

Processes & Threads

INF2140 Parallel Programming: Lecture 2

Jan. 25, 2012

Concurrent Processes

Designing concurrent software can be complex and error prone. A rigorous engineering approach is essential.

We structure complex systems as sets of simpler activities, each represented as a **sequential process**. Processes can overlap or be concurrent, so as to reflect the concurrency inherent in the physical world, or to offload time-consuming tasks, or to manage communications or other devices.

Concept of a process as a sequence of actions.



Model processes as finite state machines.



Program processes as threads in Java.

Processes and Threads

Concepts: processes - units of sequential execution.

- Models:**
- finite state processes (FSP) to model processes as sequences of actions.
 - labelled transition systems (LTS) to analyse, display and animate behavior.

Practice: Java threads

Modeling Processes

Models are described using state machines, known as Labelled Transition Systems **LTS**. These are described textually as finite state processes (**FSP**) and displayed and analysed by the **LTSA** analysis tool.

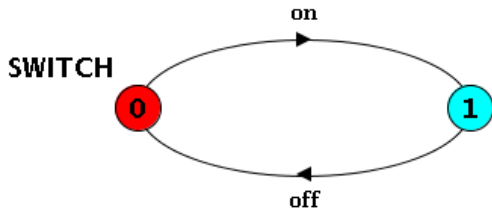
FSP - description language

LTS - graphical form

LTSA - tool for analyzing LTS

Modeling Processes

A *process* is the execution of a sequential program. It is modeled as a finite state machine which transits from state to state by executing a sequence of *atomic actions*.



a light switch **LTS**

trace: a sequence of actions of an execution:

`on → off → on → off → on → off → ...`

Can finite state models produce infinite traces?

FSP - action prefix

If x is an action and P a process then $(x \rightarrow P)$ describes a process that initially engages in the action x and then behaves exactly as described by P .

ONESHOT = (once \rightarrow STOP).



ONESHOT state machine
(terminating process)

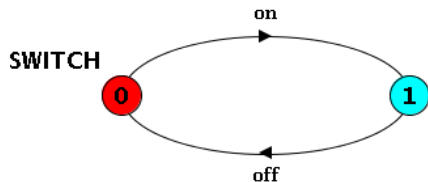
Convention:

- actions begin with lowercase letters
- PROCESSES begin with uppercase letters

FSP - action prefix & recursion

Repetitive behaviour uses recursion:

```
SWITCH = OFF,  
OFF     = (on -> ON),  
ON      = (off -> OFF).
```



Comma before local processes.

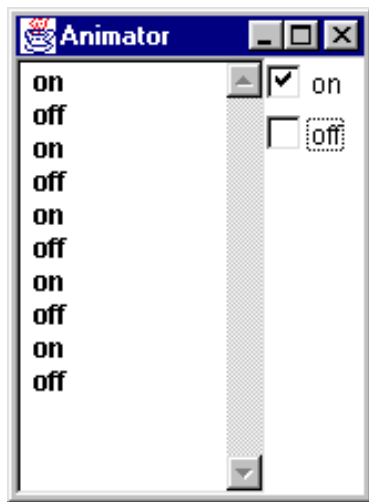
Substituting to get a more succinct definition:

```
SWITCH = OFF,  
OFF     = (on -> (off -> OFF)).
```

And again:

```
SWITCH = (on -> off -> SWITCH).
```

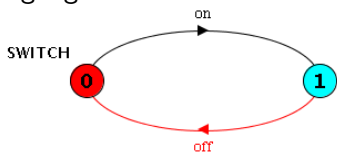
Animation using LTSA



The LTSA animator can be used to produce a trace.

Ticked actions are eligible for selection.

In the LTS, the last action is highlighted in red.

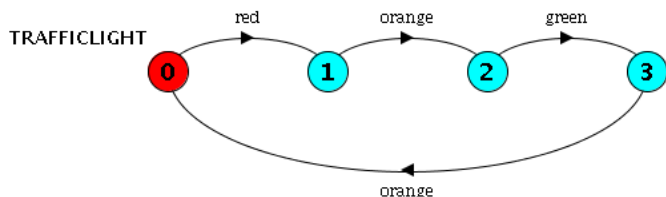


FSP - action prefix

FSP model of a traffic light:

```
TRAFFICLIGHT = (red -> orange -> green -> orange  
-> TRAFFICLIGHT).
```

LTS generated using LTSA:



Trace: red \rightarrow orange \rightarrow green \rightarrow orange \rightarrow red \rightarrow ...

