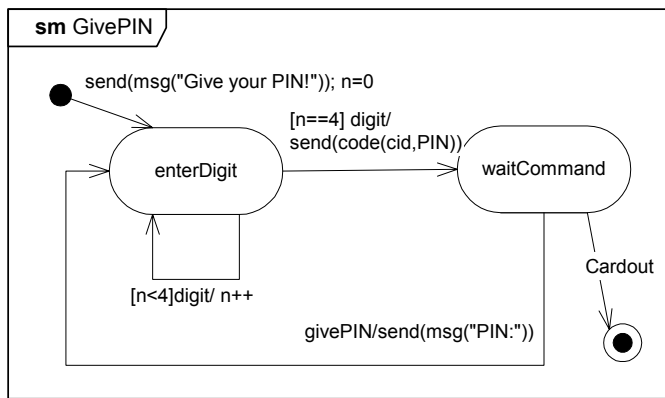


Figure 3-20. The state machine *GivePIN*

The reusability of sub-state machines becomes more obvious when the independently defined state machine has a defined “interface” in terms of connection points for its transitions. Assume that the *GivePIN* state machine also has the ability to be triggered by a “golden” card instead of entering four digits. Entering a golden card will bypass the digit entering and send the code directly to the *Controller* and then wait for the card to be ejected from the panel. While the former *GivePIN* state machine only had an initial state as entry point, the gold card *GivePIN* state machine has a separate entry point called *goldenEntry*, see Figure 3-21. Entering the state machine through this entry point, the effect action of the transition leading to *waitCommand* will send the code to the controller. For illustration purposes, the former final state has been exchanged with an exit point called *exit*.

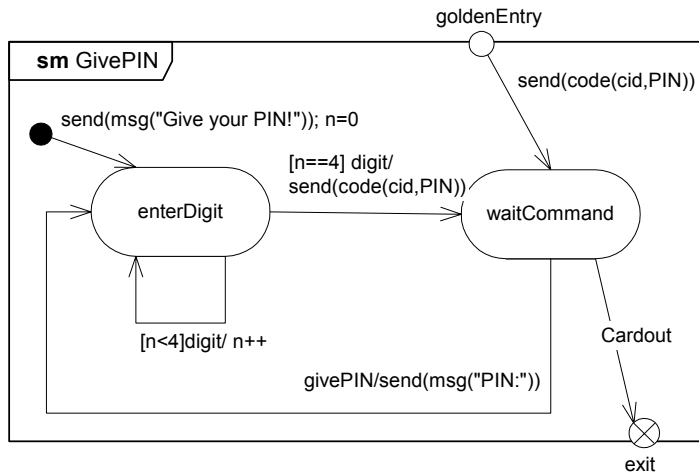


Figure 3-21. GivePIN state machine with goldenEntry

In Figure 3-22, the Panel state machine uses this new GivePIN sub-state machine by directing the transition triggered by the goldcard event to the entry point goldenEntry of the submachine state. It is also illustrated how an exit point is used as the source of a transition: a transition within OneCard leading to the exit point will imply that the transition in the containing state machine is triggered.

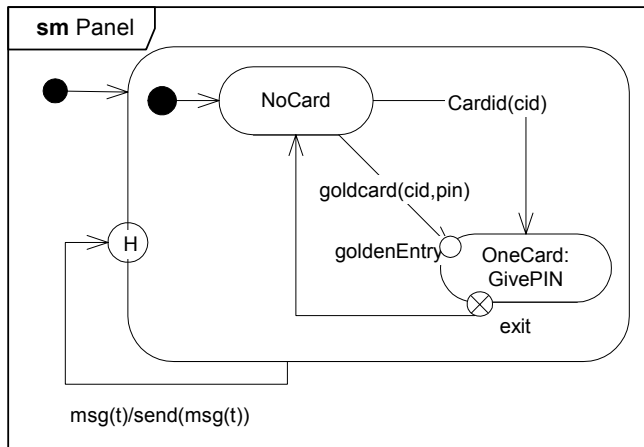


Figure 3-22. Panel with support for goldcard transition.

The benefit of entry/exit points is that the sub-state machine and the state machine referring to it may be defined independently. A referenced sub-state

machine with entry/exit points can change internally without changing the referencing state machine.<sup>8</sup>

In addition to defining connection points for sub-state machines, the entry/exit points also split transitions. As an example, the transition triggered by the *goldcard* event is separated into one at the level of *Panel* and one at the level of *GivePIN*. Each of these partial transitions can have an effect action. In this example only the transition from the entry point and further to the *waitCommand* has an effect action.

This short introduction to state machine modeling with UML2.0 did not allow all structuring mechanisms to be covered in full detail. In addition, state machines can be specialized not only by behavioral subtyping, but also by structural subtyping [13]: similar to the inheritance of the internal structure of composite classes, a specialized state machine inherits the structure of the inherited state and transition graph and may redefine these states and transitions.

## 2.8 The Consistency of Interactions and State Machines

Having developed the UML model as a medley of creating class diagrams, interactions, composite structures and state machines, we may wonder whether our end result is internally consistent. Consistency is partially ensured by static requirements of the language, but there are behavioral aspects that we will not be able to establish from purely static rules.

Having established a number of behaviors defined through interactions on one hand and state machines on the other, we would like to assess whether the desired behavior of the interactions can be fulfilled by implementations derived from the state machines.