FSP - choice

If x and y are actions then $(x \rightarrow P \mid y \rightarrow Q)$ describes a process which initially engages in either of the actions x or y . After the first action has occurred, the subsequent behavior is described by P if the first action was x and Q if the first action was y .

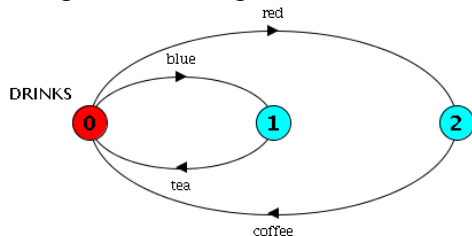
- Who or what makes the choice?
- Is there a difference between input and output actions?

FSP - choice

FSP model of a drinks machine:

```
DRINKS = ( red  -> coffee -> DRINKS  
          | blue -> tea    -> DRINKS ).
```

LTS generated using LTSA:

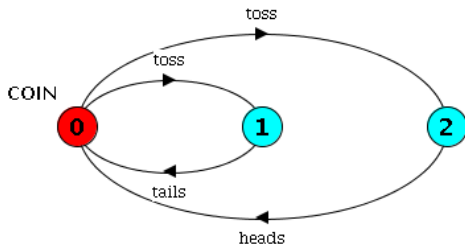


Possible traces?

Non-deterministic choice

Process $(x \rightarrow P \mid x \rightarrow Q)$ describes a process which engages in x and then behaves as either P or Q .

```
COIN = (toss->HEADS | toss->TAILS),  
HEADS = (heads->COIN),  
TAILS = (tails->COIN).
```



Tossing a coin.
Possible traces?

Example: Non-determinism, external and internal choice

```
DRINKS = (coin -> (coffee -> DRINKS
                  |tea    -> DRINKS
                )).
```

```
USER    = (coin -> coffee -> USER
           |coin -> tea    -> USER
          ).
```

Alternative definition (using **set of actions**):

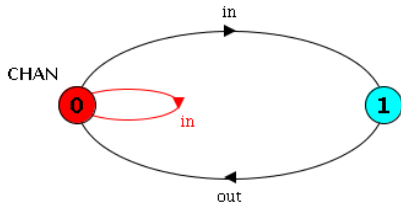
```
DRINKS = (coin -> ({coffee,tea} -> DRINKS)).
```

Modeling failure

How do we model an unreliable communication channel which accepts **in** actions and if a failure occurs produces no output, otherwise performs an **out** action?

Use non-determinism...

```
CHAN = (in->CHAN
        | in->out->CHAN
        ).
```



FSP - indexed processes and actions

Single slot buffer that inputs a value in the range 0 to 3 and then outputs that value:

$$\text{BUFF} = (\text{in}[i:0..3] \rightarrow \text{out}[i] \rightarrow \text{BUFF}).$$

equivalent to

$$\begin{aligned} \text{BUFF} = & (\text{in}[0] \rightarrow \text{out}[0] \rightarrow \text{BUFF} \\ & | \text{in}[1] \rightarrow \text{out}[1] \rightarrow \text{BUFF} \\ & | \text{in}[2] \rightarrow \text{out}[2] \rightarrow \text{BUFF} \\ & | \text{in}[3] \rightarrow \text{out}[3] \rightarrow \text{BUFF}). \end{aligned}$$

or, using a *process parameter* with default value:

$$\text{BUFF}(N=3) = (\text{in}[i:0..N] \rightarrow \text{out}[i] \rightarrow \text{BUFF}).$$

Note: Indexed actions generate labels of the form `action.index`.

FSP - indexed processes and actions

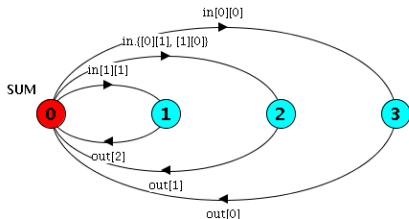
Local indexed process definitions are equivalent to process definitions for each index value

Index expressions to model calculation:

```
const N = 1
range T = 0..N
range R = 0..2*N
```

```
SUM = (in[a:T] [b:T] -> TOTAL[a+b]),
TOTAL[s:R] = (out[s] -> SUM).
```

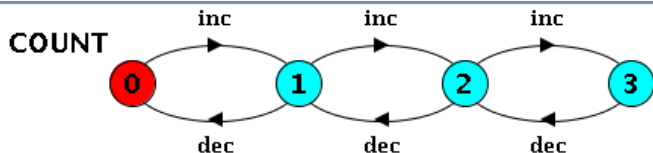
- Alternative: two separate inputs.



FSP - guarded actions

The choice (when B $x \rightarrow P$ | $y \rightarrow Q$) means that when the guard B is true then the actions x and y are both eligible to be chosen, otherwise if B is false then the action x cannot be chosen.

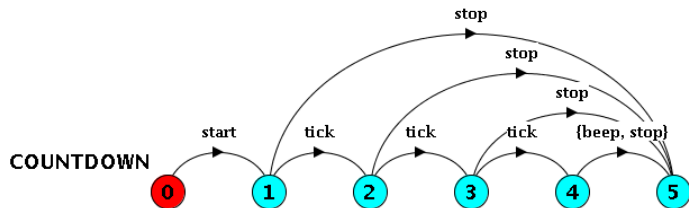
```
COUNT (N=3) = COUNT[0],  
COUNT[i:0..N] = (when(i<N) inc->COUNT[i+1]  
                  |when(i>0) dec->COUNT[i-1]  
                  ).
```



FSP - guarded actions

A countdown timer which beeps after N ticks, or can be stopped:

```
COUNTDOWN (N=3) = (start->COUNTDOWN[N]),  
COUNTDOWN [i:0..N] =  
  ( when(i>0) tick->COUNTDOWN [i-1]  
    | when(i==0)beep->STOP  
    | stop->STOP ).
```



FSP - guarded actions

What is the following FSP process equivalent to?

```
const False = 0
```

```
P = (when (False) doanything->P).
```

Answer: STOP

FSP - process alphabets

The alphabet of a process is the set of actions in which it can engage.

Process alphabets are *implicitly* defined by the actions in the process definition.

The alphabet of a process can be displayed using the LTSA alphabet window.

```
Process: COUNTDOWN
Alphabet: {
    beep,
    start,
    stop,
    tick
}
```

FSP - process alphabet adjustment

The implicit alphabet of a process can be **extended** and/or **reduced**, by two kinds of suffixes to a process description P :

- **extension** $P + \{\dots\}$
- **hiding** $P \setminus \{\dots\}$

Examples:

$\text{MEALS} = (\text{breakfast} \rightarrow \text{lunch} \rightarrow \text{dinner} \rightarrow \text{MEALS}) \setminus \{\text{lunch}\}.$

Now “lunch” becomes an internal action, *tau*, not visible nor shared. You want to eat alone.

$\text{LISTEN} = (\{\text{latin}, \text{jazz}, \text{pop}\} \rightarrow \text{LISTEN}) + \{\text{hiphop}\}.$

You do not want to listen to hiphop, and block on hiphop actions.

FSP - process alphabet extension

Alphabet extension can be used to extend the **implicit** alphabet of a process:

```
WRITER = (write[1]->write[3]->WRITER)
         +{write[0..3]}.
```

Alphabet of WRITER is the set $\{\text{write}[0..3]\}$
(we make use of alphabet extensions in later chapters)

Revision & Wake-up Exercise

In FSP, model a process FILTER, that exhibits the following repetitive behavior:
inputs a value v between 0 and 5, but only outputs it if $v \leq 2$, otherwise it discards it.