Our example here is the establishment of access, a utility applied in more than one of the services. We shall compare the definition of *Panel* given by state machines in *Figure 3-19* and *Figure 3-20* with the interaction given in *Figure 3-12*. In order to reach the necessary level of detail, *Figure 3-23* shows the decomposition of *Entry* containing the *Panel* and the *Controller*.

The simple, partial check that we shall conduct is based on these principles:

1. Establish an initial alignment between the interaction and the state machine. What is the state of the state machine?
2. Assume that the messages into the state machine are determined by the interaction.

<sup>8</sup> Stub states of UML 1.4 had a similar purpose, but stub states in the referring state machine had to be changed if the referenced sub-state machine was changed.

3. Check that the actions and especially output messages from the transition of the state machine correspond with the event occurrences on a lifeline.
4. Perform this test for all traces of the interaction.

The reader should appreciate that this procedure of consistency checking can be automated provided that the model is sufficiently precise. In our example there are a few places where informal text is used to simplify the illustrations, and this would obstruct automatic checking. It is, however, possible to define the interactions and the state machines such that automatic checking is feasible.

In our scenario, we will initially assume that the state *NoCard* corresponds to the continuation *Idle* in the interaction.

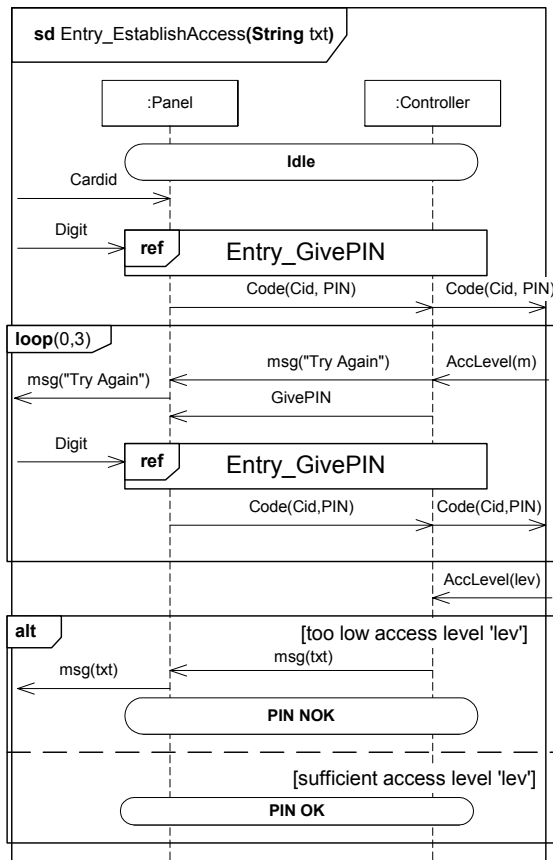


Figure 3-23. Entry\_EstablishAccess

The interaction then describes that *Panel* will receive a *Cardid* message. In the *Panel* state machine this will trigger a transition leading to the state *OneCard* that is of sub-state machine *GivePIN*. There a message will be sent “Give your PIN!”. We have not given the interaction *Entry\_GivePIN* here, but looking in *Figure 3-4* we can imagine what happens. The output from the state machine corresponds to that of the interaction. The interaction now specifies that there will be a number of digits entered. The corresponding behavior can easily be seen from the state *enterDigit* in *GivePIN*. The fourth digit will trigger a transition to state *waitCommand* and a code message with arguments is emitted. Again, the output message corresponds well with that specified in the interaction.

The loop of the interaction specifies a situation where the user has entered an incorrect PIN value and needs to reenter the PIN while the card is still in the card reader of the panel.

The interaction specifies the arrival of a message *msg* and that is handled from an outer composite state and returning to the same inner state *OneCard::waitCommand* through the history pseudo state. The output again corresponds to that of the interaction as *msg* is only forwarded to the user.

Then the interaction describes that the message *givePIN* arrives. The state machine then outputs a prompt and waits for digits again. The interaction indicates the same as pointed out above when first entering *Entry\_GivePIN*.

Leaving the loop, the interaction specifies an alternative. One possibility is that a message is again relayed through the panel as we have considered before. Another variant is that nothing happens, but the interaction specifies a continuation label that indicates that the access has been successfully established. In either case, the panel is in state *OneCard::waitCommand* and depending on the services applying *Entry\_EstablishAccess*, it will eventually get a *CardOut* message to eject the card.

We conclude that given our initial alignment assumption, the utility *Entry\_EstablishAccess* is fulfilled by the state machine *Panel*, as defined.

### 3. CONCLUSIONS

In this chapter, we have introduced the structuring mechanisms of UML 2.0 showing that these new facilities will potentially make descriptions more concise, more precise, more detailed, more compact, and more easily reused.

The new structural concepts have made UML more expressive especially in domains where precise behavioral specifications and reuse of specifications are important.

The composite structure of classes makes it possible to define contextual structures. Interaction occurrences in interactions and sub-state machines in

state machines have added to reusability of behavior. More widespread application of such mechanisms also makes the overall specification more compact and more maintainable.

In section 2.8, we showed that there is simple correspondence between concepts of declarative descriptions through interactions and the imperative descriptions through state machines. Formal or semi-formal validation techniques can be applied.

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