$$\text{FILTER} = (\text{in}[v:0..5] \rightarrow \text{DECIDE}[v]),$$
$$\text{DECIDE}[v:0..5] = (\quad ? \quad).$$
$$\text{DECIDE}[v:0..5] = (\text{when } v < 3 \text{ out}[v] \rightarrow \text{STOP}).$$

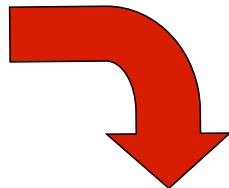
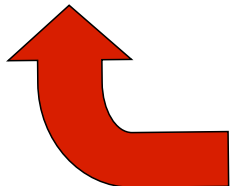
Alternatively by **hiding**:

$$\text{DECIDE}[v:0..5] = (\text{out}[v] \rightarrow \text{STOP}) \setminus \{ \text{out}[3], \text{out}[4], \text{out}[5] \}.$$

Hiding gives internal action τ .

2.2 Implementing processes

Modeling processes as finite state machines using FSP/LTS.

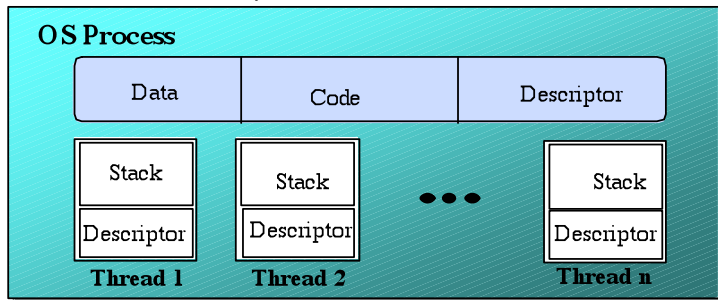


Implementing threads in Java.

Note: to avoid confusion, we use the term process when referring to the models, and thread when referring to the implementation in Java.

Implementing processes - the OS view

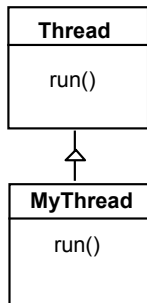
A (heavyweight) process in an operating system is represented by its code, data and the state of the machine registers, given in a descriptor. In order to support multiple (lightweight) **threads of control**, it has multiple stacks, one for each thread.



Threads in Java

A Thread class manages a single sequential thread of control. Threads may be created and deleted dynamically.

The Thread class executes instructions from its method `run()`. The actual code executed depends on the implementation provided for `run()` in a derived class.

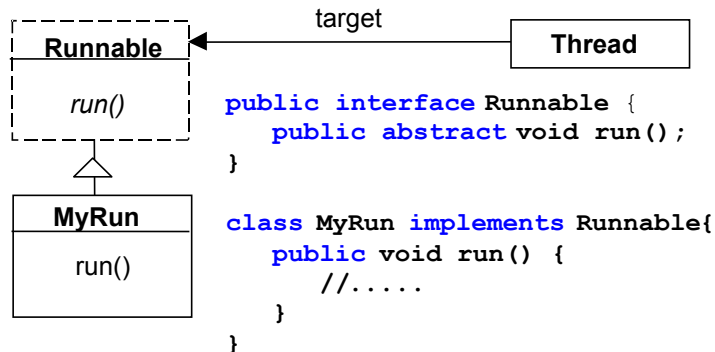


```
class MyThread extends Thread {
    public void run() {
        //.....
    }
}

// Creating a thread object:
Thread a = new MyThread();
```

Threads in Java

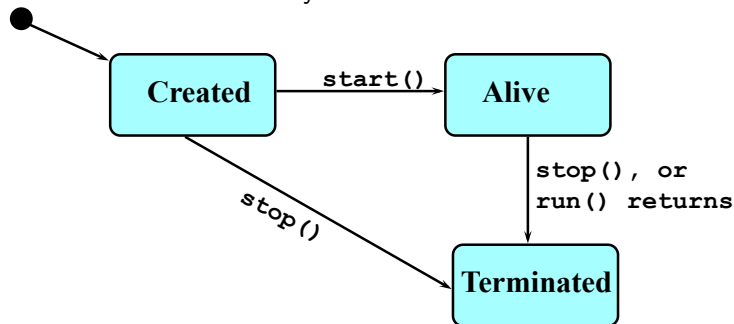
Since Java does not permit multiple inheritance, we often implement the `run()` method in a class not derived from `Thread` but from the interface `Runnable`.



```
Thread b = new Thread(new MyRun());
```

thread life-cycle in Java

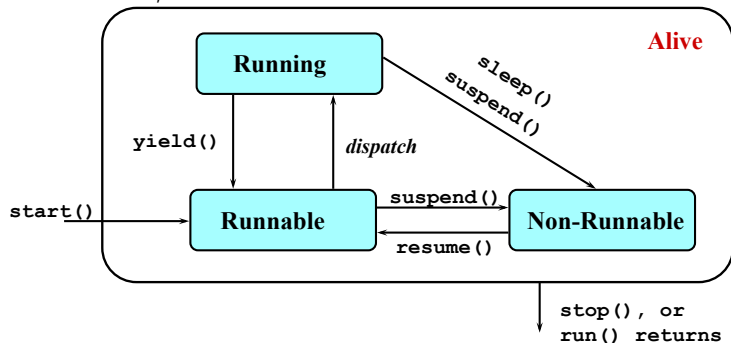
An overview of the life-cycle of a thread as state transitions:



- `start()` causes the thread to call its `run()` method.
- The predicate `isAlive()` can be used to test if a thread has been started but not terminated. Once terminated, it cannot be restarted.

thread alive states in Java

Once started, an alive thread has a number of substates :



Also, `wait()` makes a thread non-Runnable, and `notify()` makes it Runnable (used in later chapters).

Note: `suspend`, `resume`, `stop` are deprecated (not recommended).

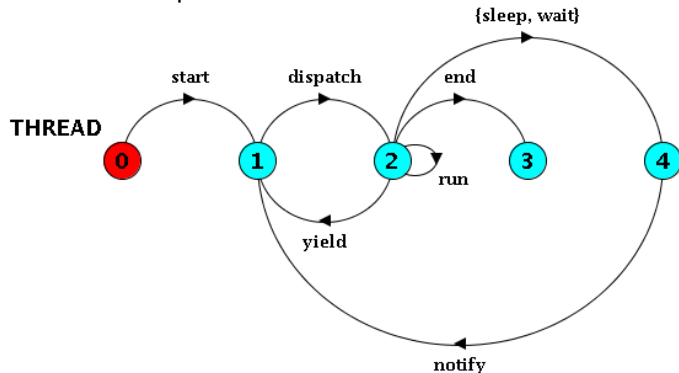
Java thread lifecycle - an FSP specification

```
THREAD      = CREATED,
CREATED     = ( start          -> RUNNABLE),
RUNNING     = ({sleep,wait} -> NON_RUNNABLE
               | yield        -> RUNNABLE
               | run          -> RUNNING
               | end          -> TERMINATED),
RUNNABLE    = ( dispatch      -> RUNNING),
NON_RUNNABLE = ( notify       -> RUNNABLE),
TERMINATED  = STOP.
```

- without the deprecated methods (suspend, resume, stop)
- end, run, dispatch are not methods of class Thread.

Java thread lifecycle - an FSP specification

without the deprecated methods



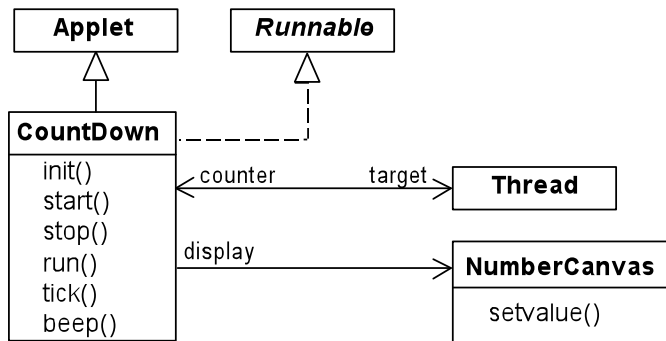
States 0 to 4 correspond to CREATED, RUNNABLE, RUNNING, TERMINATED, and NON-RUNNABLE, respectively.

CountDown timer example

```
COUNTDOWN (N=3)  = (start -> COUNTDOWN [N]),  
COUNTDOWN [i:0..N] =  
  ( when(i>0)  tick -> COUNTDOWN [i-1]  
    | when(i==0) beep -> STOP  
    | stop      -> STOP ).
```

Implementation in Java?

CountDown timer - class diagram



- The class `CountDown` derives from `Applet` and contains the implementation of the `run()` method required by `Thread`.
- The class `NumberCanvas` provides the display canvas.

CountDown class

```
public class Countdown extends Applet
                               implements Runnable {
    Thread counter; int i;
    final static int N = 10;
    AudioClip beepSound, tickSound;
    NumberCanvas display;

    public void init() {...}
    public void start() {...}
    public void stop() {...}
    public void run() {...}
    private void tick() {...}
    private void beep() {...}
}
```

CountDown class with start(), stop(), and run()

```
public void start() {
    counter = new Thread(this);
    i = N; counter.start();
}
public void stop() {
    counter = null;
}
public void run() {
    while(true) {
        if(counter==null) return;
        if(i>0) { tick(); --i; }
        if(i==0) {beep();return;}
    }
}
```

start ->

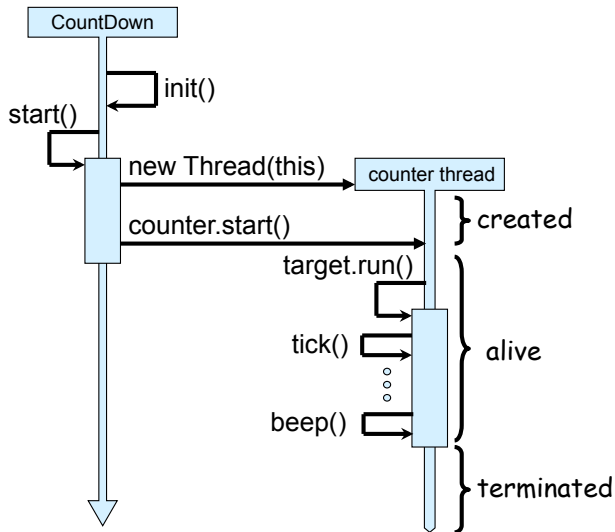
stop ->

COUNTDOWN[i] process
recursion as while loop
STOP

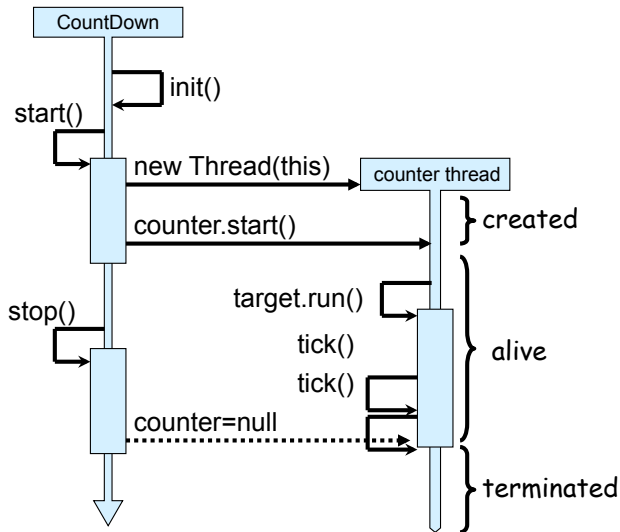
when (i>0) tick->CD[i-1]
when (i==0) beep->STOP

STOP when run() returns

CountDown execution



CountDown execution



Rough overview FSP to Java

FSP	Java
(main) process	thread
process after comma	part of thread
process[index]	thread with variable
process definition	run method
action	method
indexed action	method with parameter
recursion	while/recursion in run
alphabet	interface

Summary

- Concepts
 - process - unit of concurrency, execution of a program
- Models
 - LTS to model processes as state machines - sequences of atomic actions
 - FSP to specify processes using prefix “->”, choice “ | ”, conditional actions, indexed actions, and recursion.
- Practice
 - Java threads to implement processes.
 - Thread lifecycle – created, running, runnable, non-runnable, terminated.